



# Viewgraph Supplement to the Proceedings of the First Army Research Laboratory Acousto- Optic Tunable Filter Workshop

by Neelam Gupta

ARI-SR-54-S

March 1997

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# **Army Research Laboratory**

Adelphi, MD 20783-1197

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**ARL-SR-54-S**

**March 1997**

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# **Viewgraph Supplement to the Proceedings of the First Army Research Laboratory Acousto- Optic Tunable Filter Workshop**

**Neelam Gupta, Editor**

Sensors and Electron Devices Directorate, ARL

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## **Abstract**

Acoustic-optic tunable-filter (AOTF) technology is a recent development that offers potential for rapid, frequency-agile tuning over a large optical wavelength range. An AOTF is an electronically tunable phase grating set up in an anisotropic crystal by the propagation of an ultrasonic wave in the crystal. Such filters have many attractive features, such as small size, lightweight, computer controlled operation, large optical wavelength range of operation, and no moving parts; and their operation can be made ultrasensitive by the use of advanced signal processing algorithms. These filters are being used in many applications such as the design of new spectroscopic instruments, remote detection and monitoring of chemicals, optical communication networks, tuning of laser cavities, etc.

## **Foreword**

This volume, *Supplement to the Proceedings of the First Army Research Laboratory AOTF Workshop*, contains the viewgraphs that were presented at the conference.

Acousto-optic tunable filter technology (AOTF) has made significant progress in the last 30 years. These electronically tunable filters are finding many applications in various fields, such as chemical and environmental sensing, communications, hyperspectral imaging, pharmaceuticals, medicine, semiconductor processing, space exploration, etc. Due to their compact size and no moving parts, AOTF's offer numerous advantages over traditional grating-based technology. There is a tremendous potential offered by this technology, which remains to be fully utilized. One of the main motivations in organizing this workshop, the first of its kind, was to create a forum of experts and users that would provide the synergy to give a much needed impetus for the rapid development and exploitation of this promising technology.

In the Fall of 1995, I had the great privilege of visiting many Russian institutions involved in the research and development of this technology, and meeting with Russian scientists working in this field. This experience gave me the idea to bring U.S. and Russian scientists together for an intimate exchange of information and ideas for the advancement of AOTF technology. This workshop provided an avenue to implement this idea.

It has been a great deal of work to pull this workshop together, but the outcome has been worth many times the effort. It was a great experience to listen to the experts as well as the newcomers talk about AOTF basic research and applications for two full days.

I would like to thank my sponsors at the Army Materiel Command (AMC) and Army Research Laboratory (ARL) for providing the necessary funds to make this workshop possible. I would also like to thank every attendee for participating in this workshop, especially the Russian scientists for taking this long trip.

Neelam Gupta  
Army Research Laboratory  
Adelphi, MD, USA

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# **First ARL Workshop on Acousto-Optic Tunable Filter Technology**

**Center for Adult Education, University of Maryland, College Park, MD**

**Tuesday, September 24, 1996  
AOTF Technology**

**Morning Session, Chair Andree Filipov, USARL-SEDD**

- 8:30 - 8:55 Check-in/Registration/Continental Breakfast
- 8:55 - 9:00 Administrative Announcements
- 9:00 - 9:20 Welcome & ARL Overview, John Pellegrino, Director, Sensors and Electron Devices Directorate, US Army Research Laboratory
- 9:20 - 9:40 AOTF Overview, Neelam Gupta, USARL
- 9:40 - 10:10 Progress in AOTF Technology, I. C. Chang, Aurora Associates, Santa Clara, CA
- 10:10 - 10:40 Break
- 10:40 - 11:10 Collinear AOTF Spectrometers: Problems, Results, Methods of Spectral Measurements, V. I. Pustovoit, Central Bureau of Unique Instrumentation, Moscow, Russia, and N. Gupta, USARL
- 11:10 - 11:40 Recent Advances in AOTF Design and Fabrication at SPSAAI, V. V. Kludzin, S. V. Kulakov, and V. V. Molotok, St. Petersburg State Academy of Aerospace Instrumentation, St. Petersburg, Russia
- 11:40 - 1:00 Lunch

**Afternoon Session, Chair Neelam Gupta, USARL-SEDD**

- 1:00 - 1:30 Application of AO Interaction for Filtration of Arbitrary Polarized Radiation, V. Voloshinov, Physics Department, Moscow State University, Moscow, Russia
- 1:30 - 2:00 Improvement of Resolution of Visible AOTF in TeO<sub>2</sub>, V. Pelekhaty, Brimrose Corp. Of America, Baltimore, MD
- 2:00 - 2:30 Growth of Acousto-optic Crystals with High Anisotropy and Development of Multichannel Acousto-optical Processors, Y. B. Pisarevsky

**2:30 - 3:00** Break

**3:00 - 3:30** Progress in AOTF Technology for WDM Systems, D. Smith, Case Western Reserve University, Cleveland, OH

**3:30 - 4:00** Integrated AOTF for Blue-Green Spectral Region, C. S. Tsai and A. M. Matteo, University of California, Irvine, CA

**4:00 - 5:00** AOTF Demonstrations

**6:30** Banquet, University Of Maryland, College Park

**Wednesday, September 25, 1996**  
**AOTF Applications**

**Morning Session, Chair Andrzej Mizolek, USARL-WMRD**

**8:30 - 9:00** Registration/Continental Breakfast

**9:00 - 9:30** Application of AOTF in Analytical Chemistry, C. D. Tran, Marquette University, Milwaukee, WI

**9:30 - 10:00** Application of AOTF Technology for Chem/Bio Detection, N. Gupta and N. F. Fell Jr., US Army Research Laboratory, Adelphi, MD

**10:00 - 10:30** Break

**10:30 - 11:00** An AOTF-Based Near-Infrared Spectrometer for Process Control, S. Medlin, U. Eschenaur, and W. Danley, Brimrose Corp. Of America, Baltimore MD

**11:00 - 11:30** Application of AOTF to Near IR Spectroscopy and High Fidelity Spectroscopic Imaging, E. N. Lewis, National Institutes of Health, Bethesda, MD

**11:30 - 1:00** Lunch

**Afternoon Session, Chair James Gillespie, USARL-ISTD**

**1:00 - 1:30** Factors Affecting AOTF Image Quality, L. J. Denes, B. Kaminsky, M. Gottlieb, and P. Metes, Carnegie Mellon Research Institute, Pittsburg, PA

- 1:30 - 2:00** **An AOTF Camera for Multispectral Imaging**, S. Simizu, R. T. Obermyer, C. J. Thong, M. Uschak, S. G. Sankar, Advanced Materials Corp., Pittsburgh, PA, D. J. Denes, D. A. Purta, and M. Gottlieb, Carnegie Mellon Research Institute, Pittsburgh, PA
- 2:00 - 2:30** **Simultaneous Multispectral Imaging with a 12 Parallel Channel Tunable Camera**, J. A. Carter III, D. R. Pepe, Photonics Systems, Inc., Melbourne, FL, and M. L. Shah, MVM Electronics, Inc., Melbourne, FL
- 2:30 - 3:00** **Break**
- 3:00 - 3:30** **Polarimetric Hyperspectral Imaging Systems**, L.-J. Cheng, G. Reyes, and C. La Baw, Jet Propulsion Laboratory, CA, and G. P. Li, University of California, Irvine, CA
- 3:30 - 4:00** **Multiplexing Methods in AOTF Multispectral Imaging**, P. Treado, and J. Turner, University of Pittsburgh, Pittsburgh, PA
- 4:00 - 4:30** **Remote Spectral Imaging System Based on an AOTF**, T. Vo-Dinh, Oak Ridge National Laboratory, Oak Ridge, TN
- 4:30** **Workshop Closing**

# AOTF TECHNOLOGY: A BRIEF OVERVIEW

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*Dr. Neelam Gupta*

Sensors & Electron Devices Directorate

Army Research Lab  
Adelphi, MD 20783

FIRST ARL WORKSHOP ON  
AOTF TECHNOLOGY  
24-25 September 1996

Center for Adult Education, University of Maryland

ARMY RESEARCH LABORATORIES

# INTRODUCTION

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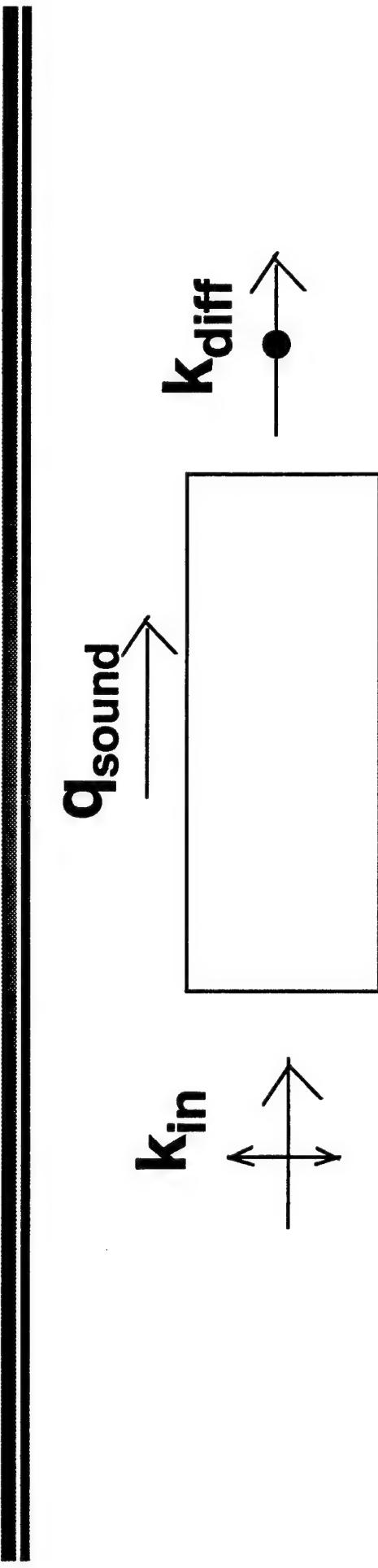
## What is an AOTF:

A moving diffraction grating is set up in an anisotropic crystal, when an acoustic beam propagates through it as a result of an applied rf field. When light is incident on this grating, it is diffracted with polarization orthogonal to the incident beam for only a specific incident wavelength as a result of the acousto-optic interaction. The wavelength can be tuned by varying the rf frequency, forming an electrically tunable optical filter. Such an optical filter is called an Acousto-Optic Tunable Filter (AOTF).

**Collinear AOTF:** Incident light, sound and diffracted light beams all propagate in the same direction.

**Noncollinear AOTF:** Incident light, sound and diffracted light beams do not propagate in the same direction.

# COLLINEAR AOTF

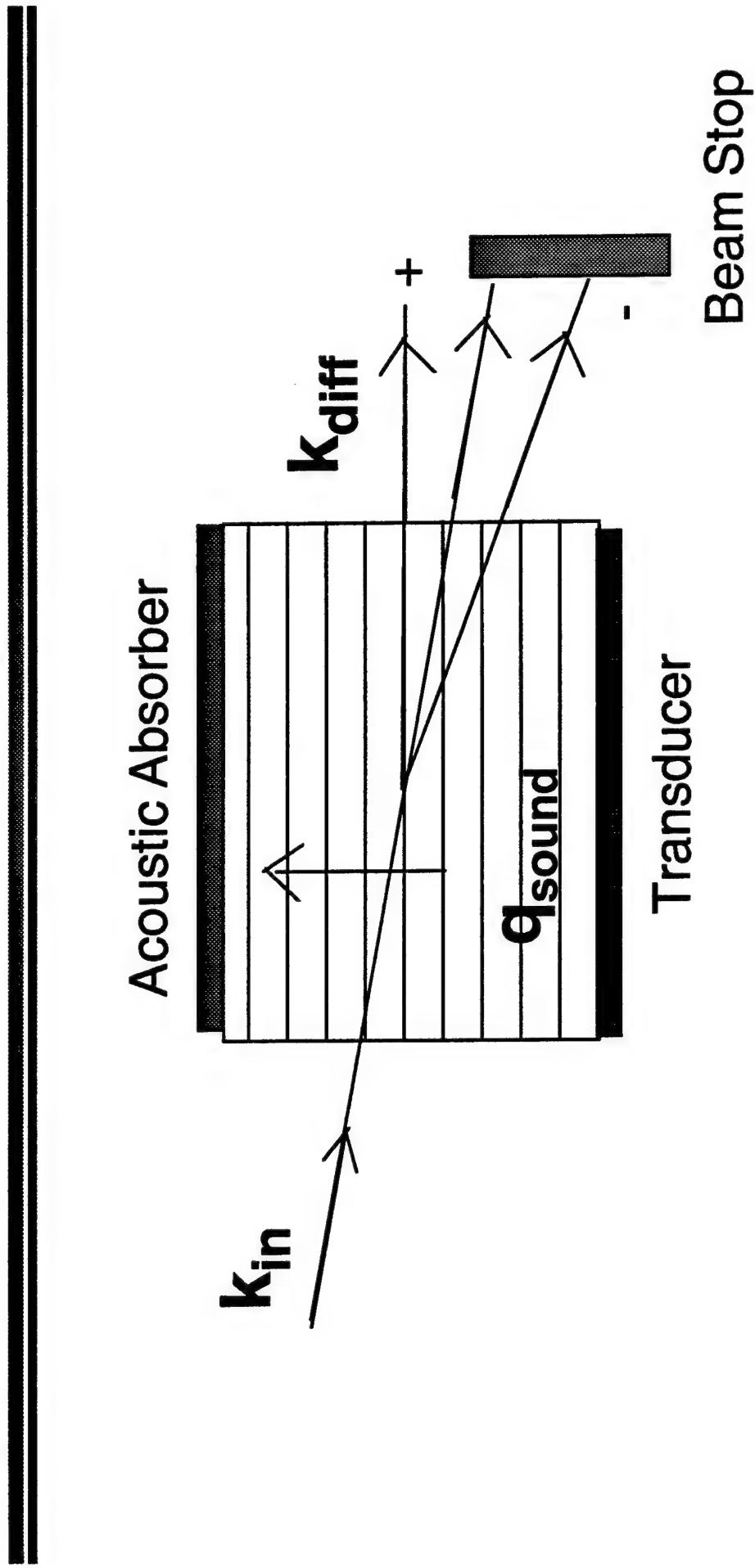


$$\mathbf{k}_{\text{diff}} = \mathbf{k}_{\text{in}} + \mathbf{q}$$

$$\lambda = (n_o - n_e)v_s/\Omega$$

$$\text{Spectral Resolution } \Delta\lambda/\lambda = M/L\Delta n$$

## NONCOLLINEAR AOTF



# AOTF MILESTONES

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- 1922 Brillouin Theoretical Prediction of AO Interaction
- 1932 Debye, et al. Experimental Demonstration of AO Interaction
- 1955 Rosenthal Theoretical Discussion of Color Control by Ultrasound Grating
- 1967 Dixon Acoustic Diffraction of light in Anisotropic Media
- 1969 Harris, et al. Collinear AOTF
- 1968 Arlts, et al. Synthesis of TeO<sub>2</sub>
- 1971 Nieh et al. Analysis of Collinear AOTF
- 1973 Kusters, et al. Optimization for AOTF
- 1974 Chang Noncollinear AOTF in TeO<sub>2</sub>
- 1977 Ohmachi, et al. Integrated Optic AOTF
- 1987 Pustovoit, et al. Okean Satellite Trasser Apparatus

# AOTF ADVANTAGES

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- Lightweight, Compact, Portable
- No Moving Parts, Rugged
- Reliable
- Reproducible Operation
- Rapid Tuning and Scanning
- Low Drive Power
- All Solid State Operation
- High Spectral Resolution
- Polarization Separation
- Broad Tuning Range
- Wide FOV
- High Throughput
- Sequential or Random λ Access
- Capability for Multi λ Operation
- High Signal-to-Noise Ratio
- Uncooled Operation
- Programmable, Computer Control
- Arbitrary Spectral Signal Generation

# AOTF APPLICATIONS

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- Sensing of Chemical & Biological Agents: Fluorescence, Absorption, emission, Raman, LIBS, etc.
- Remote Sensing/ Environmental Monitoring
- Multispectral and Hyperspectral Imaging
- Medical Applications; i.e. Cancer Detection
- Tuning of Laser Wavelength
- Process and Quality Control
- Astronomical Observations
- Communication; i.e. WDM

## AOTF APPLICATIONS (Continued)

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- Polarization Spectroscopy
- Fire Sensing
- Water Quality Monitoring on Space Station
- Spectroscopy on Comet Lander
- Spectroscopy on Mars Lander
- Cassini Mission to Saturn
- Others ??????
- Under water Spectroscopy

# KEY ELEMENTS IN AOTF SYSTEM DESIGN

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- Material Selection
- Crystal Geometry
- Transducer Design
- AOTF Cell Architecture
- Electronics
- Computer Interface
- Processing Software

# Spectral Coverage/Materials

| <b>Spectral Bands<br/>Covered (μm )</b> | <b>Material</b>                   | <b>Type</b>               |
|---|-----------------------------------|---------------------------|
| 0.4 - 4.5                               | LiNbO <sub>3</sub>                | Collinear                 |
| 0.25 - 0.8                              | Xtal Quartz                       | Collinear                 |
| 0.2 -0.7                                | MgF <sub>2</sub>                  | Collinear                 |
| 0.4 - 4.5                               | CaMoO <sub>4</sub>                | Collinear                 |
| 0.35- 4.5                               | TeO <sub>2</sub>                  | Noncollinear              |
| 1.1 - 17                                | Tl <sub>3</sub> AsSe <sub>3</sub> | Collinear or Noncollinear |
| 0.35 -20                                | Hg <sub>2</sub> Cl <sub>2</sub>   | Noncollinear              |

## KEY PLAYERS IN AOTF TECHNOLOGY

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- **US Govt:** ARL, ERDEC, JPL, NASA, NIH, ORNL, etc.
- **US Univ:** Case Western Univ, Marquette Univ. WI, UC Irvine, Univ. of Pittsburg, etc.
- **US Companies:** ATT Labs, Aurora Assoc, Advanced Materials Corp., Brimrose Corp. of America, Carnegie Mellon Research Institute, Neos, Photonics Systems, etc.
- **Russia:** CBUI, Inst. of Xtallography, SPAI, MSU, etc.
- **Others:** Matsushita Electronics, Japan; France, U.K.

# AOTF TECHNOLOGY STATUS/CHALLENGES

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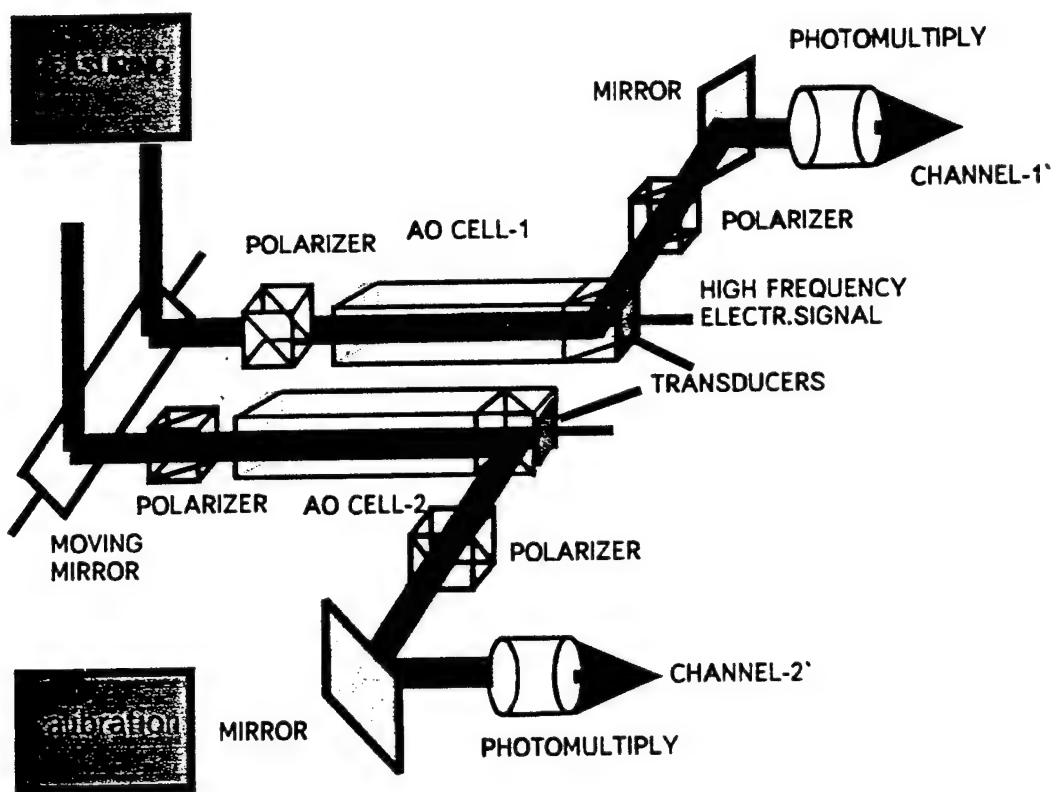
- Very Promising Technology
- Much Progress in Visible/NIR
- Labor Intensive Fabrication
- Improvement of Existing Material, i.e. TeO<sub>2</sub>
- Development of New Materials for UV/ Long IR
- Novel designs, i.e. Implement Backward Diffraction
- Reduce Cost
- Automate Fabrication
- Find New Applications

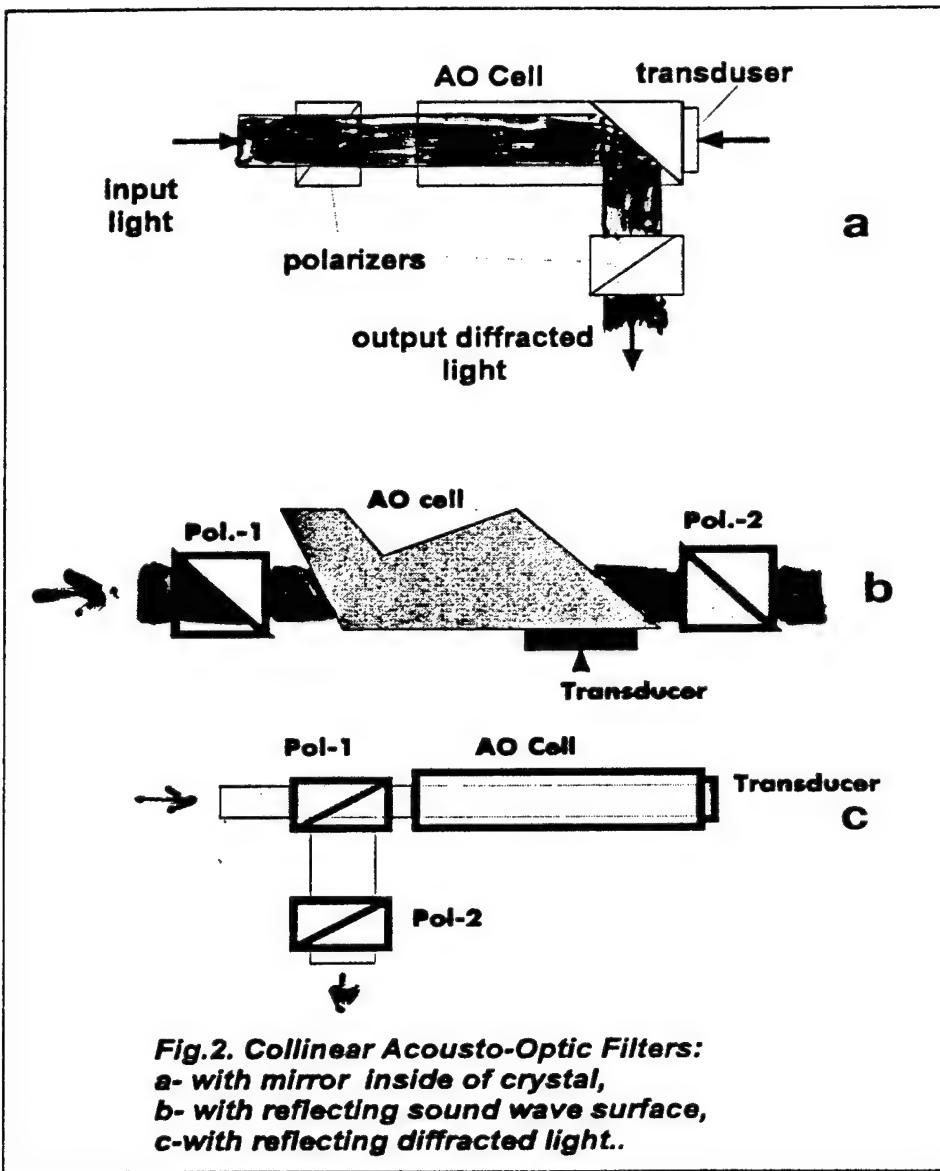
# **Collinear AOTF Spectrometers: Problems, Results, and Methods of Measurements**

by

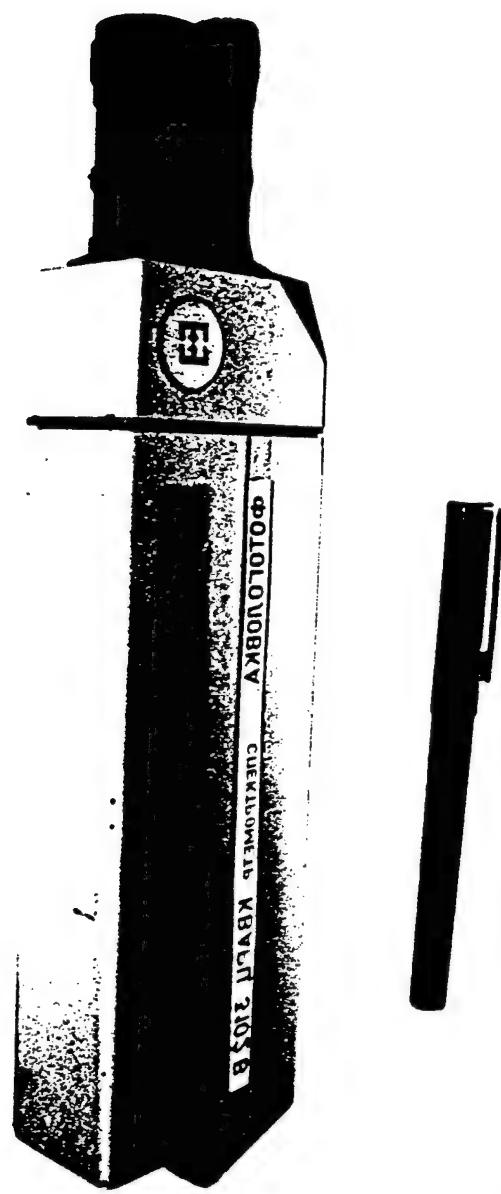
*V. I. Pustovoit*, Central Bureau of Unique  
Instrumentation of Russian Academy of Sciences, Russia; &  
*N. Gupta*, Army Research Laboratory, USA

## OPTICAL SCHEME OF AO SPECTROMETERS





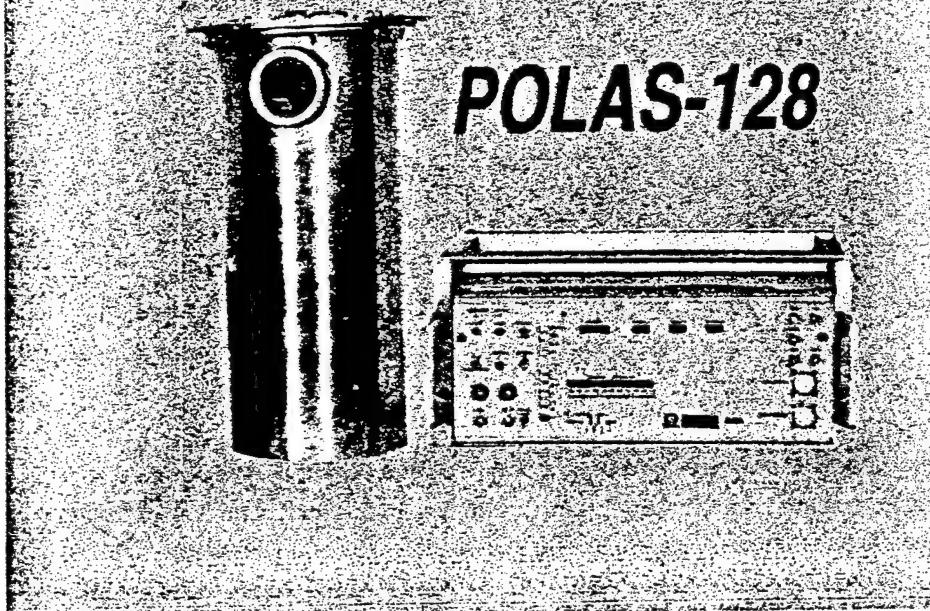
**Fig.2. Collinear Acousto-Optic Filters:**  
**a- with mirror inside of crystal,**  
**b- with reflecting sound wave surface,**  
**c-with reflecting diffracted light..**

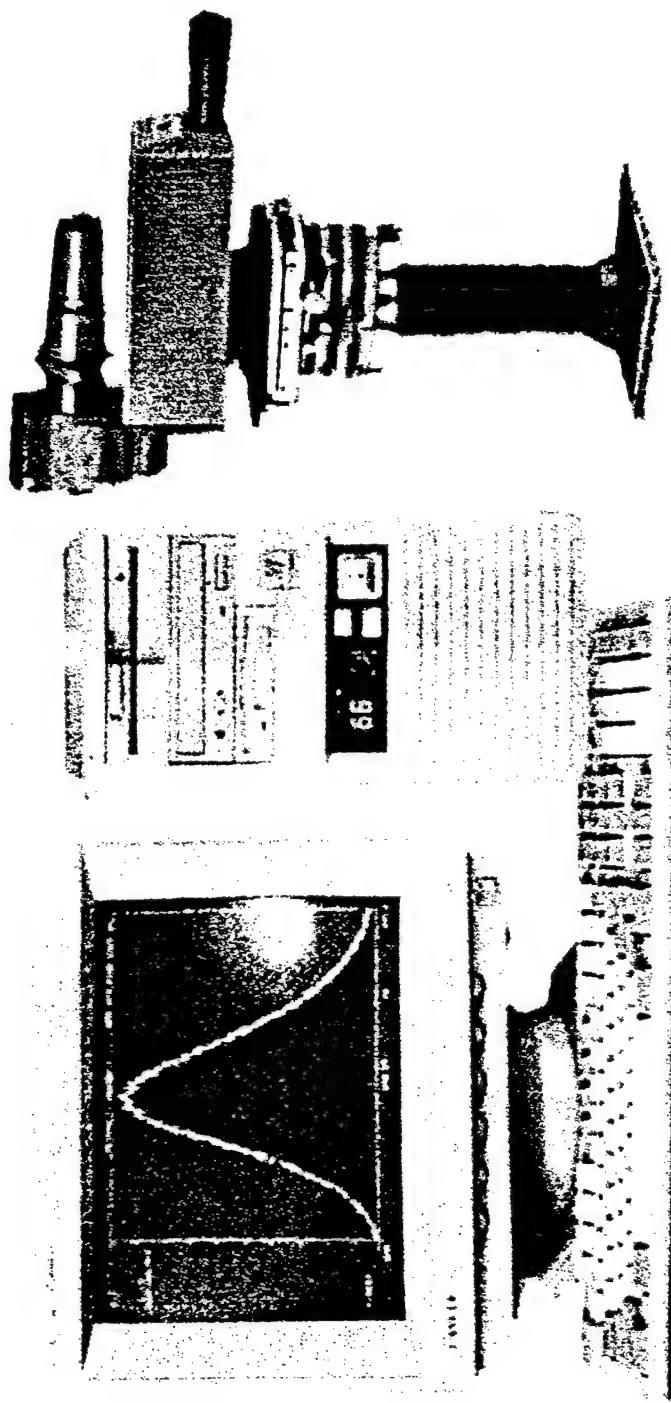




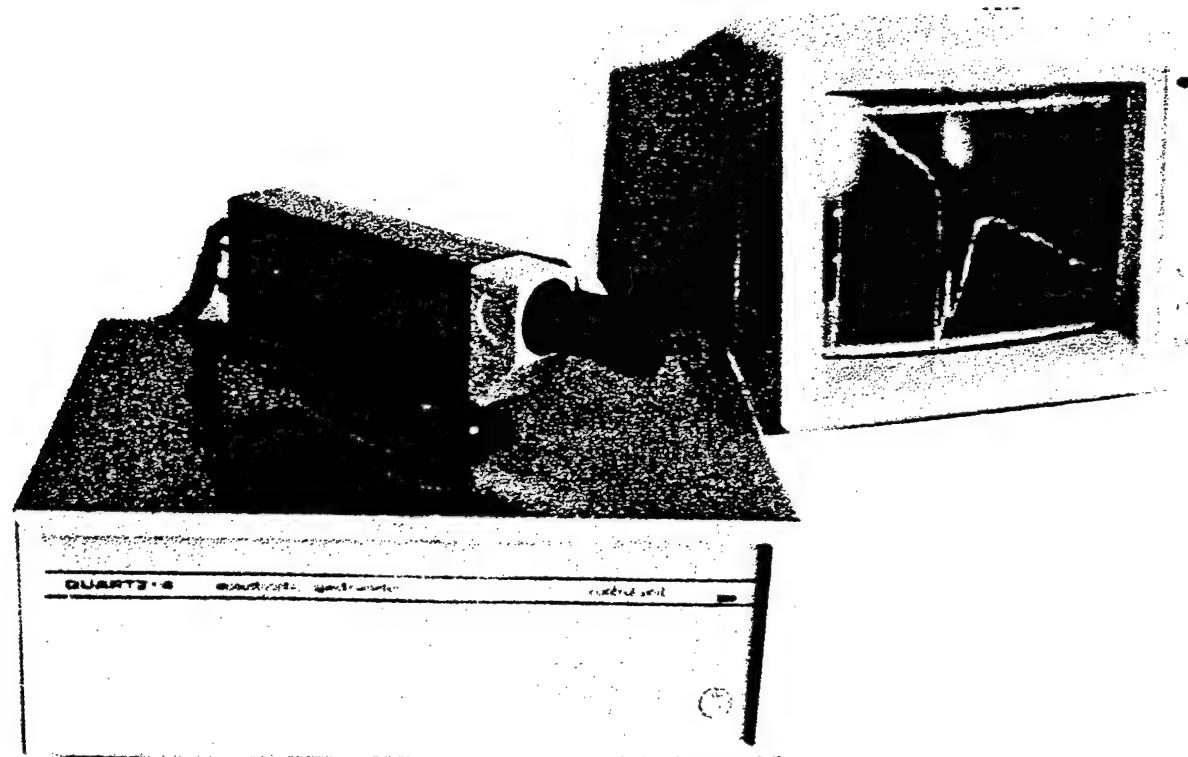
**AO Spectrometer  
for airborne and surface-based  
platforms**

**POLAS-128**

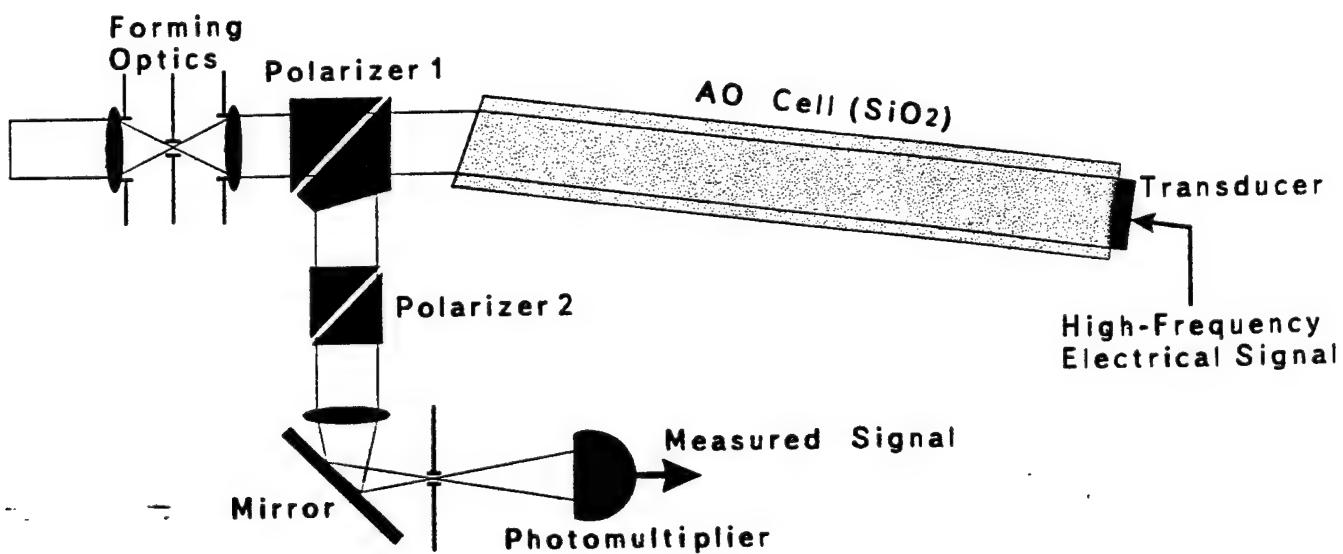


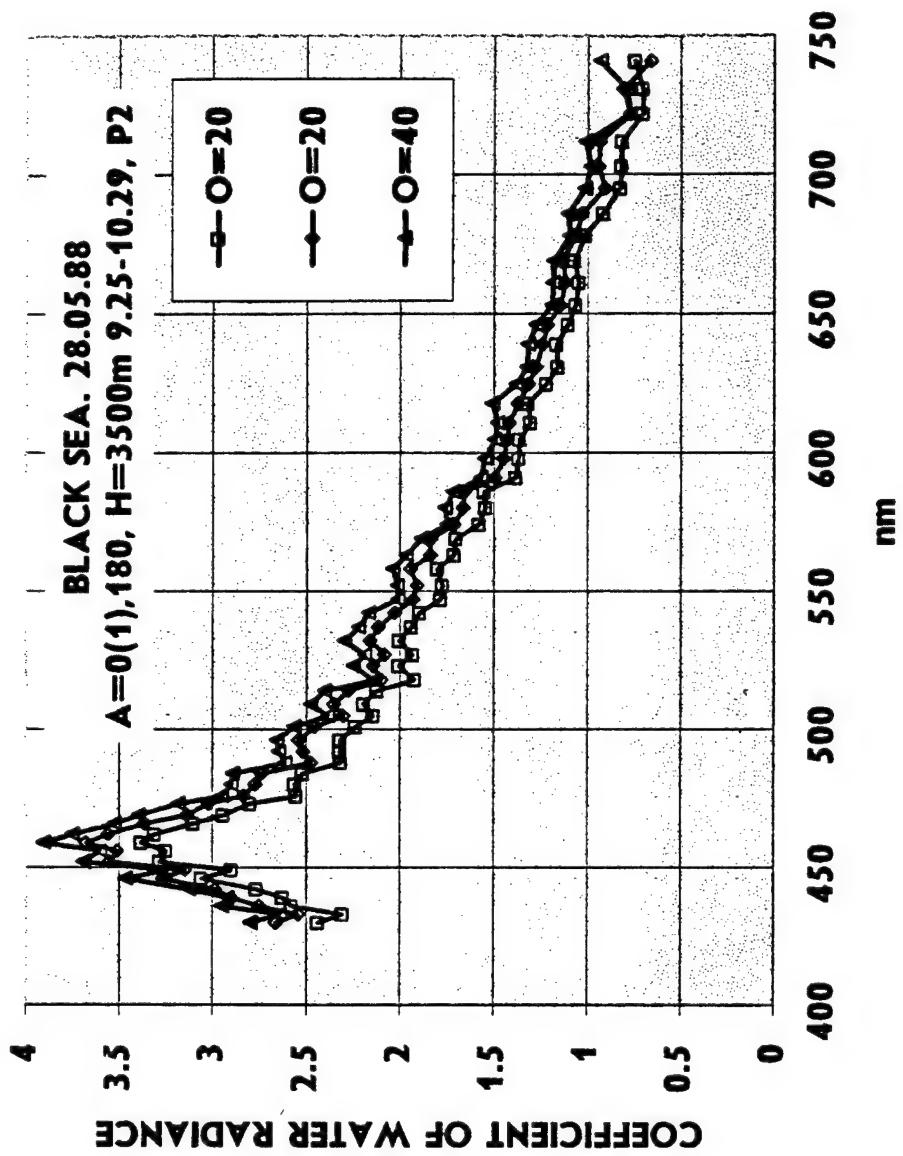


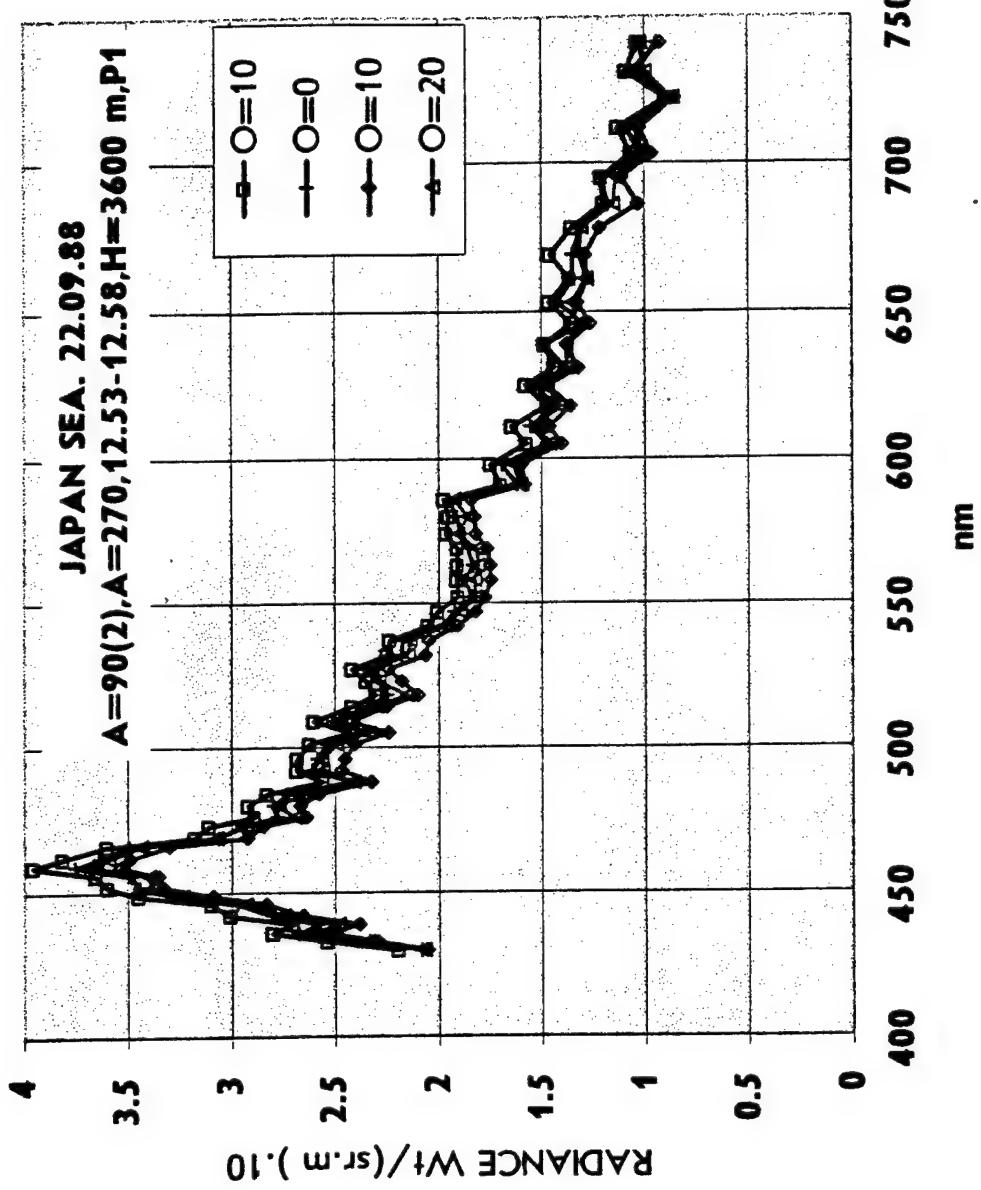
*Acousto-optical Spectrometer of visible and UV bands*

**Specifications:**

|  |              |
|--|--------------|
| Spectral Range, nm                                 | 415 to 790   |
| Resolution, nm                                     | 0.10 to 0.25 |
| Wavelength measurement instrumental error, nm      | $\pm 0.15$   |
| Sensitivity, W                                     | 10-12        |
| Dynamic range, dB                                  | 45           |
| Minimum measurement time at one spectral point, ms | 32           |
| Max. number of spectral points                     | 4096         |
| Input angle  | 2 degrees    |
| Input window                                       | $\phi 6$ mm  |







M313\_1

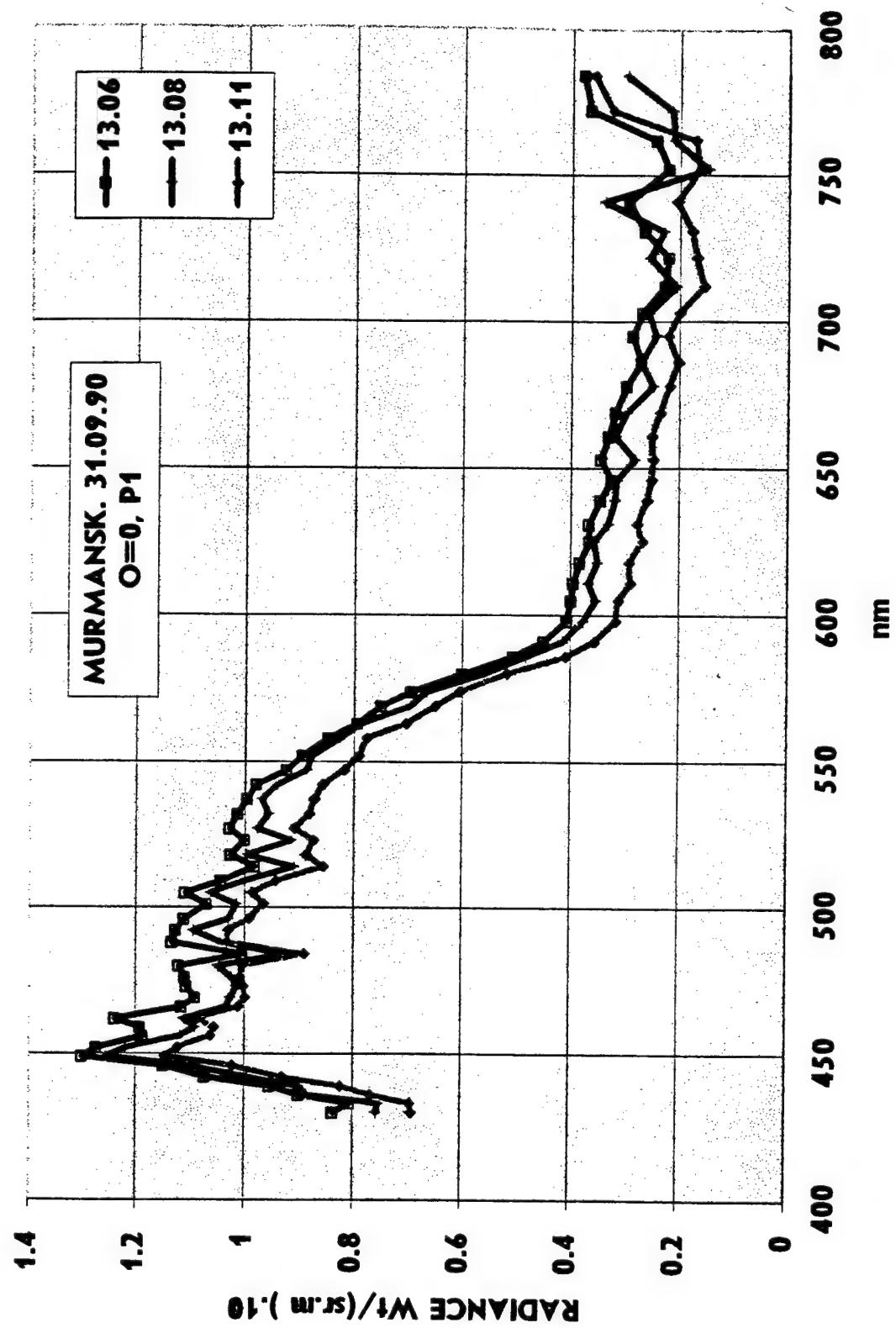
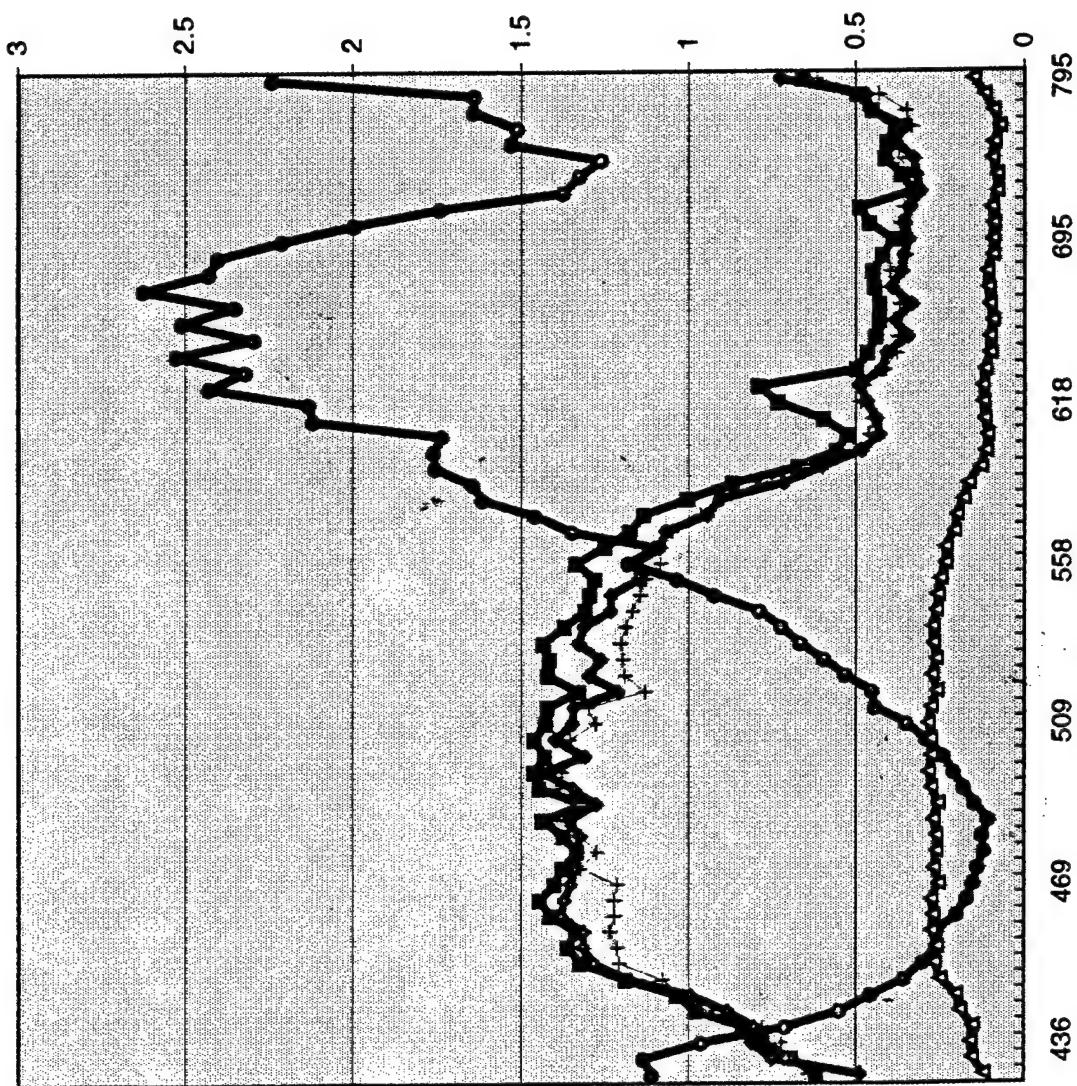


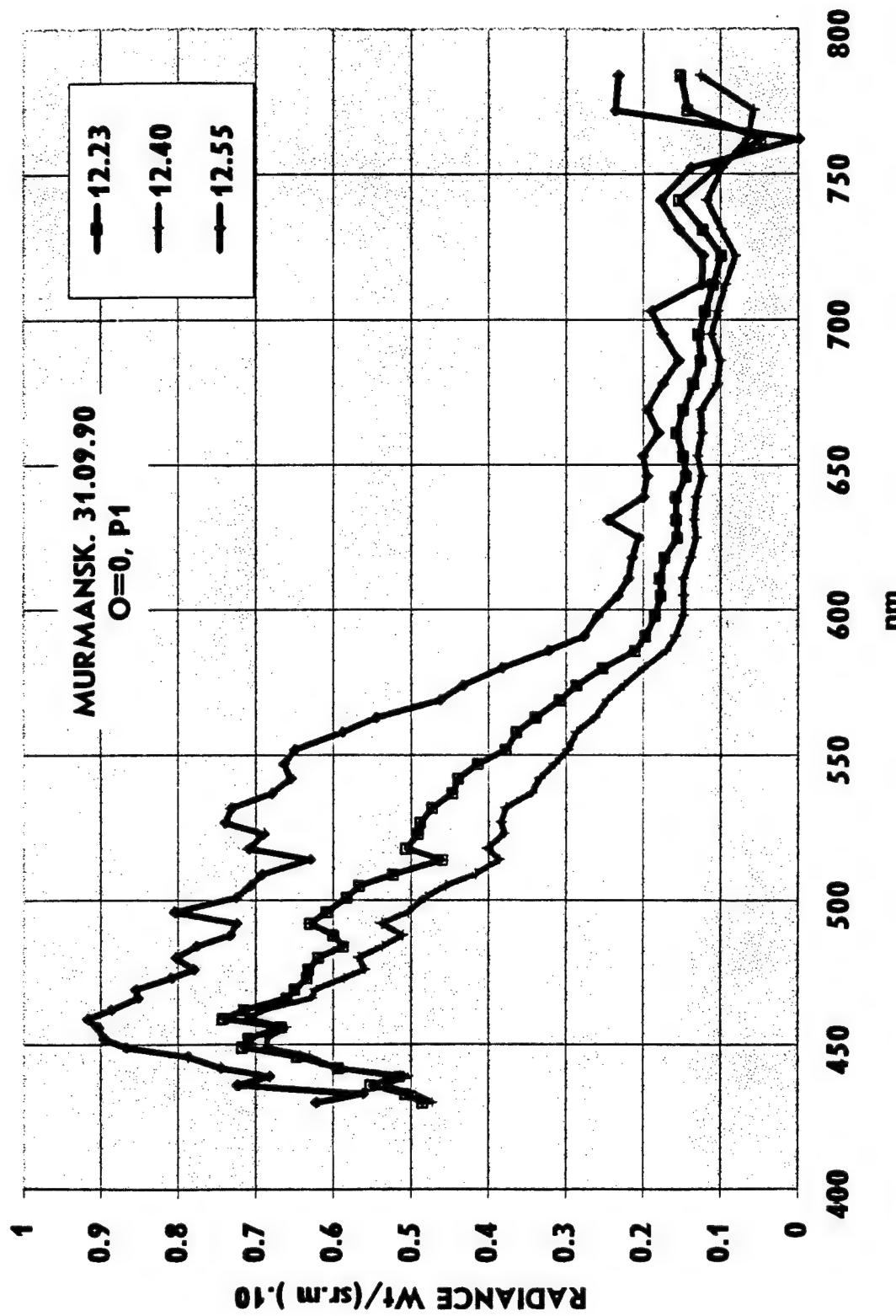
Chart 1

Black Sea and Land  
 $H=1000m$

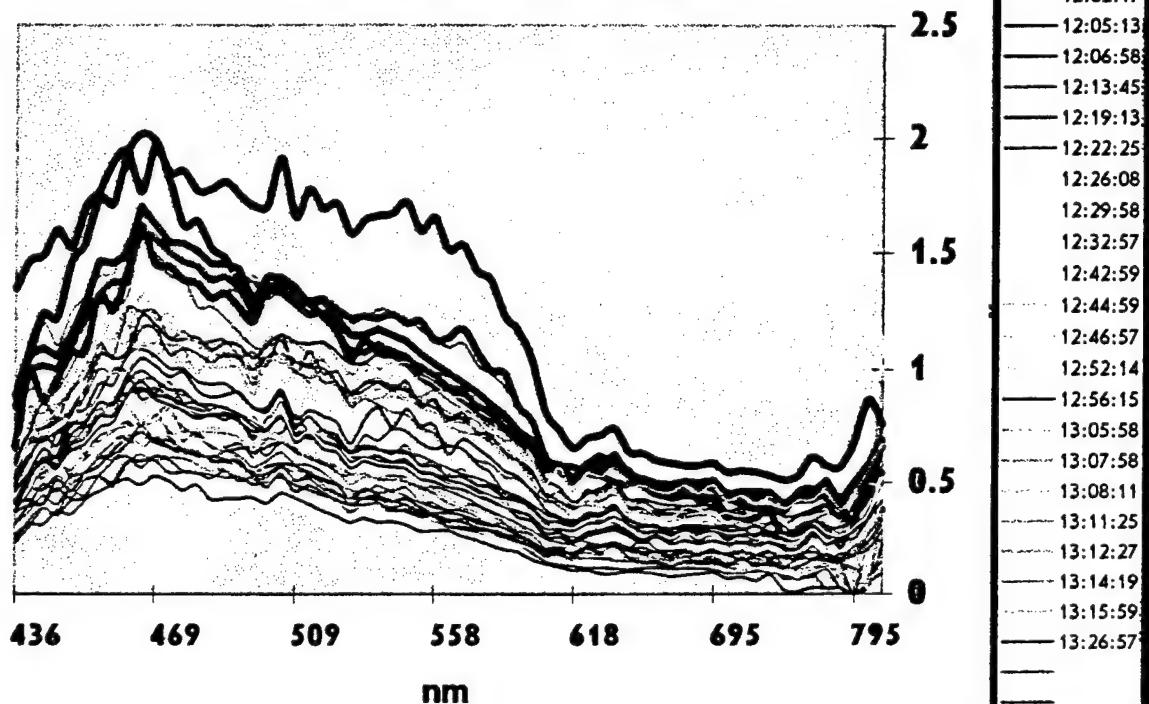


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| - | 18:45:13 1 |

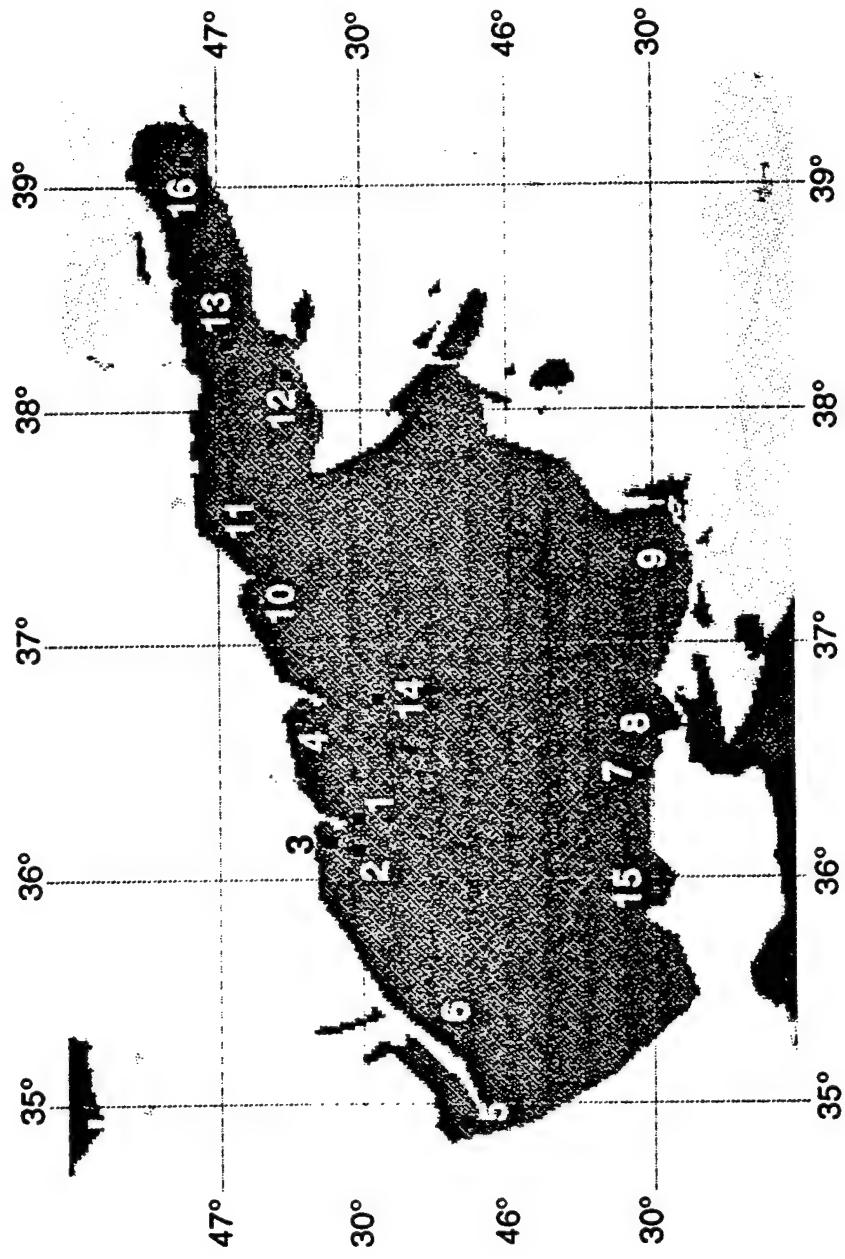
M312\_1

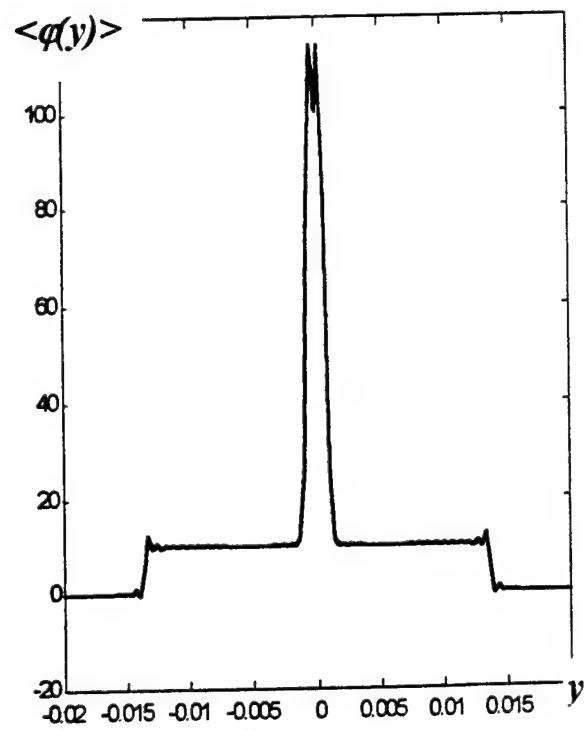
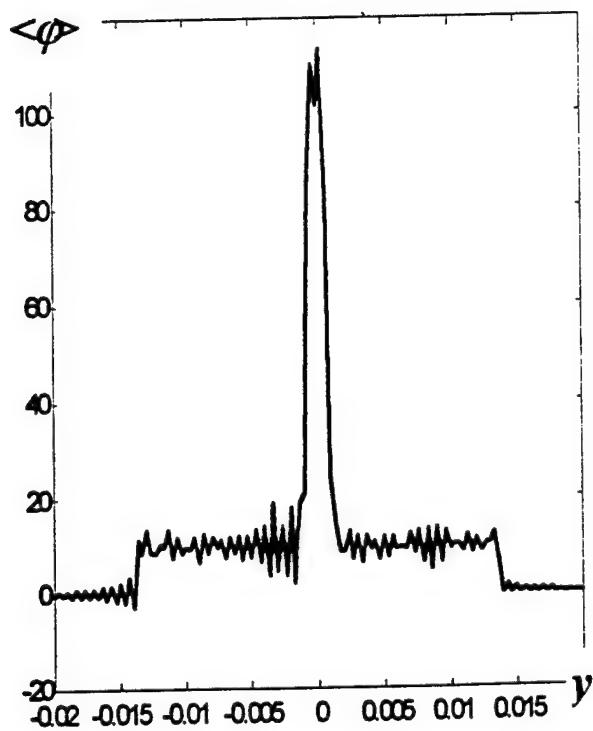
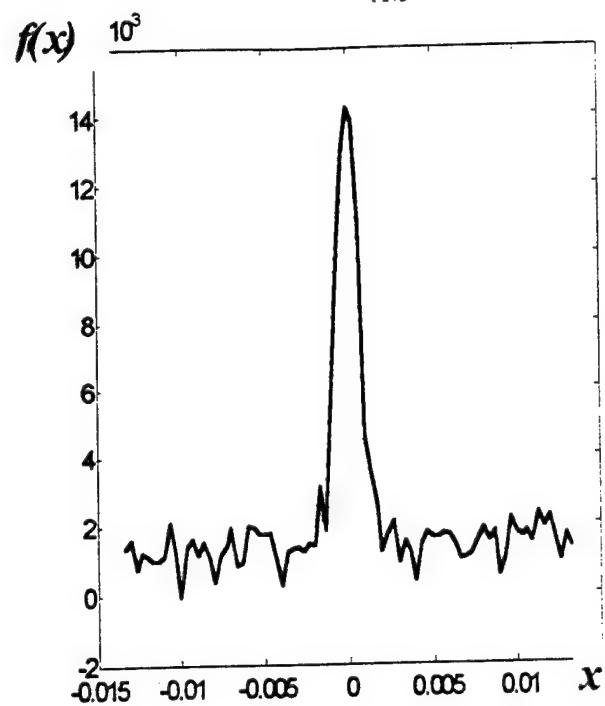
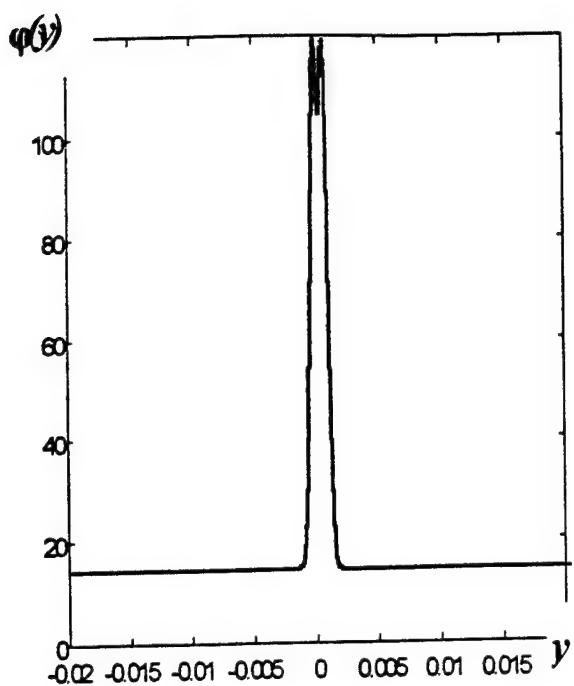


**Murmansk, 31.08.90**  
**time from 11.52 - 13.26**



## SEA OF AZOV DATA POINTS

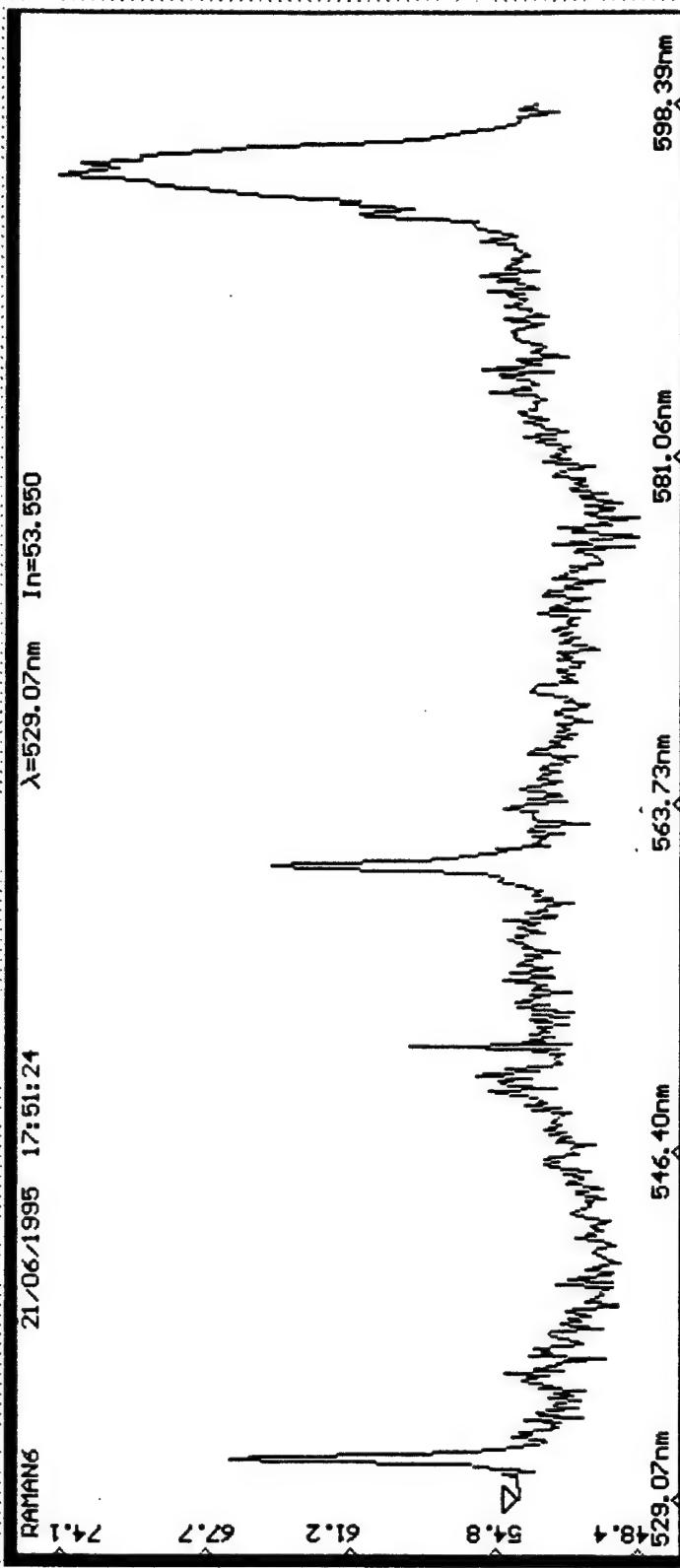




Enhanced spectral resolution derived from  
special analysis of spectrogram

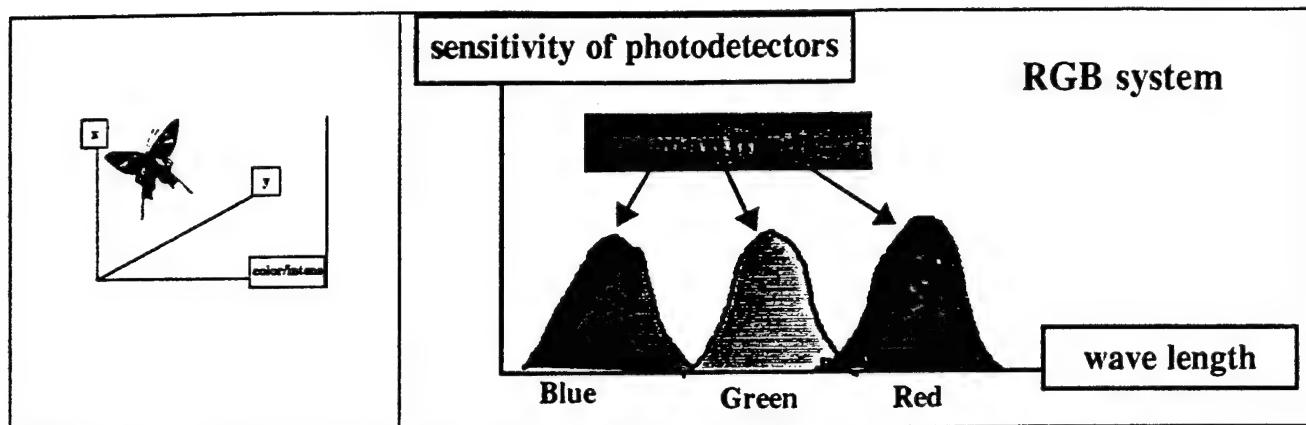
## Spectrum measurement

27/06/1995 16:22:35



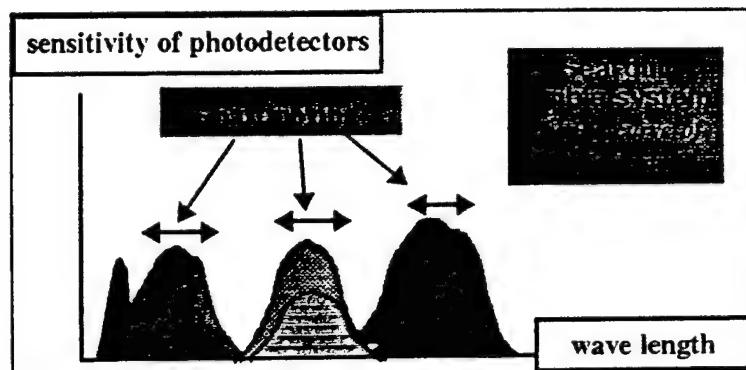
## Raman Spectrum of TCE

## Human and Sea-gull Vision System

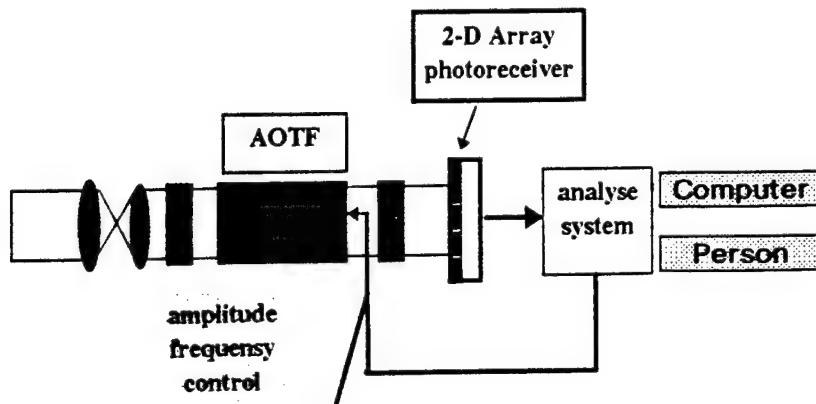


*The curves of sensitivity of eyes (photodetectors) has three fixed maximums*

*The curves of sensitivity of eyes (photodetectors) of Sea-Gull vision system has three fixed maximums, which can move on spectral axis*



## Acousto-Optical System for the transmission, processing, and recognition of images

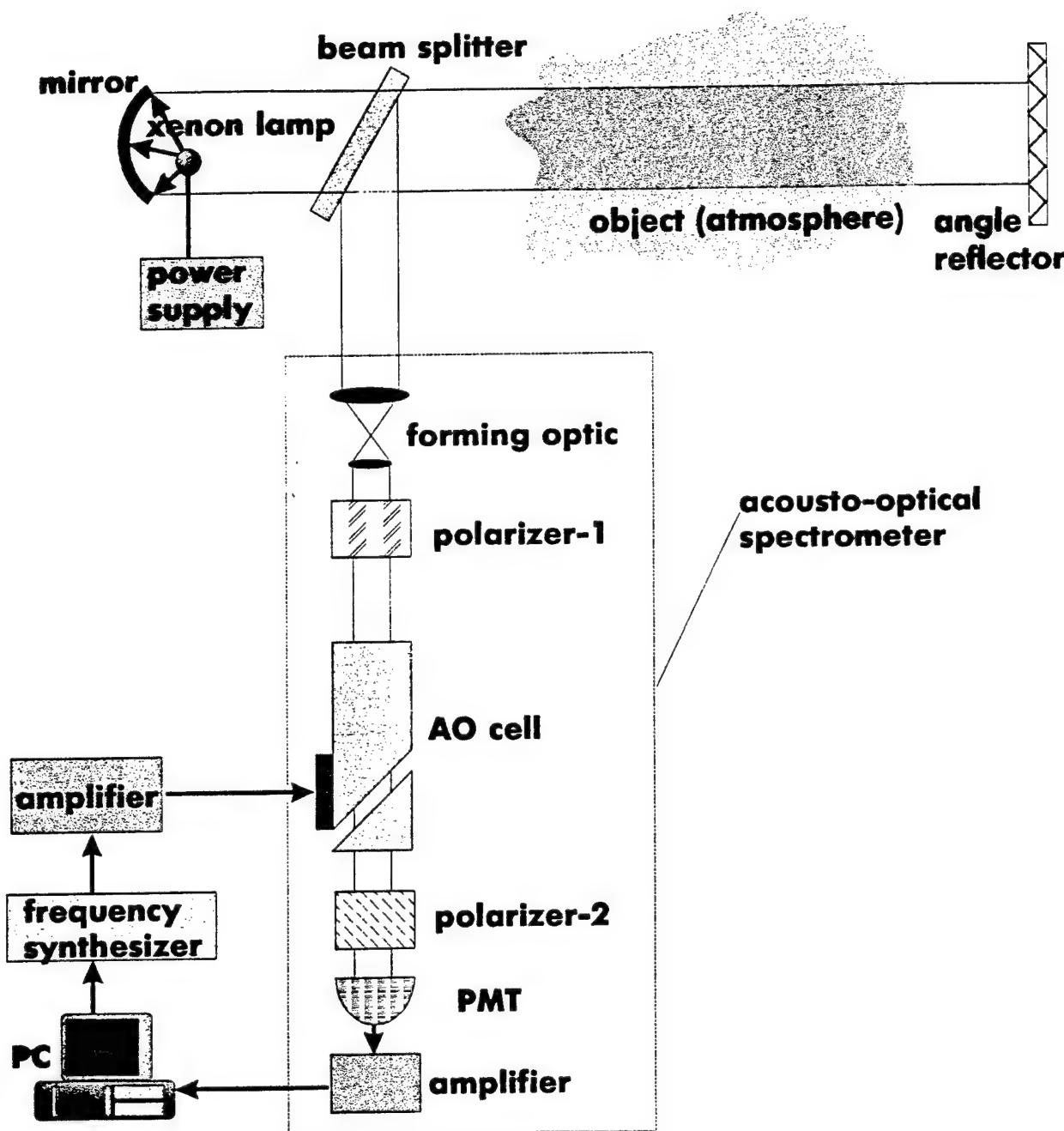


(V.E.Pozhar and V.I.Pustovoit.  
Радиотехника и электроника, 1996, v.41, №10)

## Pollution Detection Threshold

| Measured pollutant                                      | SAGA-K   |  | SAGA-T   |  |
|---|--|--|--|--|
|   | Detection Threshold, ppm, not greater, cell 75cm | Maximum measured concentration ppm, not greater, cell 20cm | Detection Threshold, ppm, not greater, path 200m | Maximum measured concentration ppm, not less, path 30m |
| Sulfur dioxide, SO <sub>2</sub>                         | 2  | 4500   | 0,02   | 50   |
| Nitrogen dioxide, NO <sub>2</sub>                       | 5  | 3000   | 0,02   | 40   |
| Carbon disulphide, CS <sub>2</sub>                      | 14   | 4000   | 0,02   | 100  |
| Ozone, O <sub>3</sub>                                   | 20   | 5000   | 0,02   | 100  |
| Chlorine, Cl <sub>2</sub>                               | 20   | 6000   | 0,1  | 100  |
| Formaldehyde, H <sub>2</sub> CO                         | 20   | 6000   | 0,1  | 100  |
| Benzene, C <sub>6</sub> H <sub>6</sub>                  | 2  | 1000   | 0,03   | 10   |
| Toluene, C <sub>6</sub> H <sub>5</sub> -CH <sub>3</sub> | 3  | 1500   | 0,04   | 15   |
| Phenol, C <sub>6</sub> H <sub>5</sub> OH                | 0,6  | 100  | 0,003  | 1  |
| Naphthalene, C <sub>10</sub> H <sub>8</sub>             | 0,7  | 120  | 0,003  | 0,5  |
| Pyrene, C <sub>16</sub> H <sub>10</sub>                 | 0,3  | 12   | 0,002  | 0,3  |
| p-Xylene, C <sub>8</sub> H <sub>10</sub>                | 100  | 2000   | 0,5  | 250  |
| m-Xylene, C <sub>8</sub> H <sub>10</sub>                | 2  | 1200   | 0,03   | 12   |
| o-Xylene, C <sub>8</sub> H <sub>10</sub>                | 4  | 3000   | 0,06   | 30   |
| Acetone, (CH <sub>3</sub> ) <sub>2</sub> CO             | 10   | 5000   | 0,3  | 50   |

# OPTICAL SCHEME OF ATMOSPHERIC POLLUTION MEASUREMENT



# **Recent Advances in AOTF Design and Fabrication at St.Petersburg State Academy of Aerospace Instrumentation**

**V.V.Kludzin, S.V.Kulakov, V.V.Molotok**

*St. Petersburg State Academy of Aerospace Instrumentation,*

*Laboratory of Acousto - Optic Systems,*

*67 B.Morskaia St., St.Petersburg, 190000, Russia,*

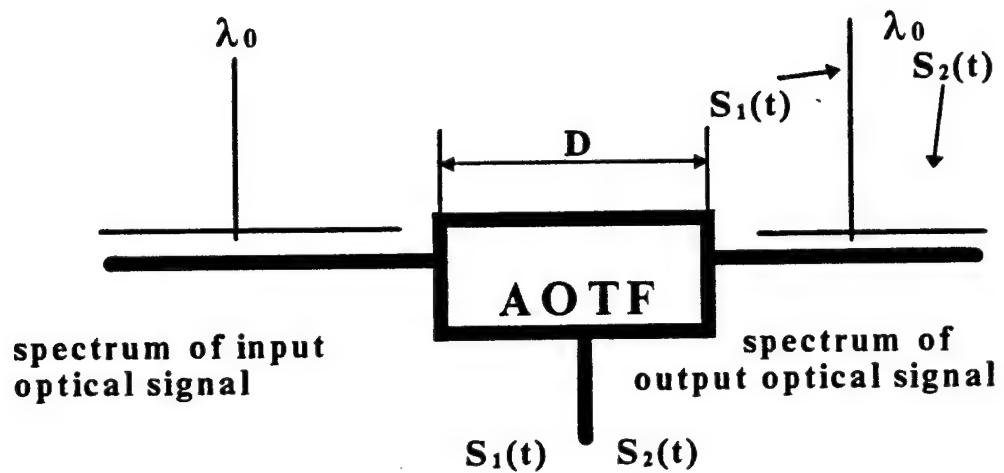
*Phone/FAX: +7 (812) 108-4204, E-mail: molotok@softjoys.ru*

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## **1. The main advantages of the acoustooptic tunable filters (AOTF)**

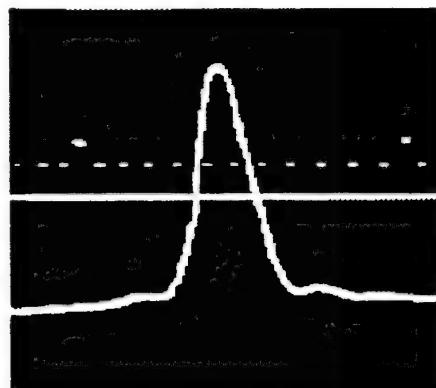
- 1. Controllable tuning by an electronic signal**
- 2. Fast switching speed**
- 3. Extended angular aperture**
- 4. Compatibility with electronic analog and digital modules**
- 5. simple design and small sizes**

#### 4. AOTF used for modulating spectrum width of a wideband optical signal.

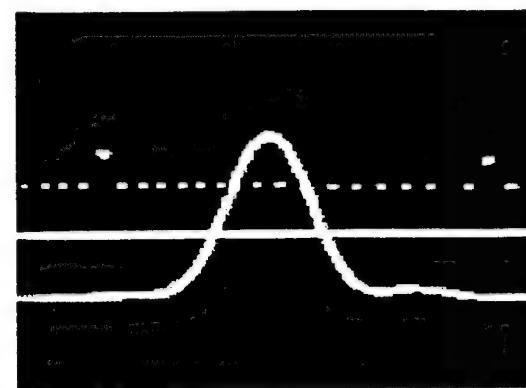


$$T \leq D/v - \text{clock rate}$$

$$0 < \tau < T; \quad \delta\lambda = k\tau$$

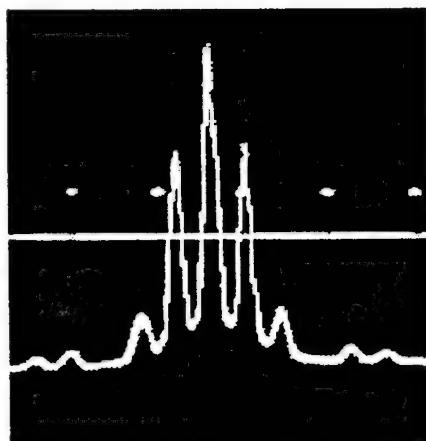


a) ( $\tau=T$ )

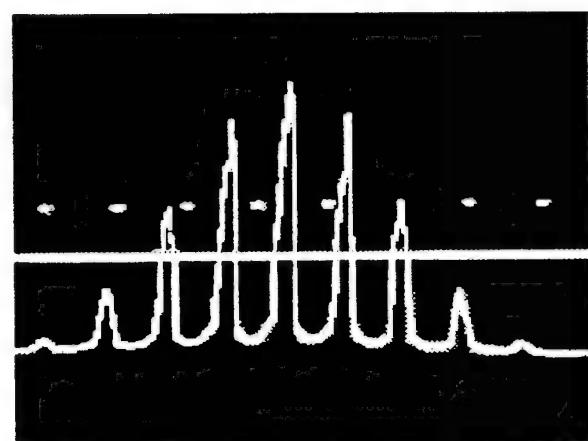


b) ( $\tau=0.5T$ )

Fig.4. Spectral responses of CaWO<sub>4</sub> collinear AOTF



a) ( $\tau=0.5T; T=0.5D/v$ )



b) ( $\tau=0.5T; T=0.25D/v$ )

Fig.5

## 5. AOTF used in spectrometry

Table 4. Spectrometer parameters

| AOTF materials   | Analysis range, $\mu\text{m}$ | Control frequency range, MHz | Resolution, nm ( $\lambda=0.63 \mu\text{m}$ ) | Transmission coefficient          | Analysis time, ms | Interaction regimes                         |
|------------------|-------------------------------|------------------------------|---|-----------------------------------|-------------------|---|
| Water            | 0.4-0.7                       | 28-50                        | 2.5   | 0.5<br>$P \approx 0.1 \text{ W}$  | $\geq 2$          | Isotropic                                   |
| $\text{PbMoO}_4$ | 0.6-1.1                       | 90-160                       | 1.5   | 0.5<br>$P \approx 0.5 \text{ W}$  | 1                 | Isotropic                                   |
| $\text{LiNbO}_3$ | 0.5-1.0                       | 7-14                         | 6   | 0.65<br>$P \approx 0.2 \text{ W}$ | 1                 | Sub-collinear                               |
| $\text{TeO}_2$   | 0.65-1.5                      | 25-55                        | 1.2   | 0.8<br>$P \approx 0.05 \text{ W}$ | $> 3.5$           | Quasi-collinear                             |
| $\text{CaWO}_4$  | 0.56-1.04                     | 35-65                        | 1.1   | 0.5<br>$P \approx 0.5 \text{ W}$  | 5                 | Collinear<br>$\Delta\Psi \approx 4.5^\circ$ |

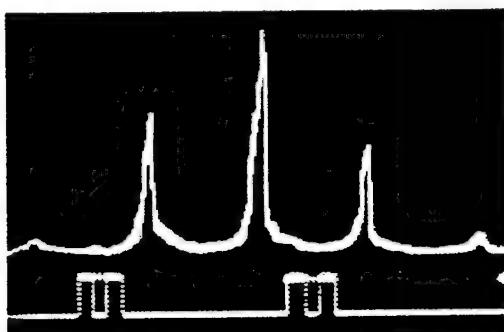


Fig. 6

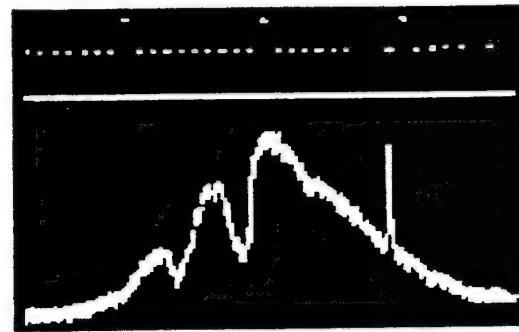


Fig. 8

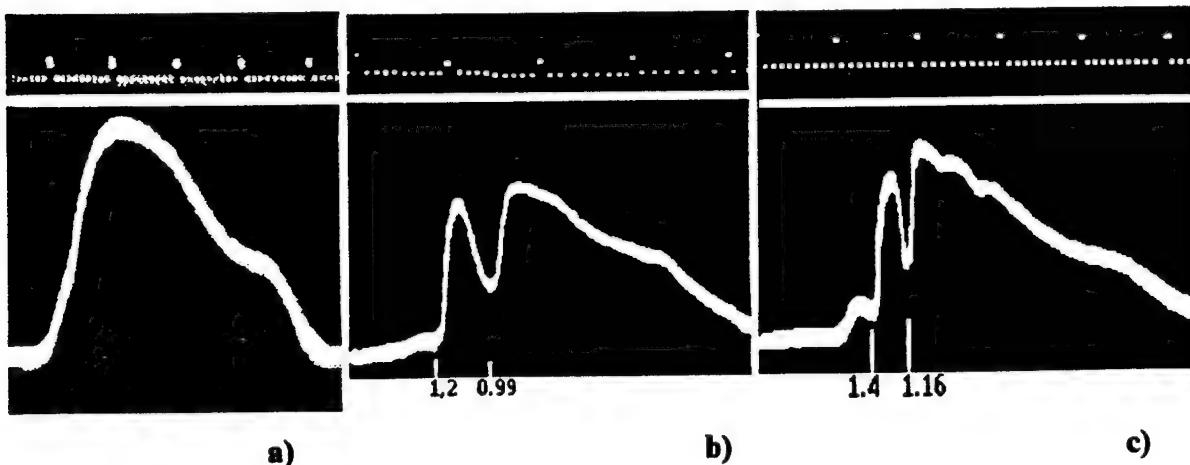


Fig.7

## **Conclusion**

- 1. Acousto-optic tunable filter have several advantages resulting from their electronic control and a large variety of available materials regimes.**
- 2. The anisotropic regimes of acoustooptic interaction seems to be more perspective for the most applications.**
- 3. In some cases, the advantages of isotropic media are worth remembering.**

## **References**

- Design and Fabrication of Acoustooptic Devices.** Ed. by A.Goutzoulis, D.Pape. Marcel Dekker Inc., New York, 1994
- Dixon R.W.** Acoustic diffraction of light in anisotropic media. IEEE Journ., QE-3, #2, 1967, p.85-93
- Nien S.T.K., Harris S.E.** Aperture-bandwidth characteristics of the filter. JOSA, 1972, v.62, #5, p.62-676
- Yano T., Watanabe A.** New noncollinear acoustooptic tunable filter using birefringence in TeO<sub>2</sub>. Appl. Phys. Letters, 1978, v.24, #6, p.256-258
- Chang I.C.** Noncollinear acoustooptic filter with large angular aperture. Appl. Phys. Letters, 1974, v.25, p.370-373
- Sivanaygam A., Findlay D.** High resolution noncollinear acoustooptic filters with variable passband characteristics: design. Appl. Optics, 1984, v.23, #24, p.4601-4608.

**Table 2. Physical parameters of acousto-optic materials**

| Material                                | Transparency range, $\mu\text{m}$ | Refraction index ( $\lambda=0.63 \mu\text{m}$ )           | Acoustic velocity, $v*10^5 \text{ cm/sec}$ | Figure of merit, $M_2*10^{-18} \text{ c}^3/\text{g}$ | Range of control frequencies, MH ( $\lambda=0.63 \mu\text{m}$ ) | Possible interaction regimes |
|---|-----------------------------------|---|--|--|---|------------------------------|
| TeO <sub>2</sub>                        | 0.36 - 5                          | $n_0=2.26$<br>$n_e=2.41$                                  | 0.617                                      | 600 - 1000   | 50 - 100  | w/o collinear                |
| LiNbO <sub>3</sub>                      | 0.4 - 4.5                         | $n_0=2.28$<br>$n_e=2.2$                                   | 3.9<br>6.57                                | 3 - 8  | 400 - 600   | all regimes                  |
| CaWO <sub>4</sub> (CaMoO <sub>4</sub> ) | 0.4 - 4.5                         | $\Delta n =  n_0 - n_e  = 0.016$                          | 2.3  | $\sim 10$  | 60  | collinear                    |
| SiO <sub>2</sub>                        | 0.15 - 4                          | $n_0=1.542$<br>$n_e=1.551$                                | 5.75                                       | $\sim 2$   | 80  | all regimes                  |
| Tl <sub>3</sub> AsSe <sub>3</sub>       | 1.25 - 17                         | $n_0=3.38$<br>$n_e=3.19$<br>( $\lambda=1.5 \mu\text{m}$ ) | 1.0  | $\sim 700$   | 100<br>( $\lambda=1.5 \mu\text{m}$ )                            | all regimes                  |

### 3. Normalization of the spectral response

For "slow" scanning regime

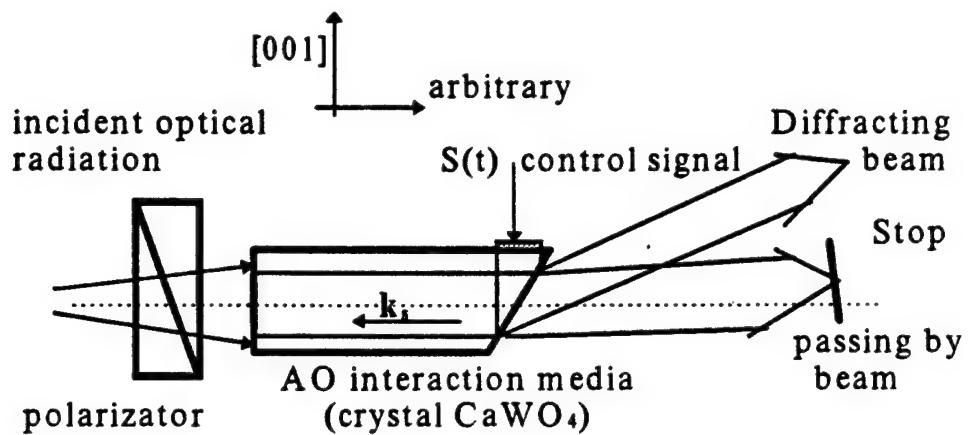
$\delta\lambda \sim \lambda^2$ ;  $N = \Delta f D / v$  - number of resolvable points

Result of normalization

$$\delta\lambda(\lambda) = \delta\lambda(\lambda_{\max}) = \text{Const}$$

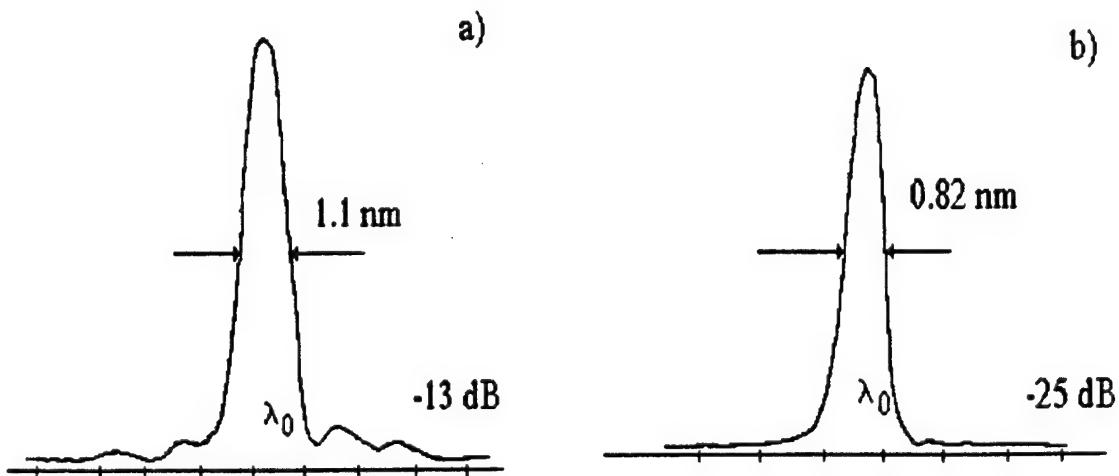
$$\text{if } f(t) = f_0 + bt^2$$

$$T = (N)^{0.5} D / v$$



**Fig.2. Collinear AOTF**

## 2. The main parameters of AOTF



**Fig.3. The spectral responses of AOTF ( $\lambda_0=0.63 \mu\text{m}$ )**

Table 1. The geometry of different regimes of acousto-optic interaction

|   |  |  |
|---|--|--|
| 1 |  | $\lambda f = nv \sin \Theta_0$<br>$k_s = \frac{2\pi f}{v}, \quad k_i = k_d = \frac{2\pi n}{\lambda}$<br>$\delta\lambda \approx \frac{\lambda^2}{D \sin \Theta_0}$<br><i>isotropic</i>  |
| 2 |  | $\lambda f = \Delta n_0 v \sin \Theta_i$<br>$k_i = \frac{2\pi n_i}{\lambda}, \quad k_d = \frac{2\pi n_d}{\lambda}$<br>$\delta\lambda \approx \frac{\lambda^2 \cos \Theta_i}{\Delta n_0(\lambda) L \sin^2 \Theta_i}$<br><i>quasicollinear</i>                                       |
| 3 |  | $\lambda f \cong v \frac{\Delta n(\lambda, \Theta_i)}{\cos \Psi}$<br><i>subcollinear</i>   |
| 4 |  | $\lambda f = \Delta n v \sqrt{(\sin^4 \Theta_i + \sin^2 2\Theta_i)}$<br>$\delta\lambda \approx \frac{\lambda^2 \cos(\Theta_s - \Theta_i)}{\Delta n_0(\lambda) L \sin^2 \Theta_i}$<br>$\operatorname{tg} \Theta_i \operatorname{tg} (\Theta_s - \Theta_i) = 2$<br><i>Tangential</i> |
| 5 |  | $\lambda f = \Delta n(\lambda) v$<br>$\delta\lambda = \frac{\lambda^2}{\Delta n(\lambda) L}$<br><i>collinear</i>   |

$$\Delta \Psi R = \text{const}$$

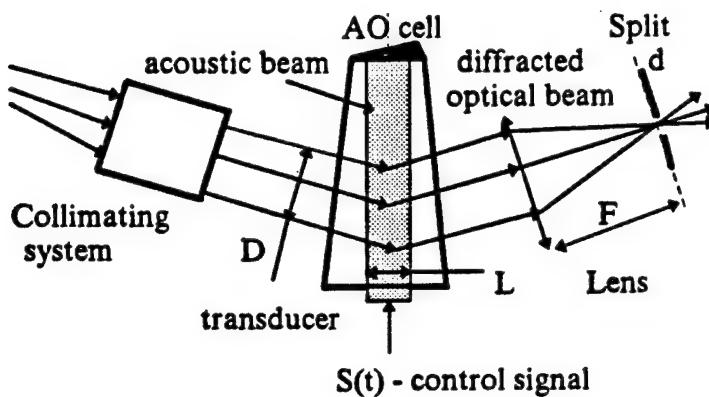


Fig.1. The isotropic acoustooptic tunable filter

$$d \leq \frac{k\lambda F}{D} \quad R_s = \frac{fD}{v} \quad R_o = \frac{fL}{v} \tan \Theta_o,$$

$$R_i = R_o \quad \rightarrow \quad \frac{\delta\lambda}{\lambda} = \frac{0.66}{R}, \quad g(\lambda) \sim \left\{ \text{sinc} \left[ \pi R \left( \frac{\lambda}{\lambda_0} - 1 \right) \right] \right\}^4$$

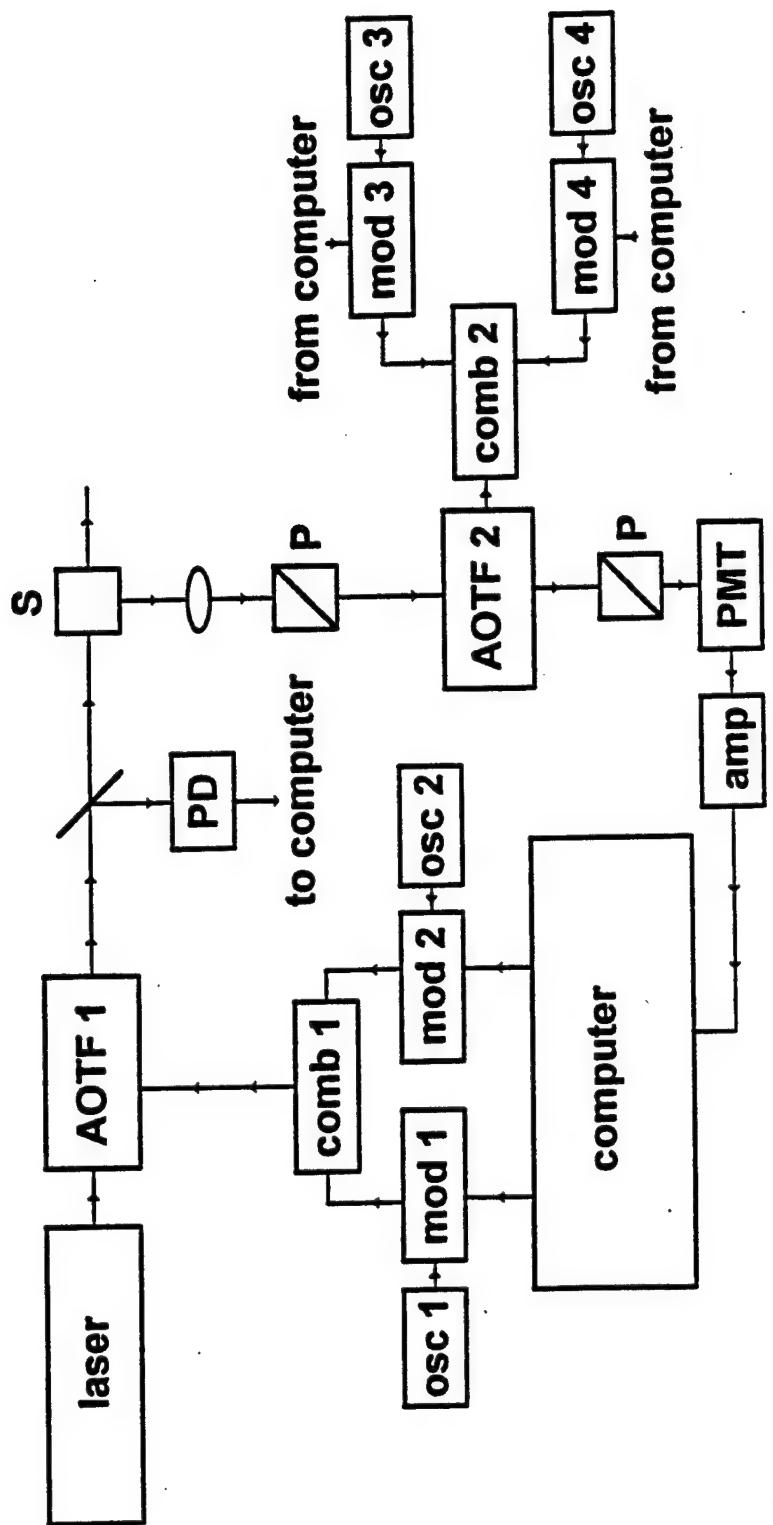
### The advantages of isotropic interaction

1. The independence to the polarization of the input optical signal
2. More materials can be used in manufacturing of devices under different technical requirements
3. Large angular aperture in the plane orthogonal to the acousto-optic interaction plane
4. The isotropic materials are comparatively less expensive and their workpieces can be larger

# **Integrated Acousto-Optic Tunable Filters for Blue-Green Spectral Region**

by

**C. S. Tsai and A. M. Matteo, University of California, Irvine,**



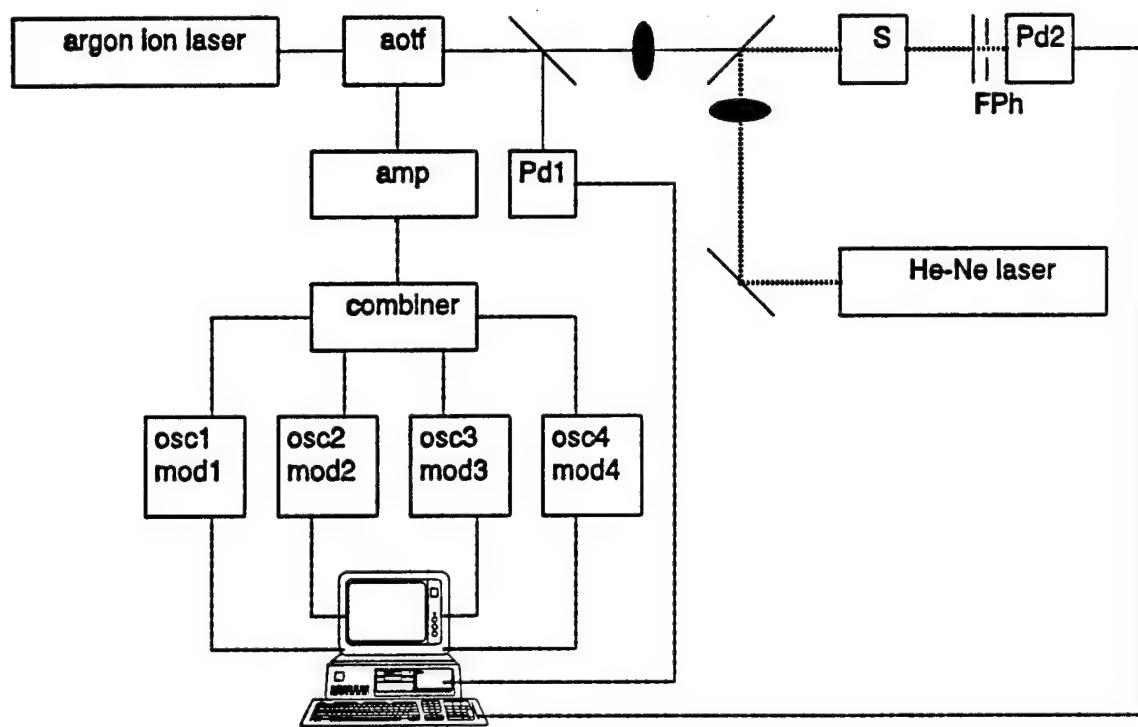


Fig. 2

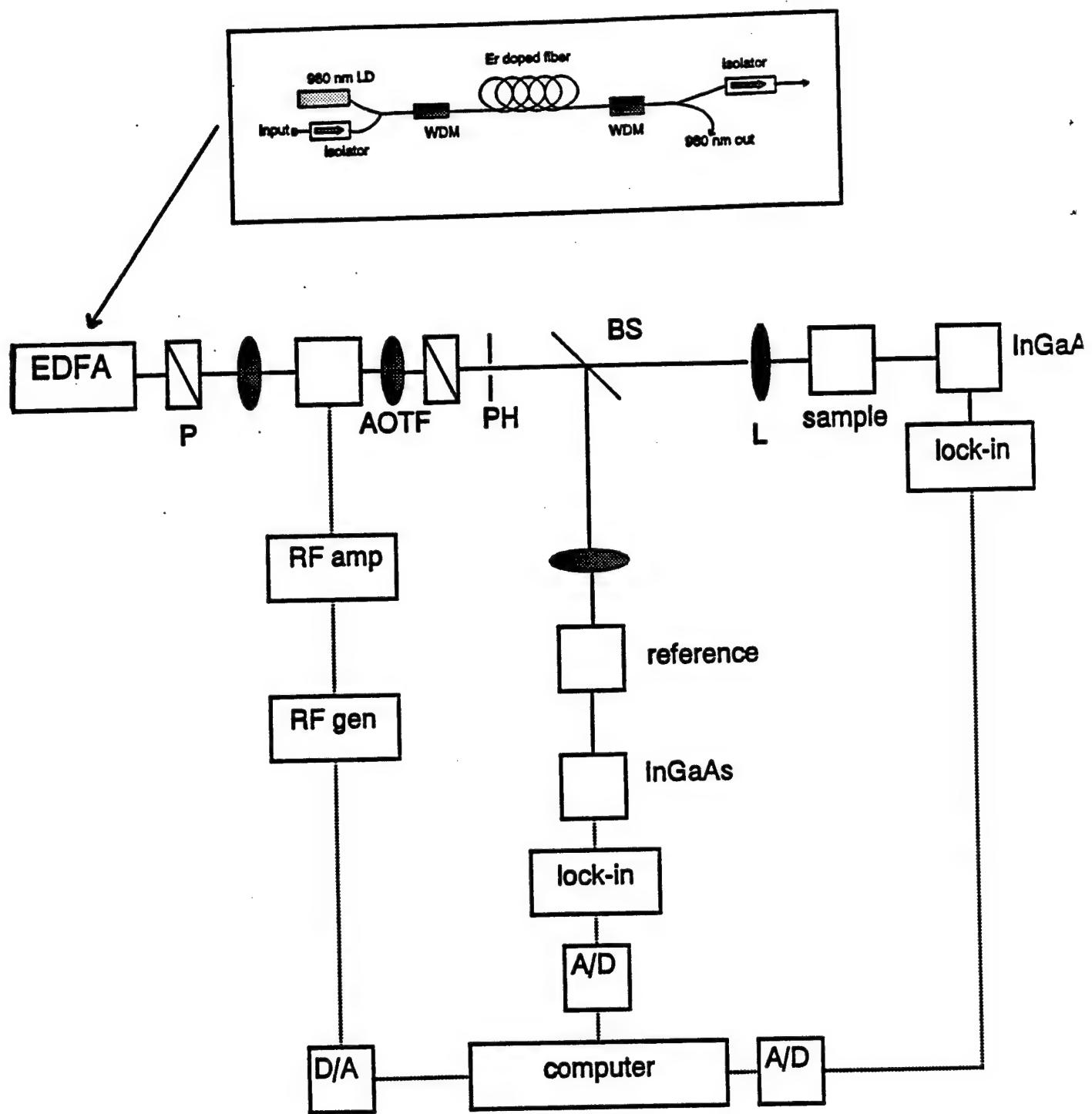


Fig. 3

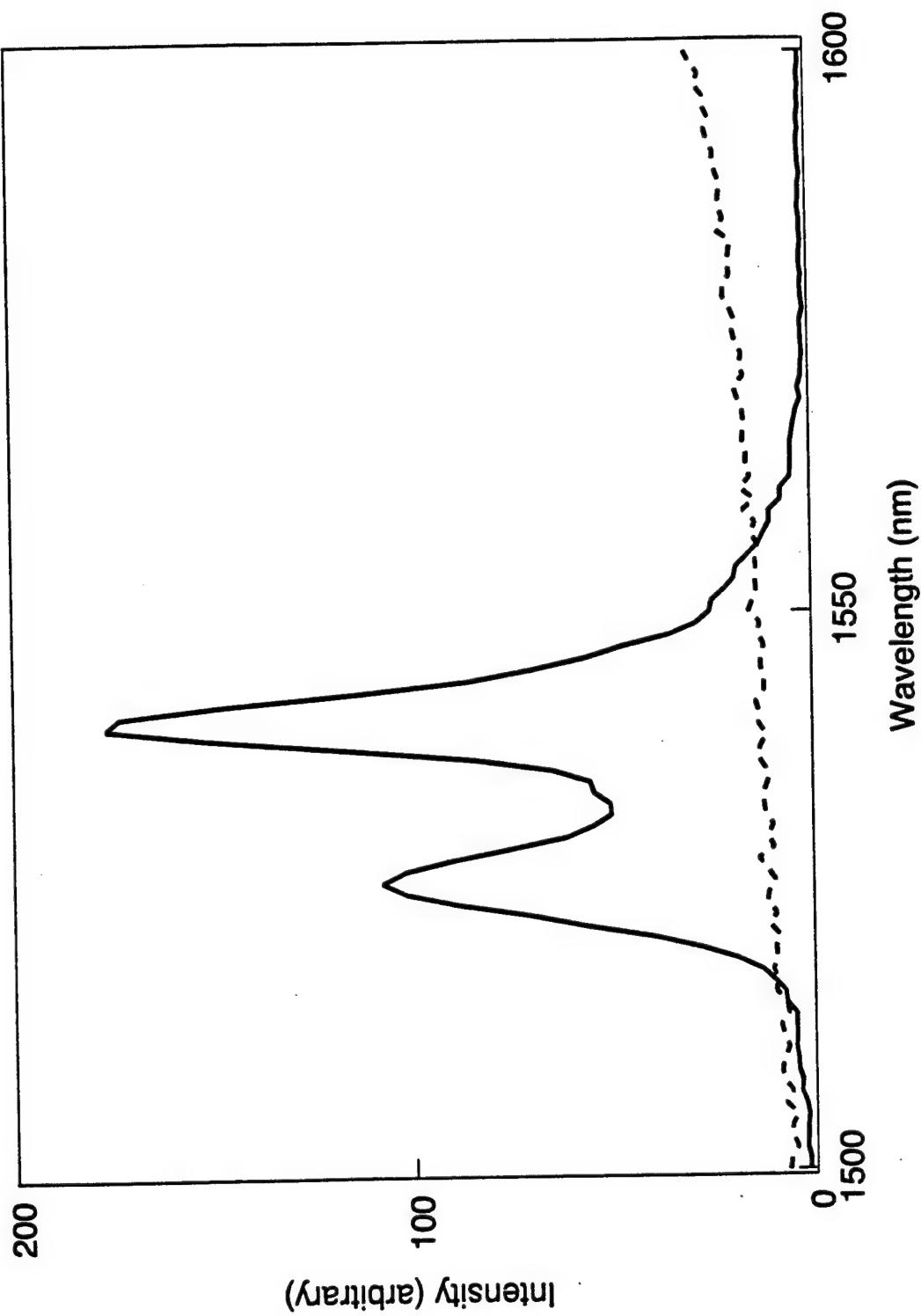
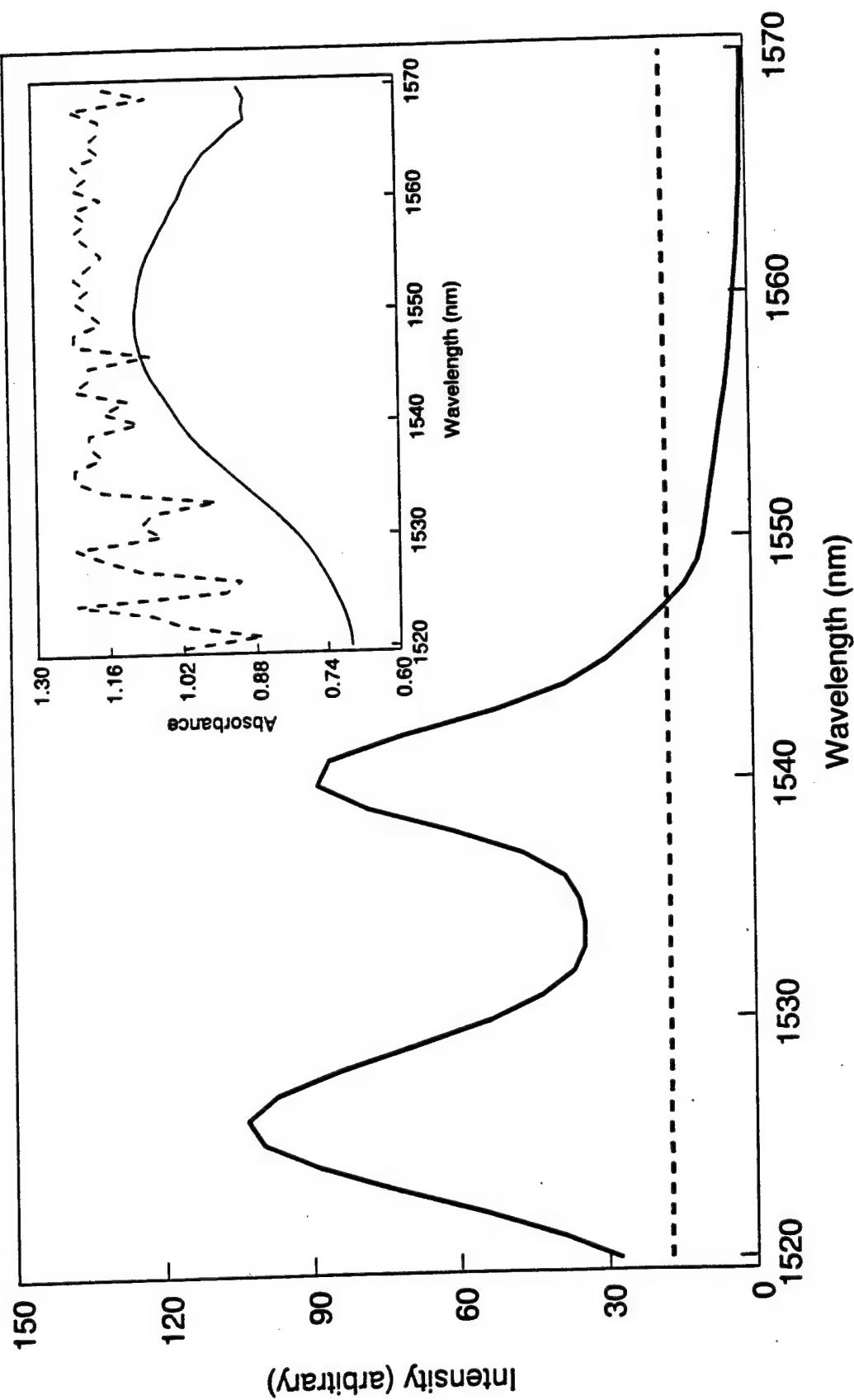
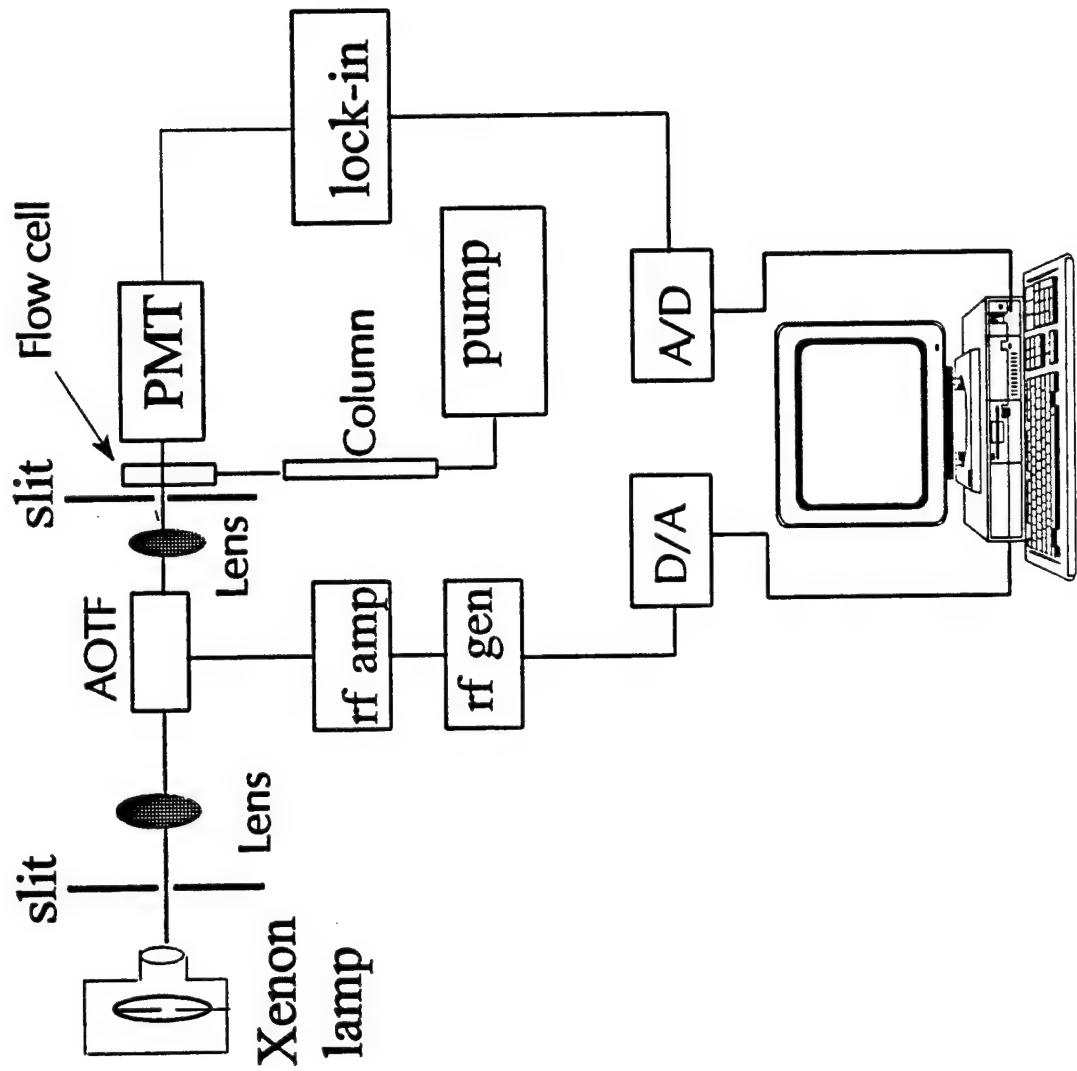
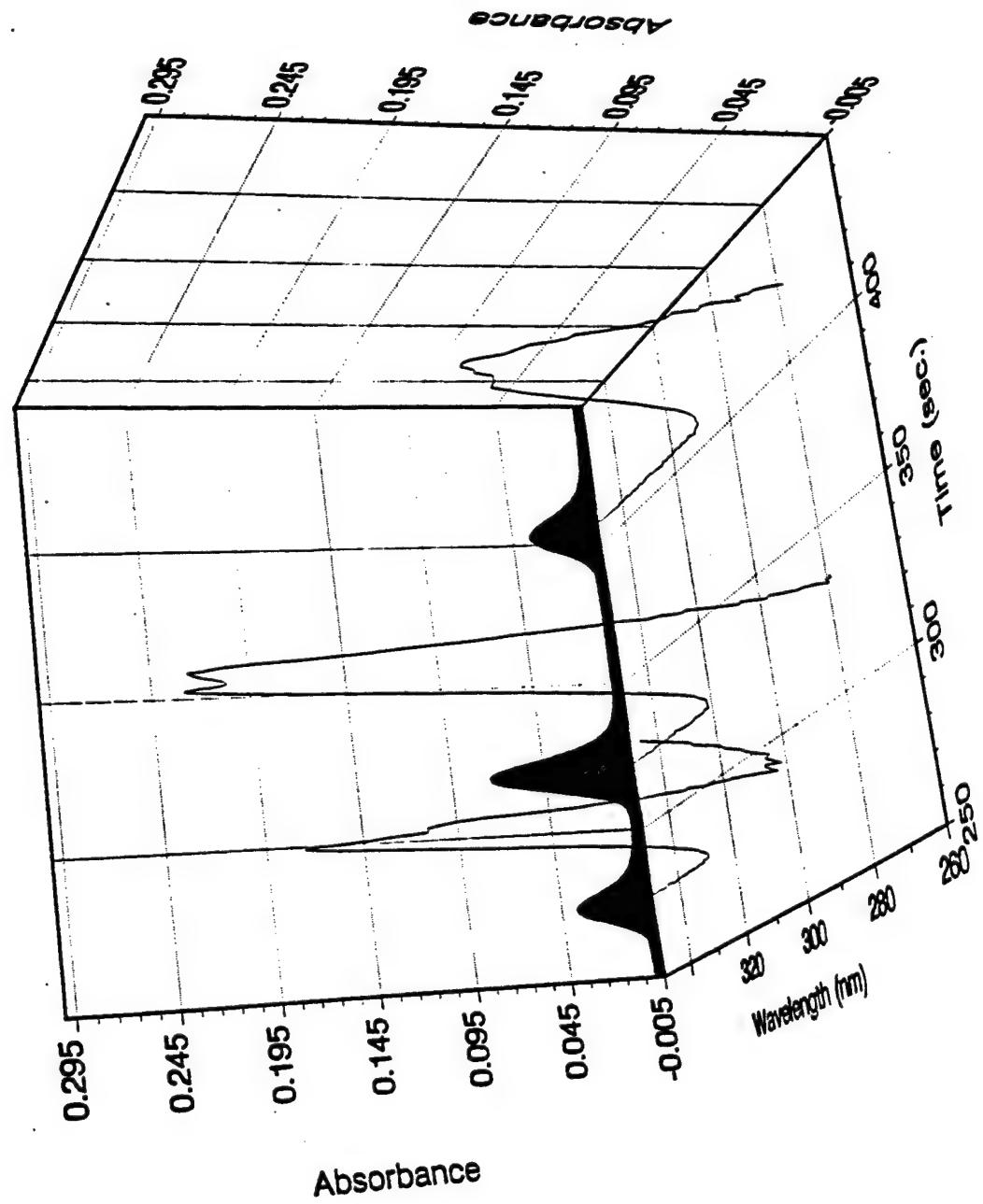
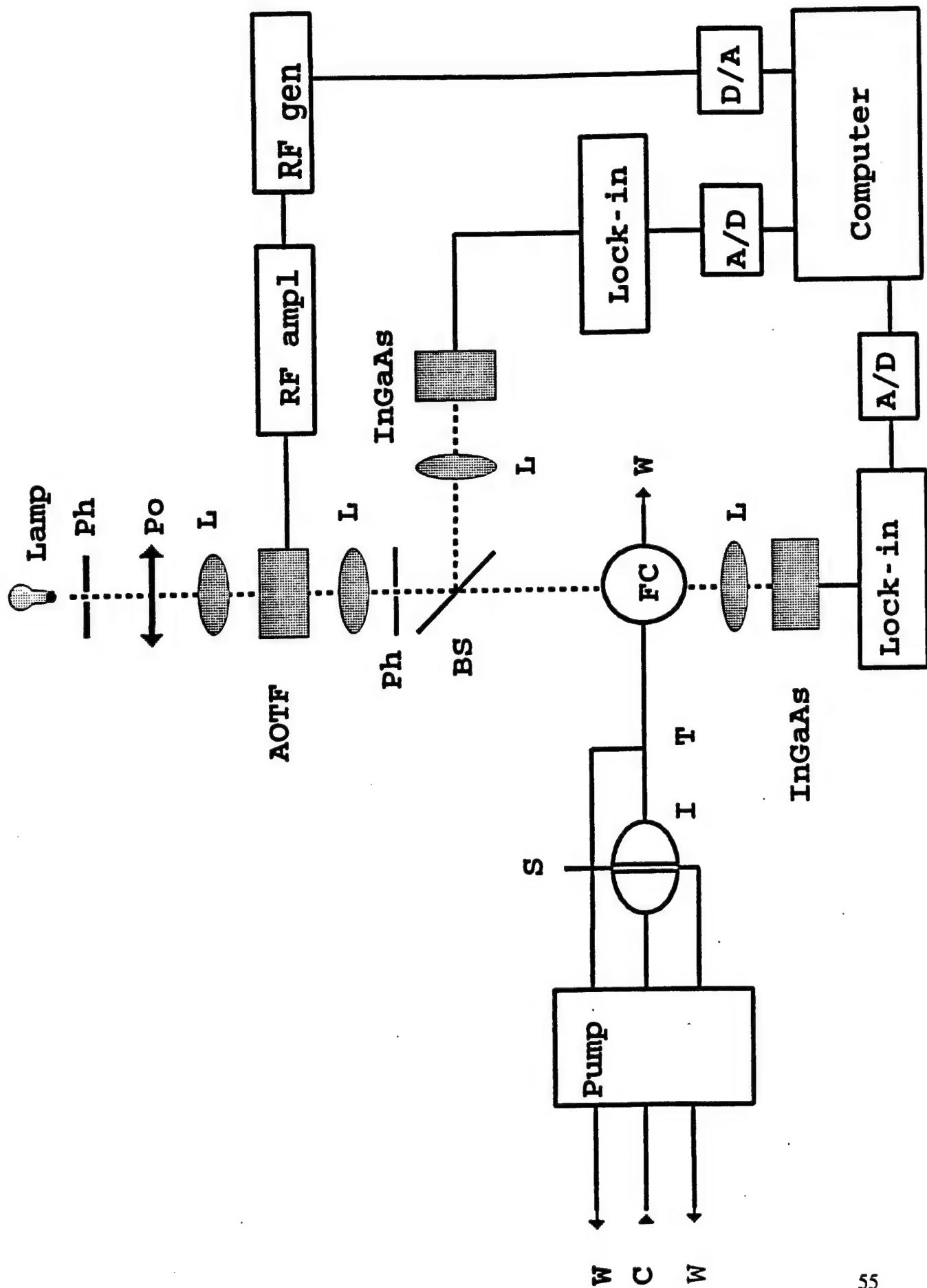


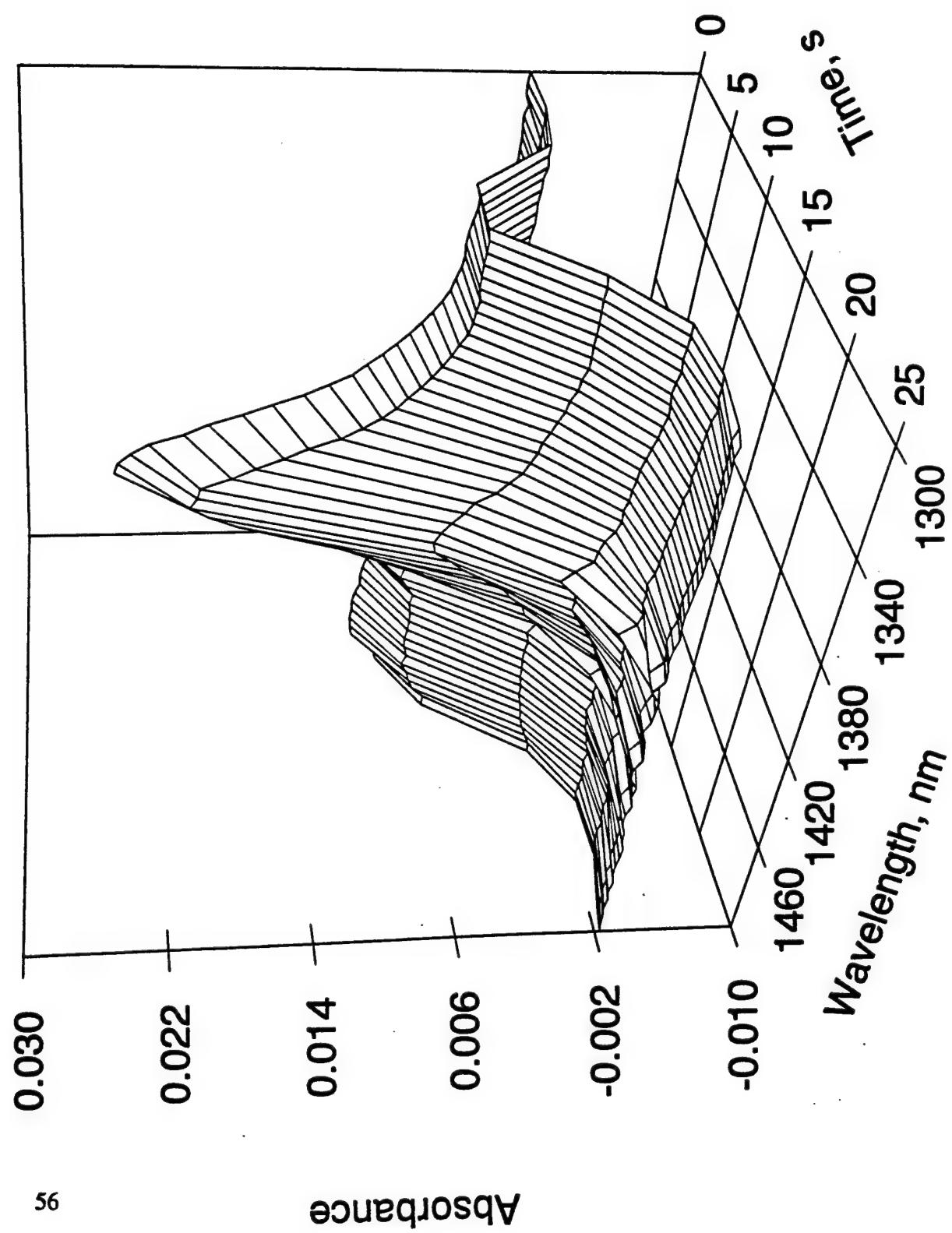
Fig. -

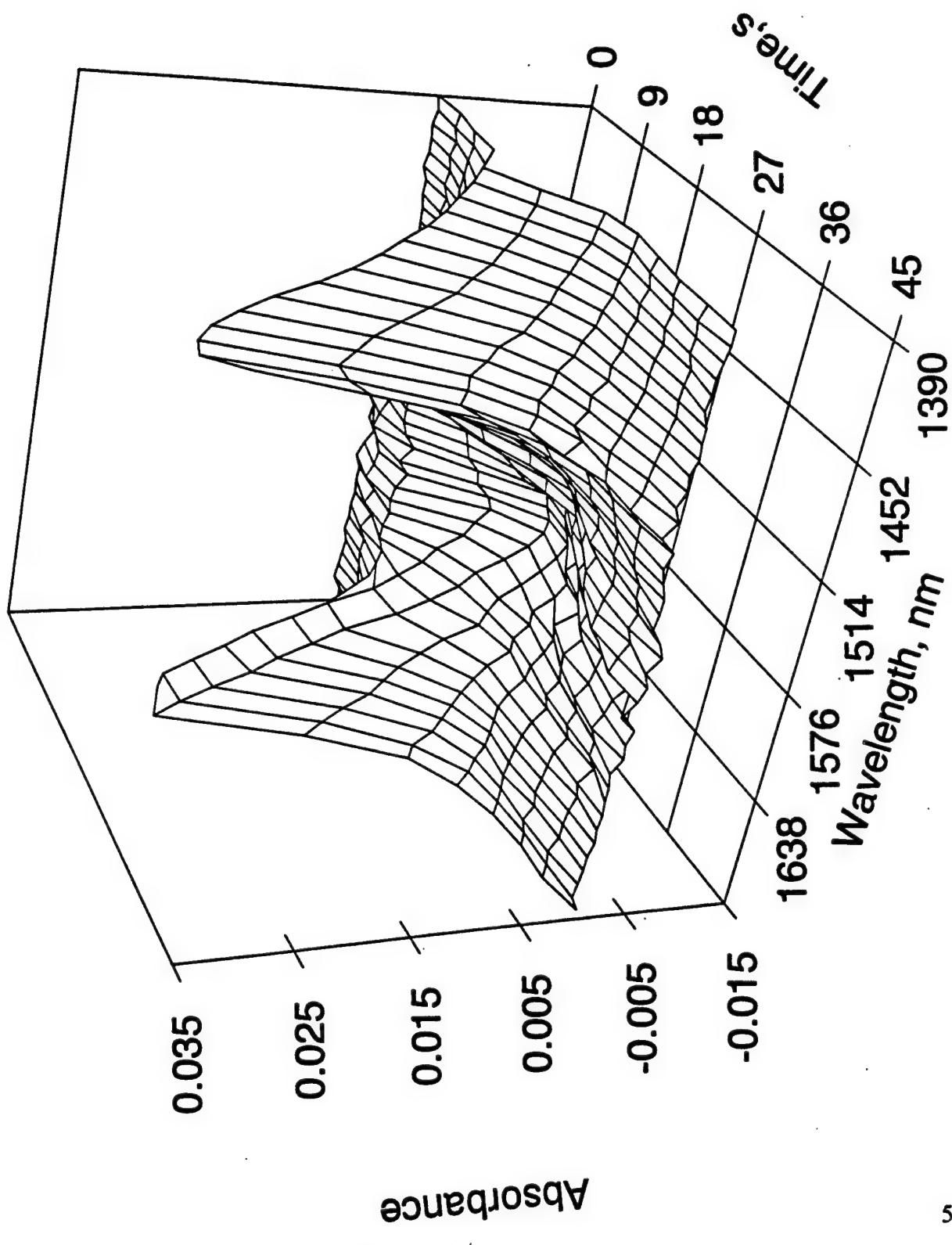












# APPLICATION OF AOTF TECHNOLOGY FOR CHEM/BIO DETECTION

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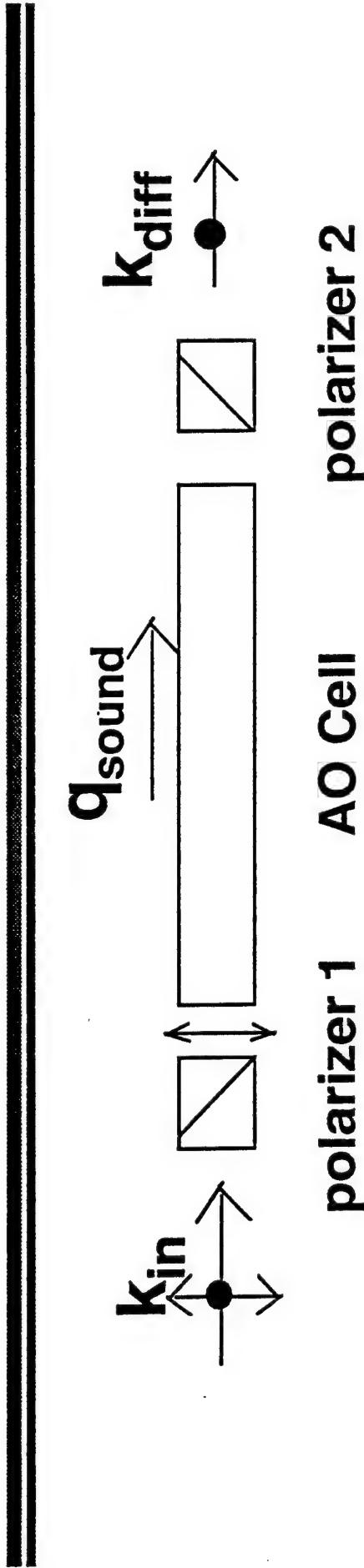
*Dr. Neelam Gupta & Dr. N. F. Fell, Jr.*

Sensors & Electron Devices Directorate  
Army Research Lab  
Adelphi, MD 20783

FIRST ARL WORKSHOP ON  
AOTF TECHNOLOGY  
24-25 September 1996

Center for Adult Education, University of Maryland

## COLLINEAR AOTF



polarizer 1      AO Cell      polarizer 2

$$\begin{aligned} f_{\text{diff}} &= f_{\text{in}} + \Omega \\ K_{\text{in}} - K_{\text{diff}} - q &= 0 \end{aligned}$$

$$\lambda = (n_o - n_e) v_s / \Omega$$

$$\text{Spectral Resolution } \Delta\lambda/\lambda = \mathcal{M} L \Delta n$$

## **EXAMPLE: CRYSTAL QUARTZ AOTF**

$$n_o = 1.548, n_e = 1.539$$

$$v_s = 6.0 \times 10^5 \text{ cm/sec}$$

for visible band  $400 \text{ nm} < \lambda < 800 \text{ nm}$

$$135 \text{ MHz} < \Omega_{\text{sound}} < 68 \text{ MHz}$$

## COLLINEAR AOTF ADVANTAGES

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- Lightweight, Compact, Portable
- No Moving Parts, Rugged
- Reliable
- Reproducible Operation
- Rapid Tuning and Scanning
- All Solid State Operation
- High Spectral Resolution
- Polarization Separation
- High Extinction Ratio
- Broad Tuning Range
- High Throughput
- Sequential or Random λ Access
- Capability for Multi λ Operation
- High Signal-to-Noise Ratio
- Uncooled Operation
- Programmable, Computer Control
- Arbitrary Spectral Signal Generation
- Flexible

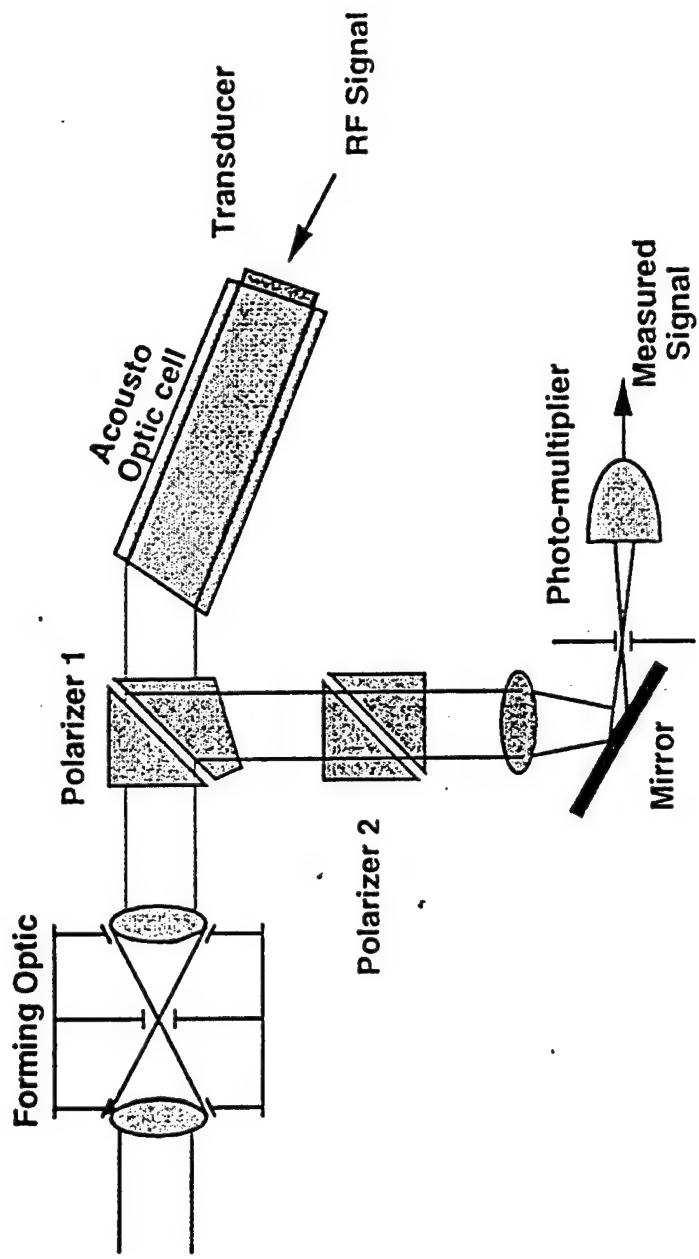
## AOTF Specifications

|                                | Quartz 4  | Visible   | UV        |
|--------------------------------|-----------|-----------|-----------|
| <b>Spectral Range (nm)</b>     | 420-785   | 400-800   | 255-430   |
| <b>Resolution (nm)</b>         | 0.12-0.5  | 0.1-0.54  | 0.05-0.2  |
| <b>Position error (nm)</b>     | $\pm 0.5$ | $\pm 0.2$ | $\pm 0.2$ |
| <b>Max Number of Points</b>    | 4096      | 4790      | 7892      |
| <b>ADC Range</b>               | 10 bits   | 12 bits   | 12 bits   |
| <b>Amplification</b>           | 31        | 15        | 15        |
| <b>PMT Voltage Sensitivity</b> | -         | 1:3:9:30  | 1:3:9:30  |
| <b>Effective Dynamic Range</b> | 31,744    | 1,843,200 | 1,843,200 |
| <b>Aperture</b>                | 6 x 6 mm  | 6 x 6 mm  | 6 x 6 mm  |
| <b>Field of View</b>           | 2°        | 2°        | 2°        |

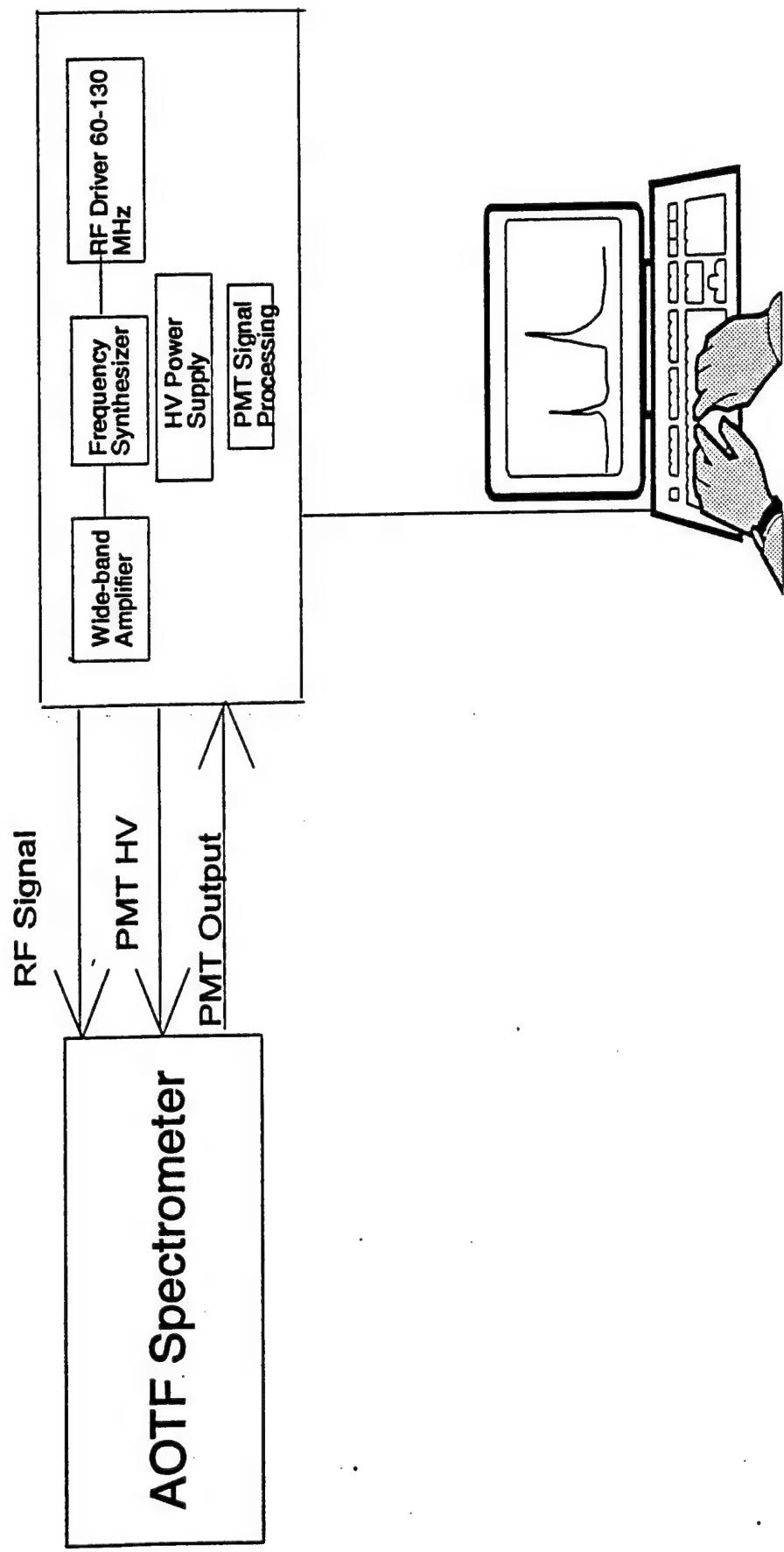
## AOTF APPLICATIONS AT ARL

- Sensing of Chemical & Biological Agents: Fluorescence, Absorption, Emission, Raman, LIBS, etc.
- Remote Sensing/ Environmental Monitoring
- Multispectral and Hyperspectral Imaging
- Medical Applications; i.e. Blood Analysis
- Fire Sensing
- Polarization Spectroscopy

**OPTICAL SCHEME OF ACOUSTIC SPECTROMETER  
"QUARTZ - 4"**

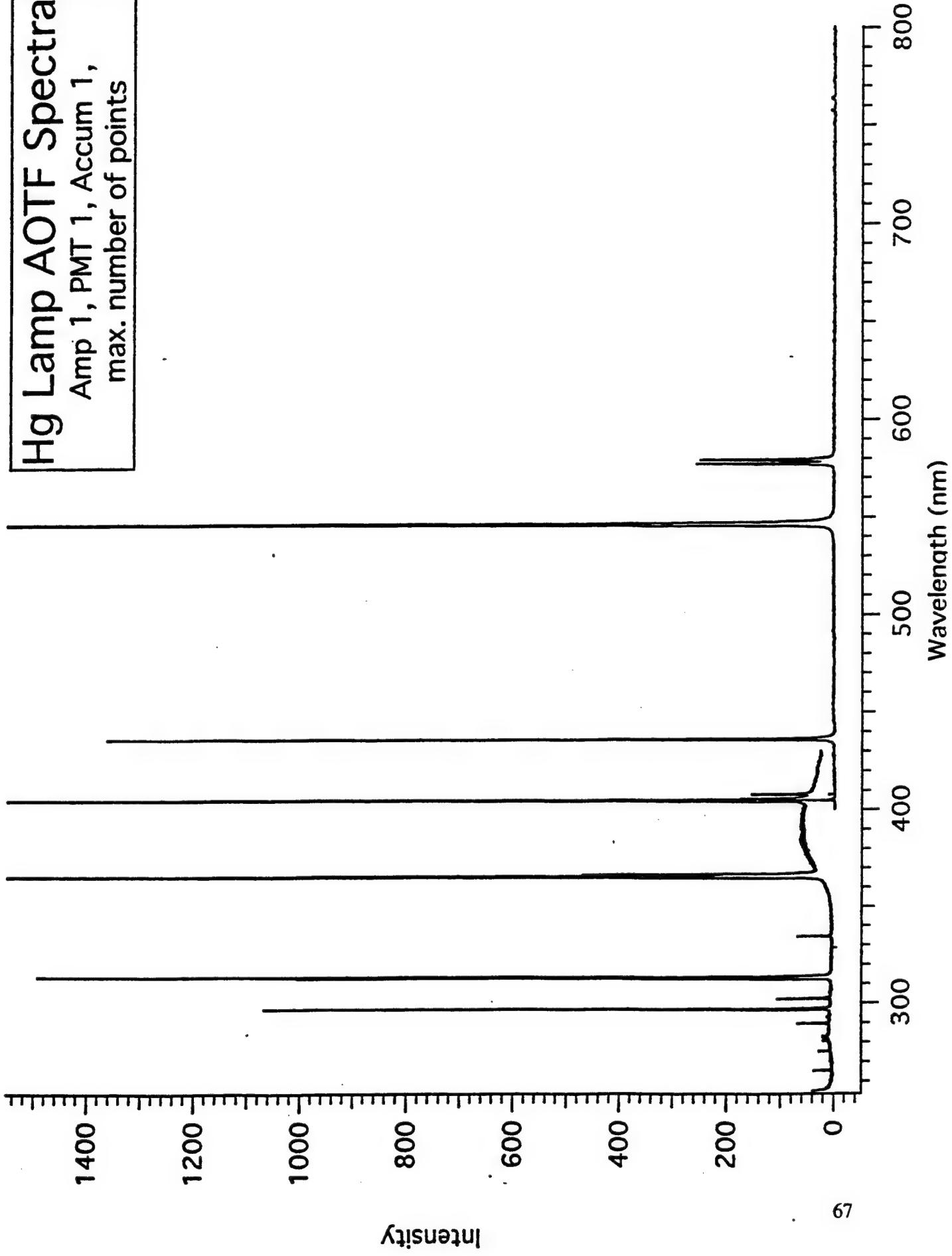


# AOTF Spectrometer System

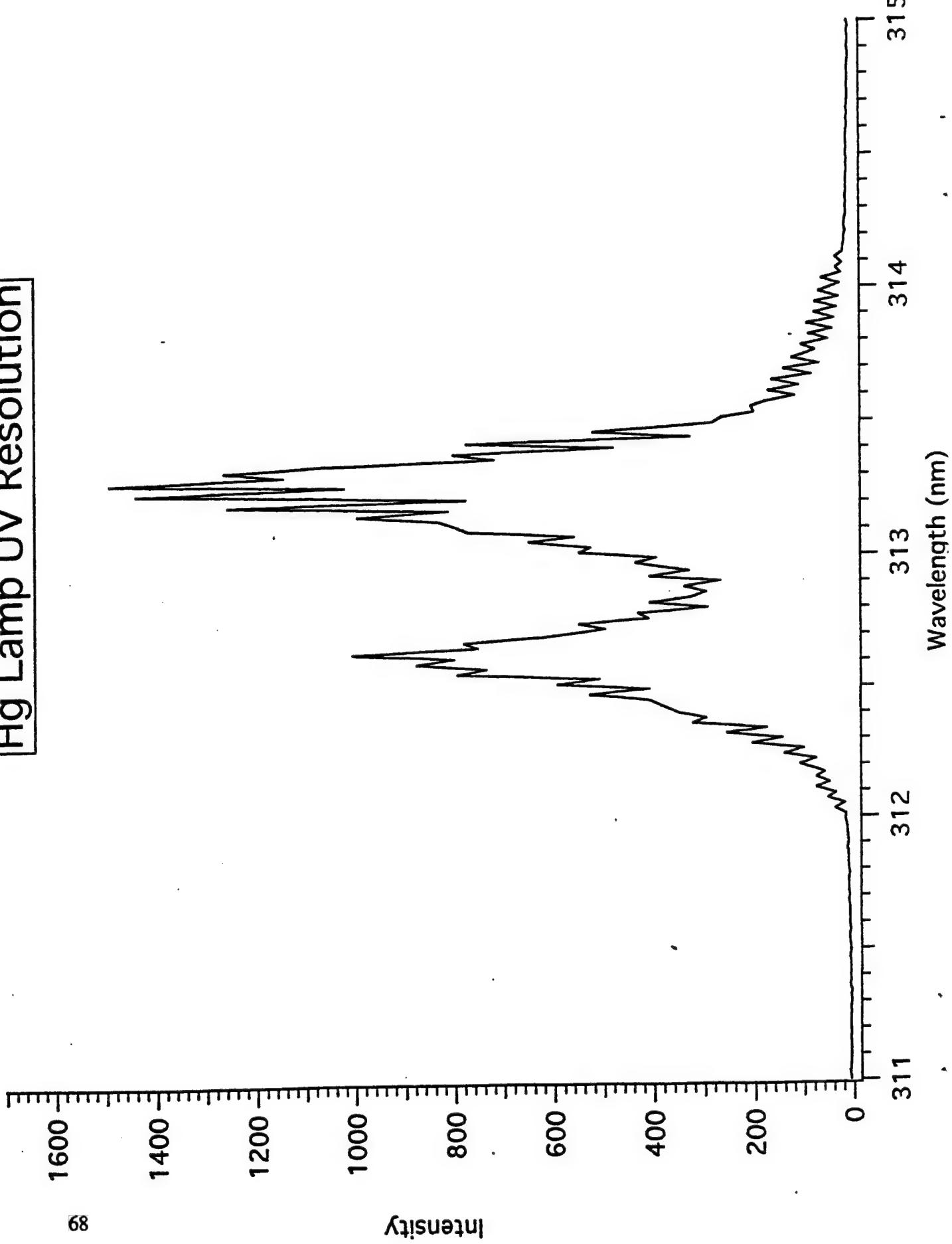


## Hg Lamp AOTF Spectra

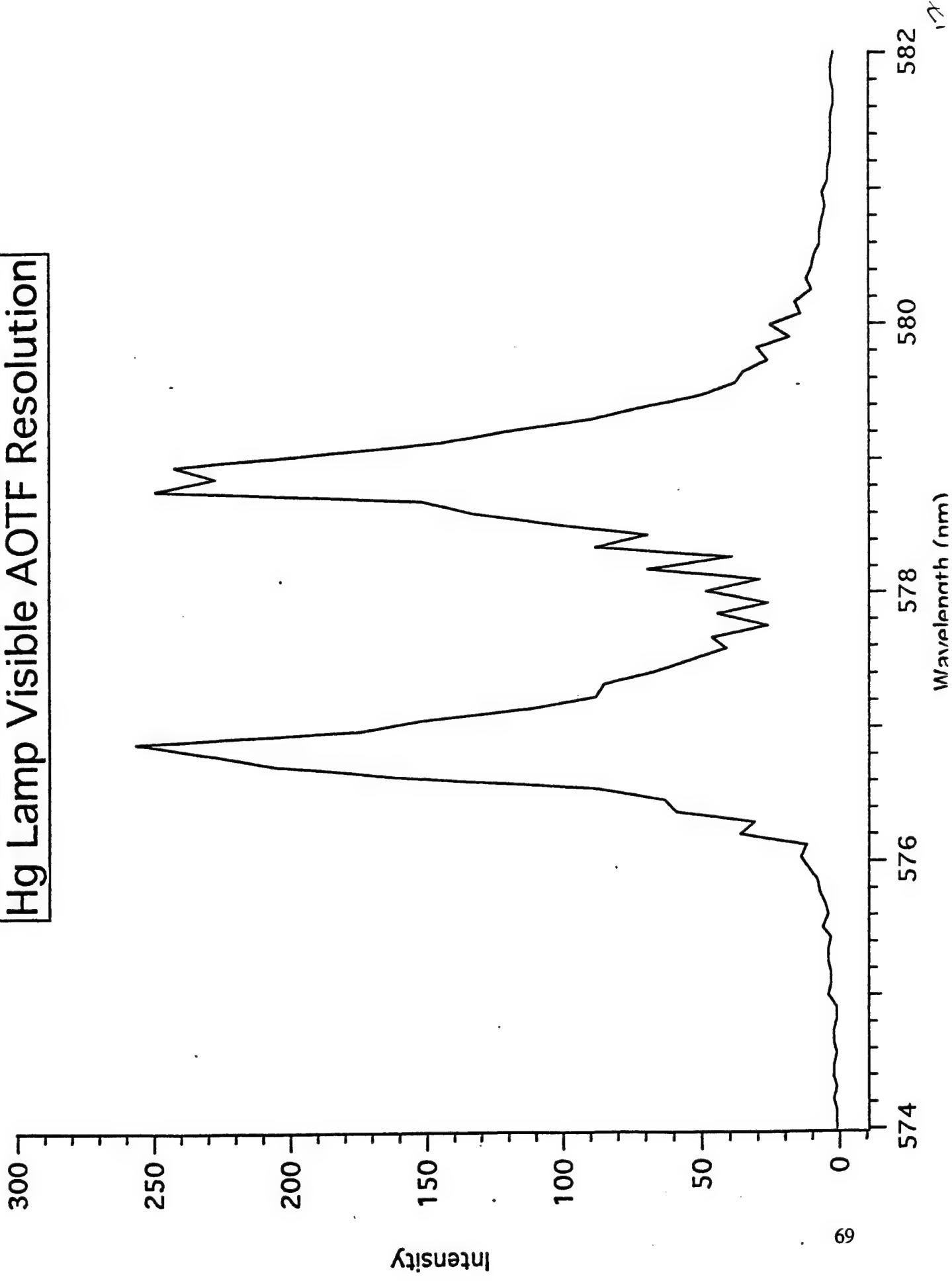
Amp 1, PMT 1, Accum 1,  
max. number of points



## Hg Lamp UV Resolution



## Hg Lamp Visible AOTF Resolution



# Sunlight through Window with AOTF

Amp 3, PMT 2, Accum 50, 4790 points

70

100

90

80

70

60

50

40

30

20

10

0

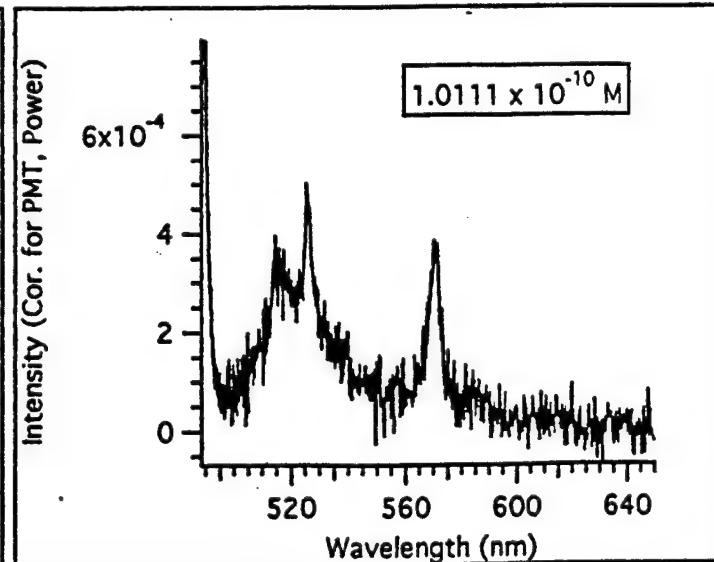
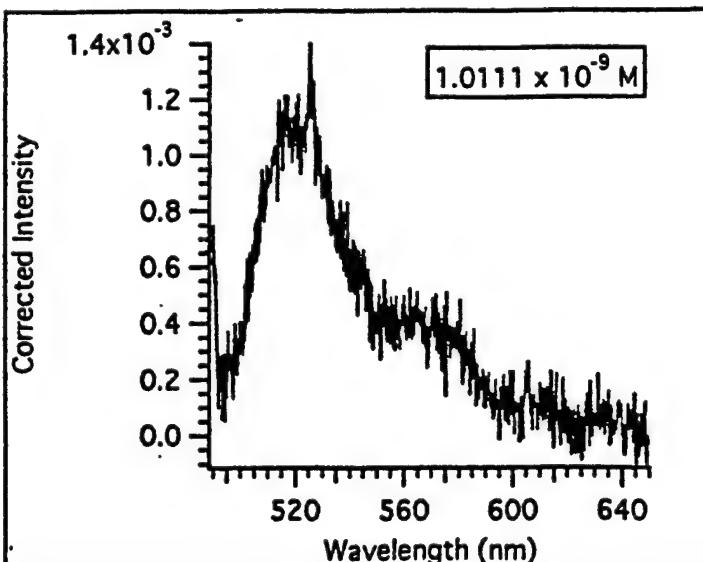
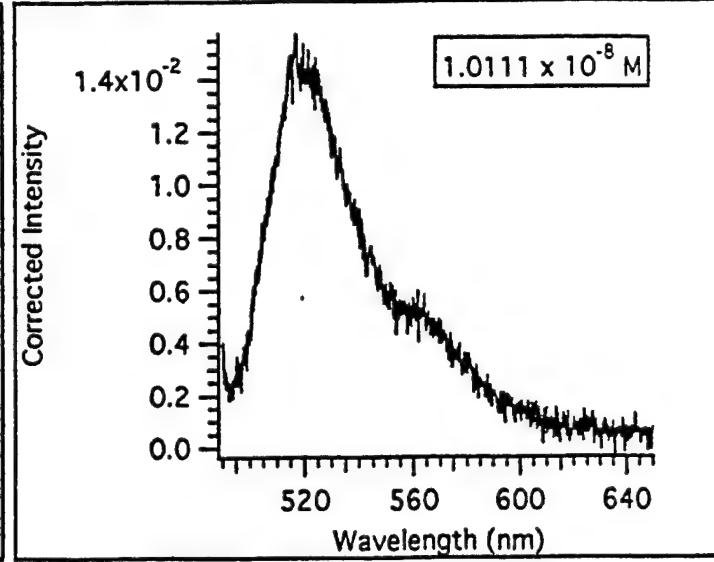
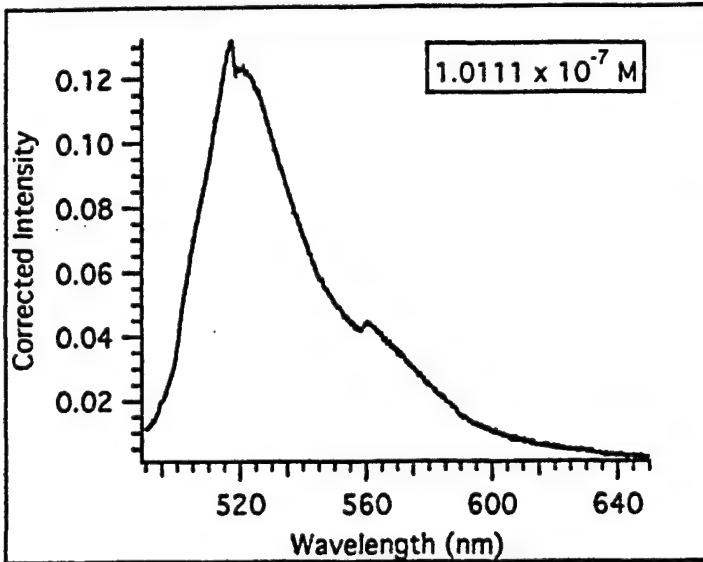
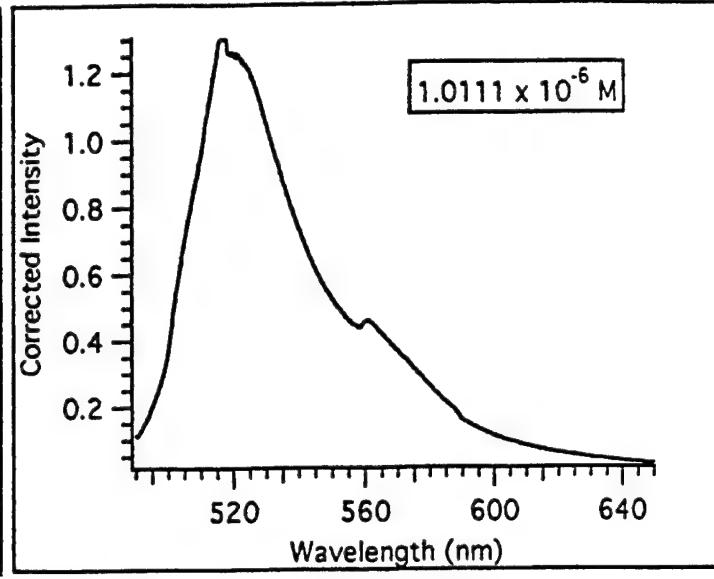
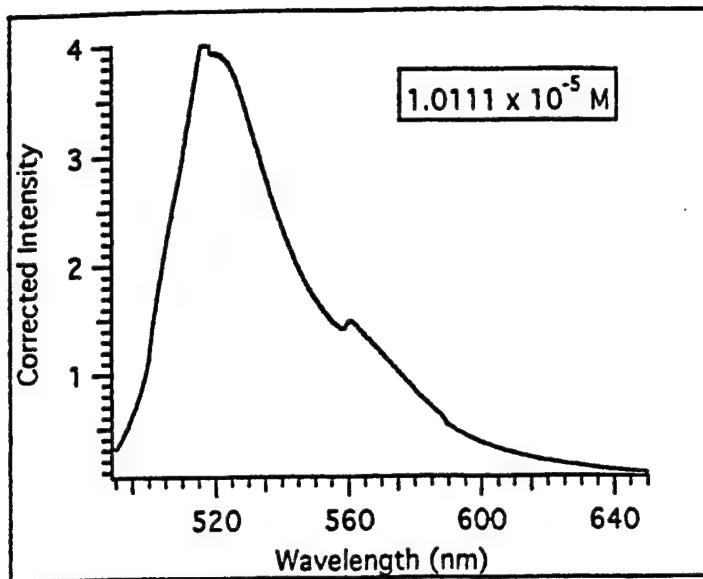
Intensity

800  
750  
700  
650  
600  
550  
500  
450  
400

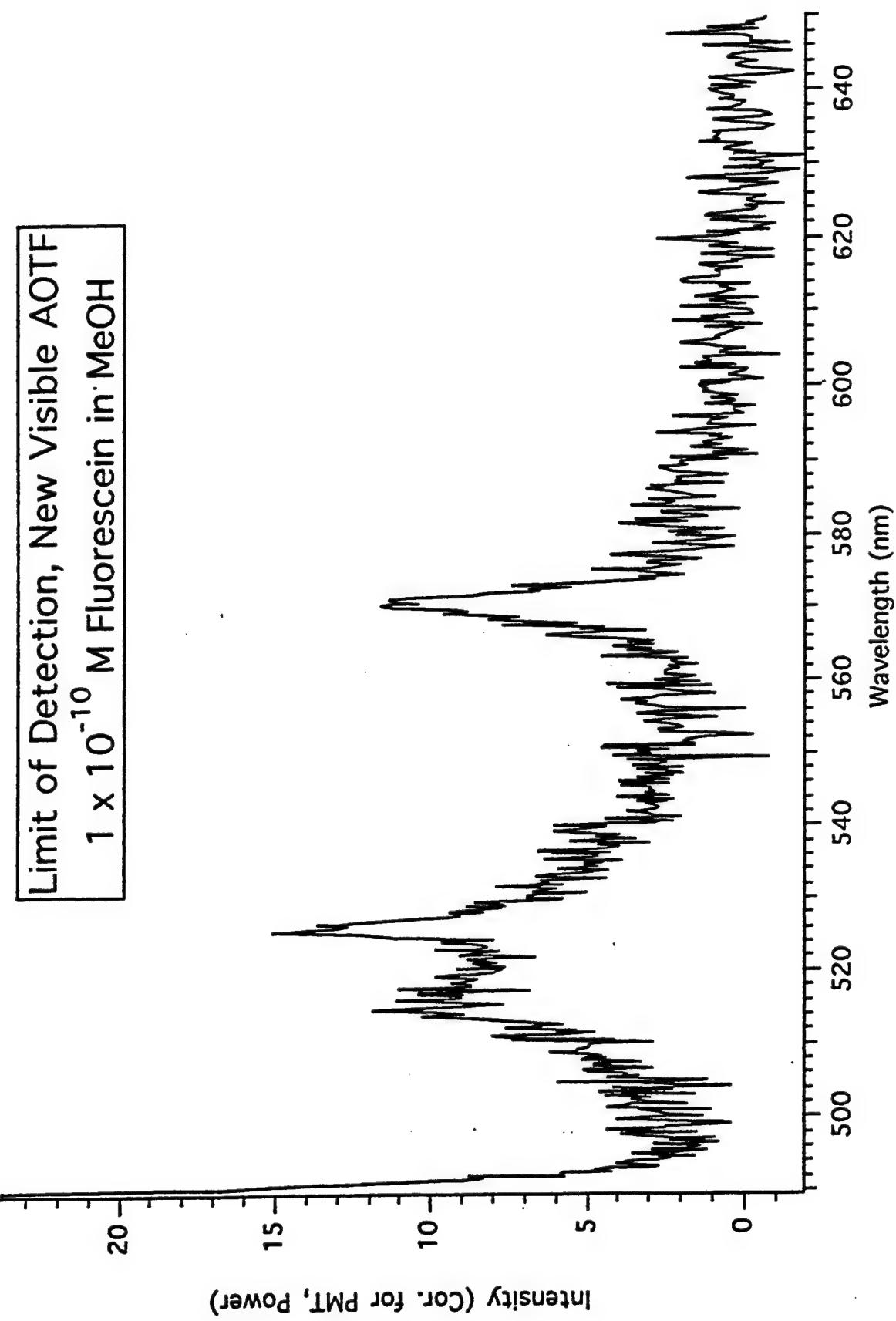
Wavelength (nm)

# Fluorescein in Methanol Fluorescence

Corrected for laser power and PMT setting

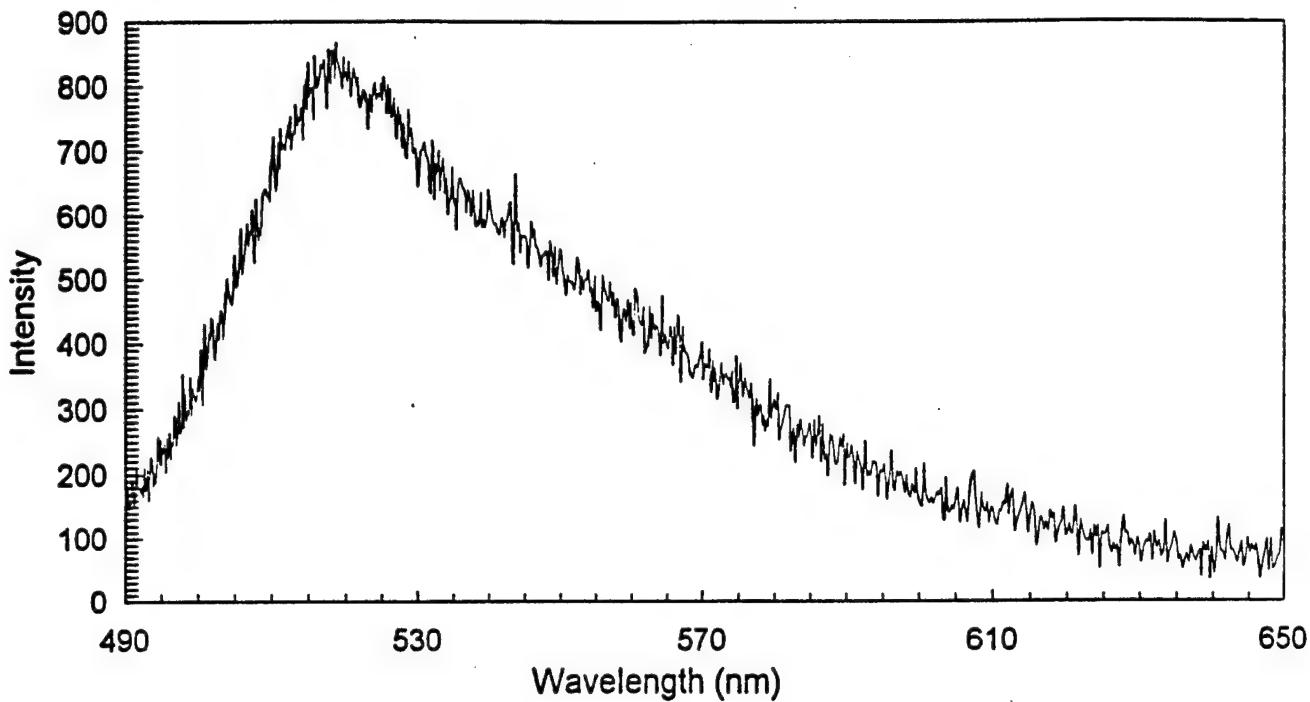


21



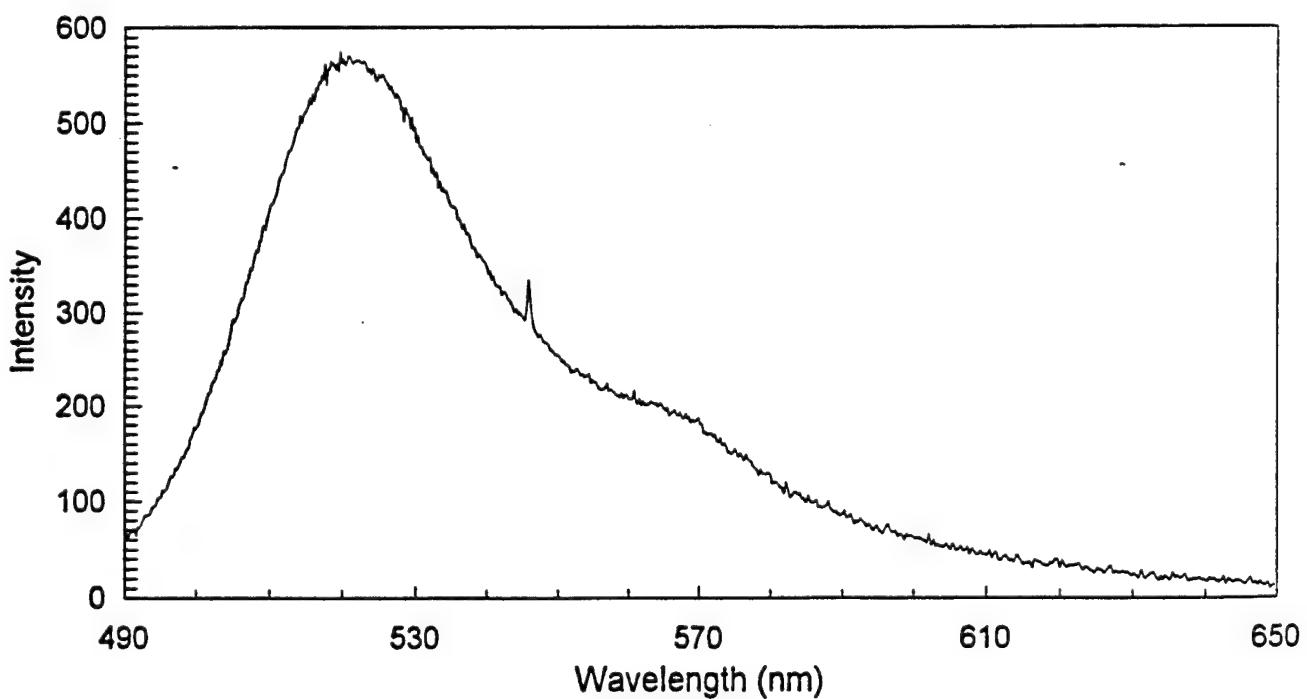
# 1e-7 M Fluorescein in MeOH

Quartz 4, 260 mW, Amp 31, 10 Accum

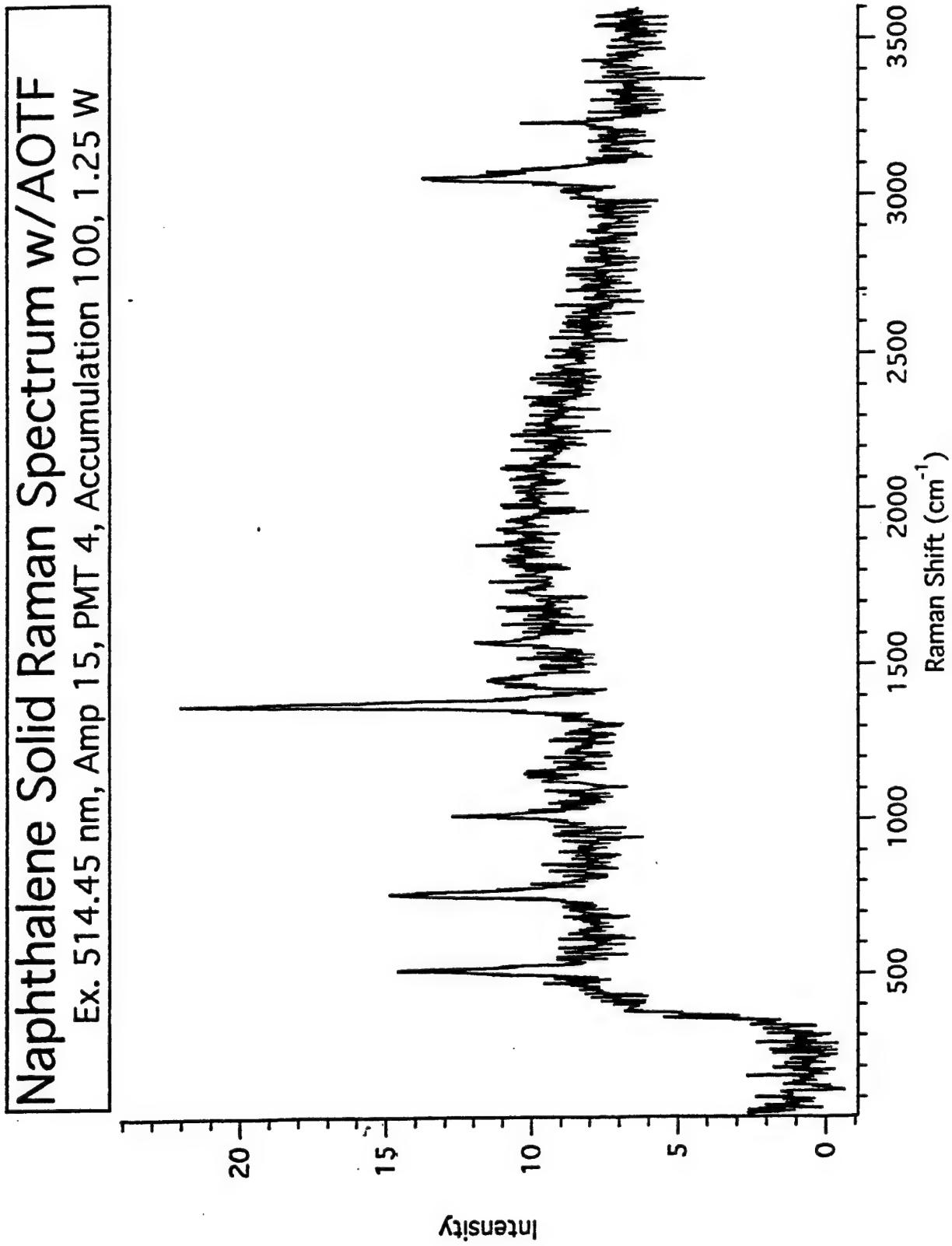


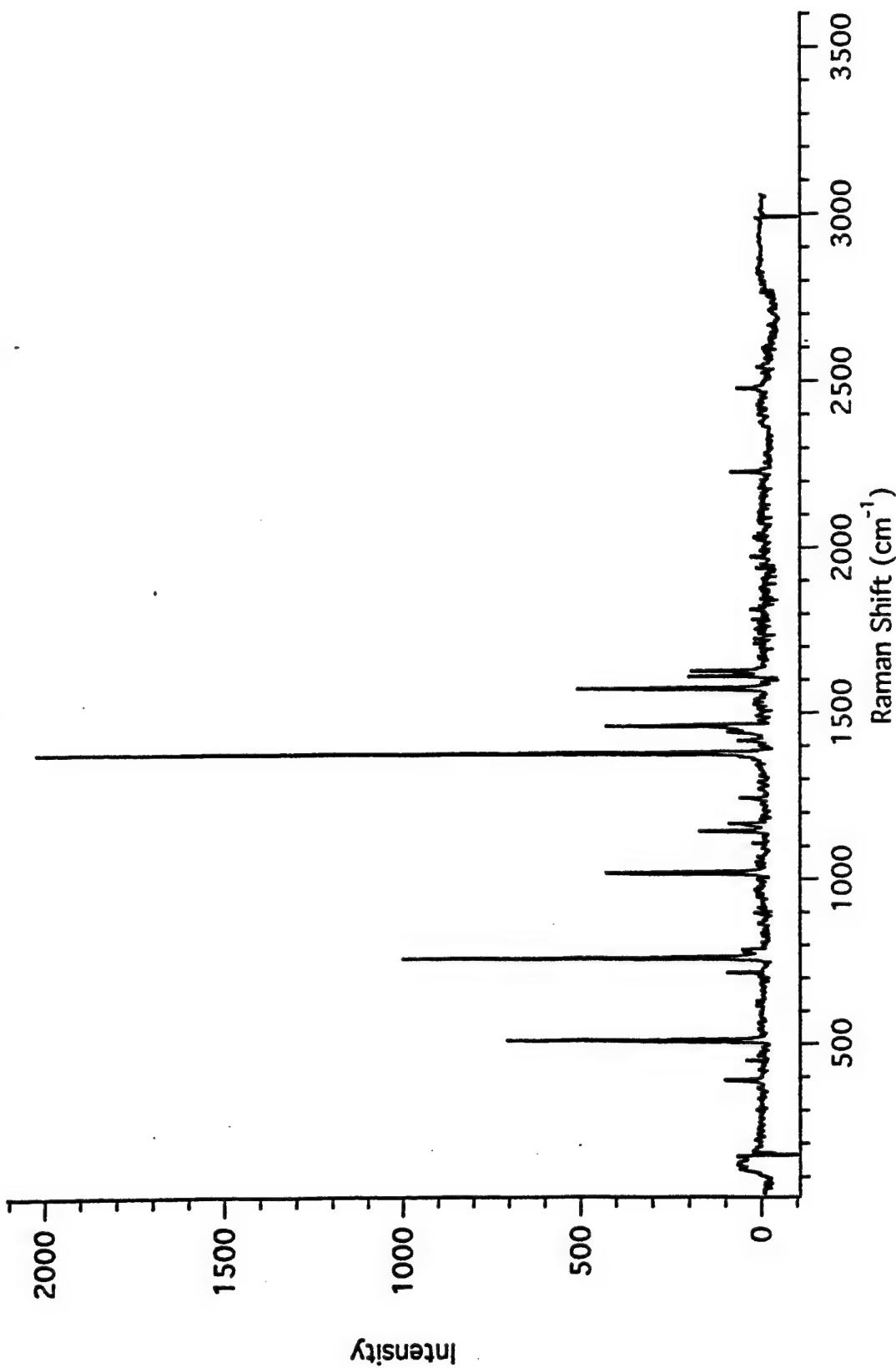
# 1e-7 M Fluorescein in MeOH

New AOTF, 260 mW, PMT 4, Amp 1, 10 Accum



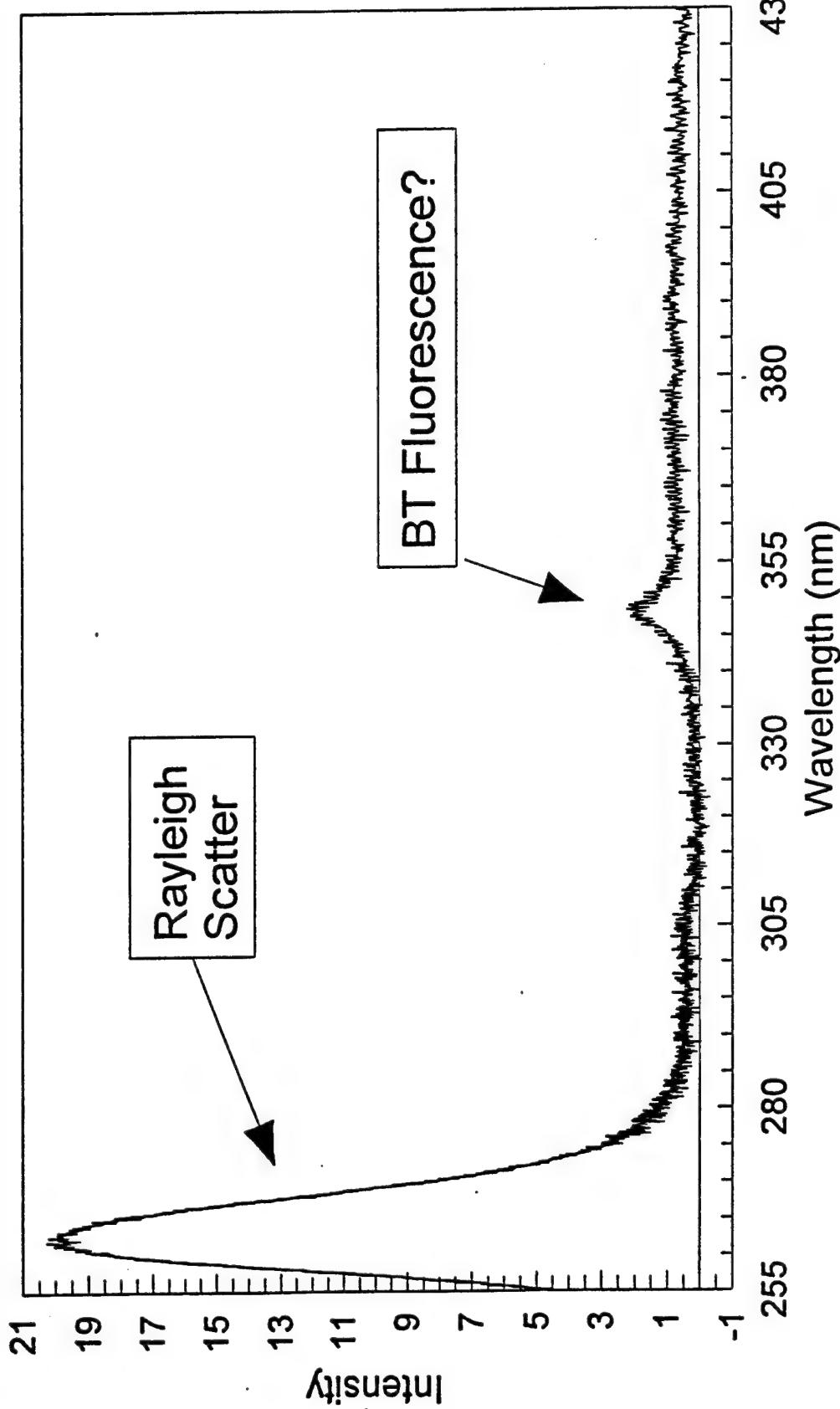
12





# BT-containing Insecticide in Quartz Cuvette

Ex. 260 nm, Amp 15, PMT 4, Accum 1.00



## SUMMARY

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- Characterized Performance for three UV/VIS spectrometers.
- Obtained Results for Fluorescence Measurements.
- Obtained Results for Raman Scattering
- Evaluating Instruments for Stand-off Chem/Bio Detection.
- Mid-IR AOTF being Developed.
- Imaging Experiments Planned.
- Polarization Experiments Planned.
- Fire Sensing Proposed.

# **FACTORS AFFECTING AOTF IMAGE QUALITY**

**L.J Denes, Boris Kaminsky, M. Gottlieb and P. Metes**

**Carnegie-Mellon Research Institute  
Pittsburgh, Pennsylvania**

**This work was supported under U.S. Army SBIR subcontract, No.  
DAAB07-93-C-0005, and U.S. Navy contract N00014-95-1-0591**

## Image Blur Relation to $\theta_i$ and L

For small values of  $n_i - n_d$  and  $\Theta_i - \Theta_d$ , the non-critical phase matching (NPM) condition can be approximated as

$$\lambda_0 / \Lambda = n_0 (\Theta_i - \Theta_d)$$

The usual NPM approximation for tuning is

$$\lambda_0 / \Lambda = \Delta n (\sin^4 \Theta_i + \sin^2 2\Theta_i)^{1/2}$$

so that an approximation to the beamspread is

$$\Delta \Theta_d / \Delta \lambda = (\Delta n / n_0 \lambda_0) (\sin^4 \Theta_i + \sin^2 2\Theta_i)^{1/2}$$

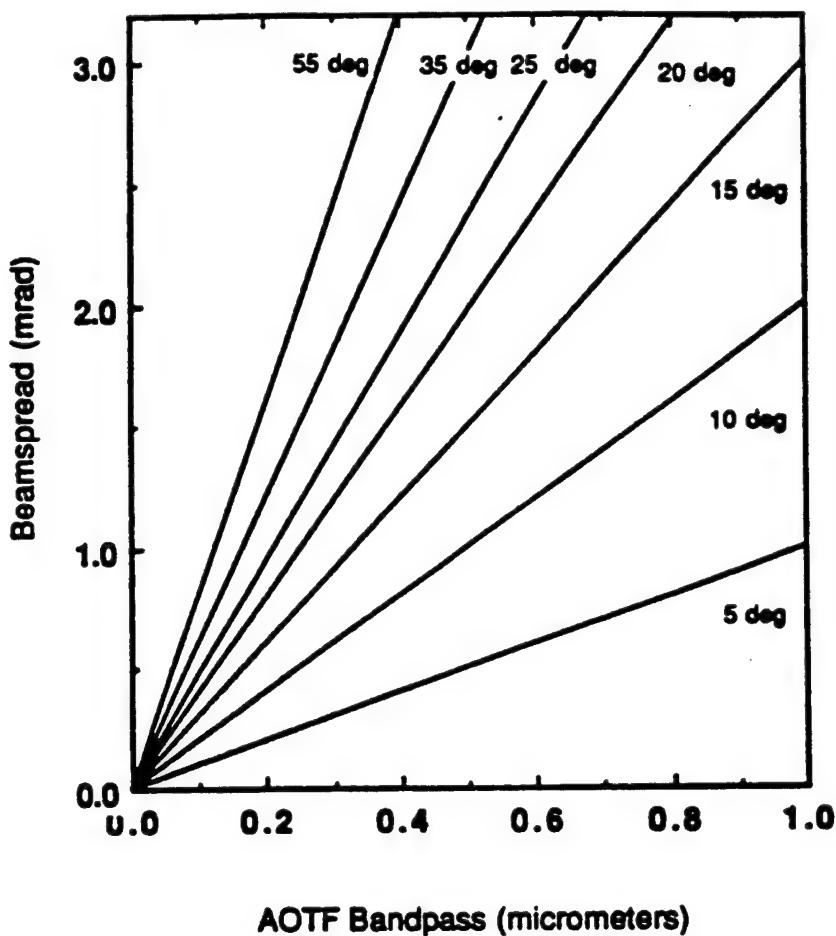
This approximation agrees well with the exact calculations.

It is straightforward to recast the dependence on the transducer length by substituting for  $\Delta \lambda$

$$\Delta \lambda = 1.8\pi \lambda^2 / (\Delta n \cdot L \sin^2 \Theta_i)$$

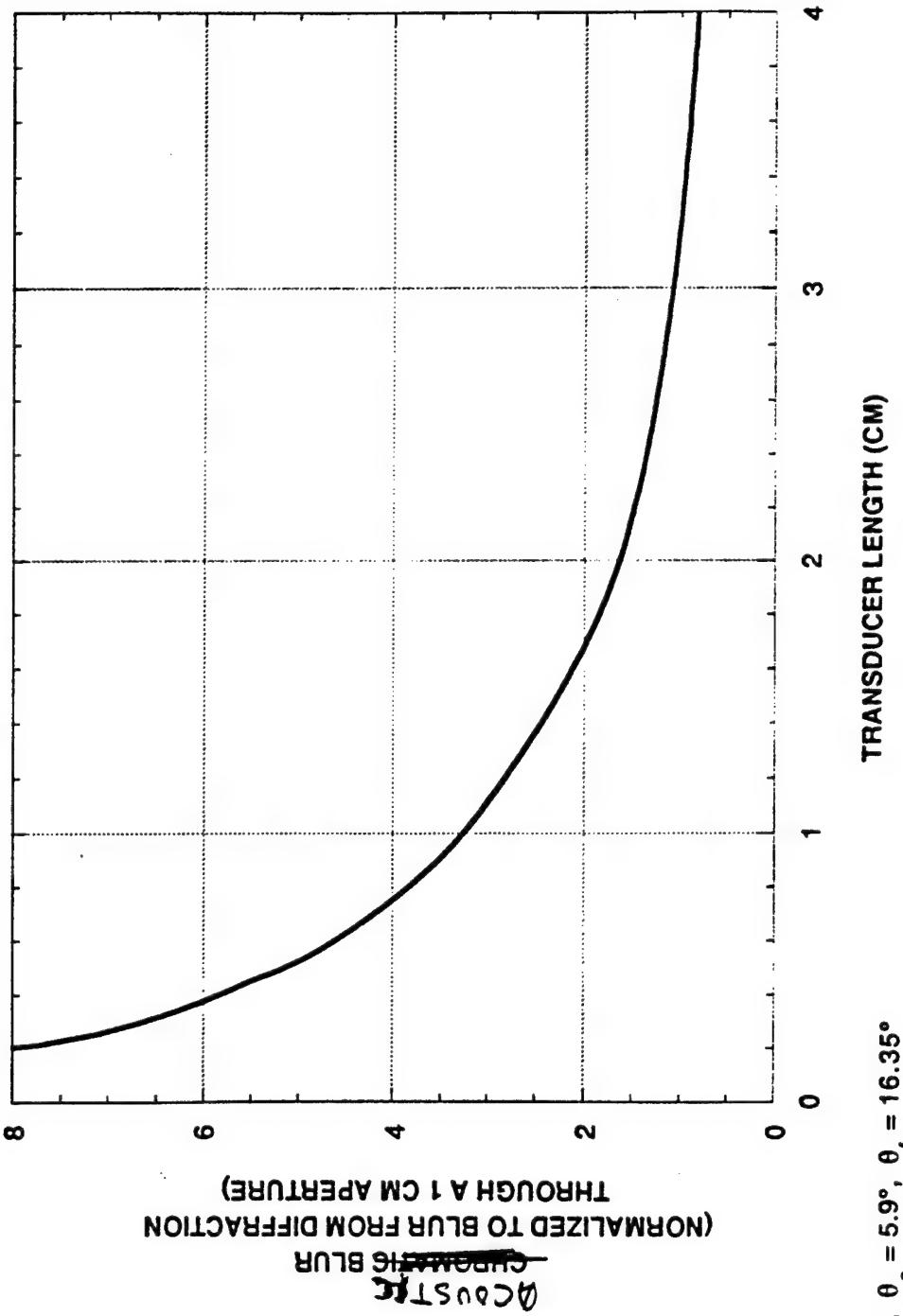
to obtain

$$\Delta \Theta_d = ((\sin^4 \Theta_i + \sin^2 2\Theta_i)^{1/2} / \sin^2 \Theta_i) (1.8\pi \lambda) / n L$$

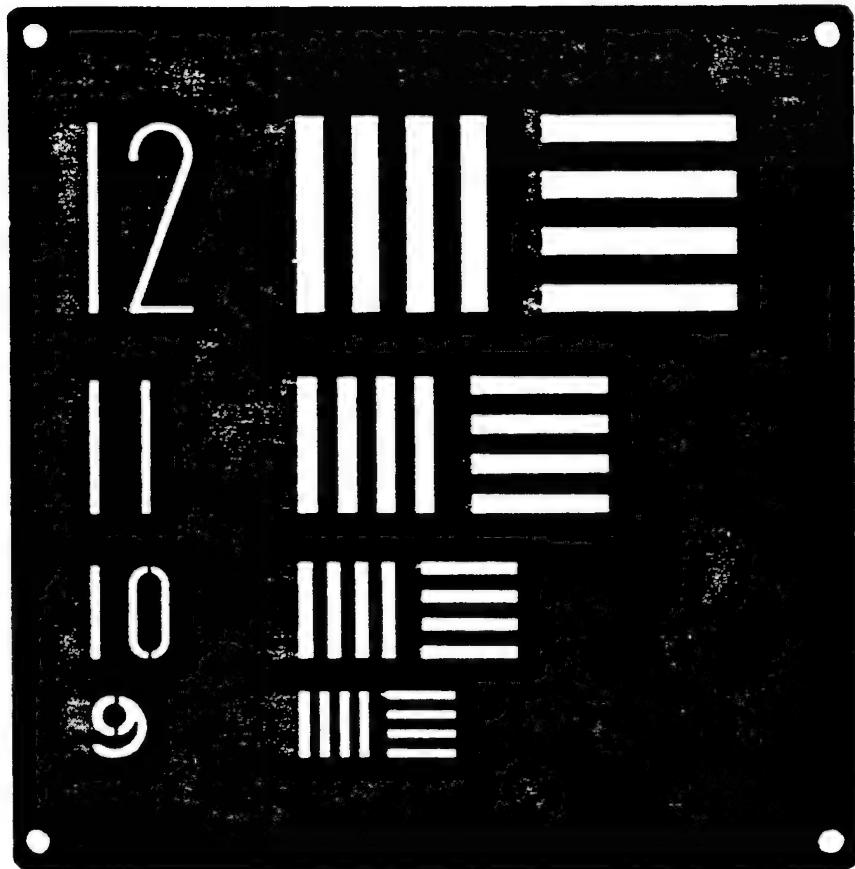


Calculated internal beam spread due to the filter bandpass  
for various noncollinear TAS AOTF configurations.

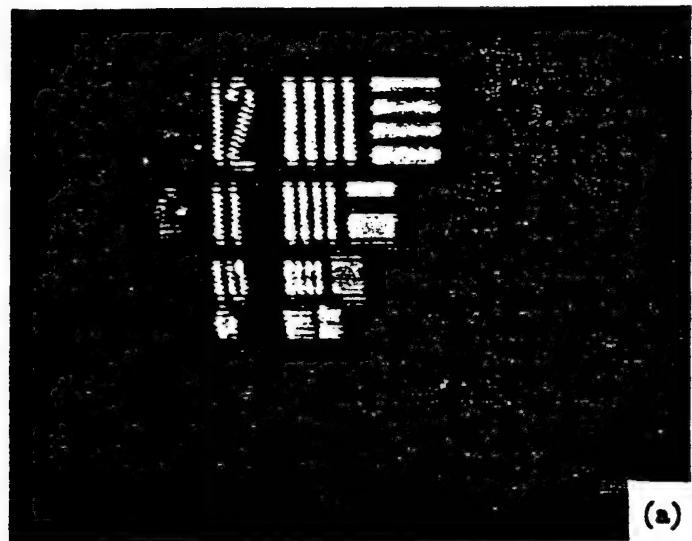
ACOUSTIC BLUR IS MINIMIZED BY PROPER CHOICE  
OF TRANSDUCER LENGTH



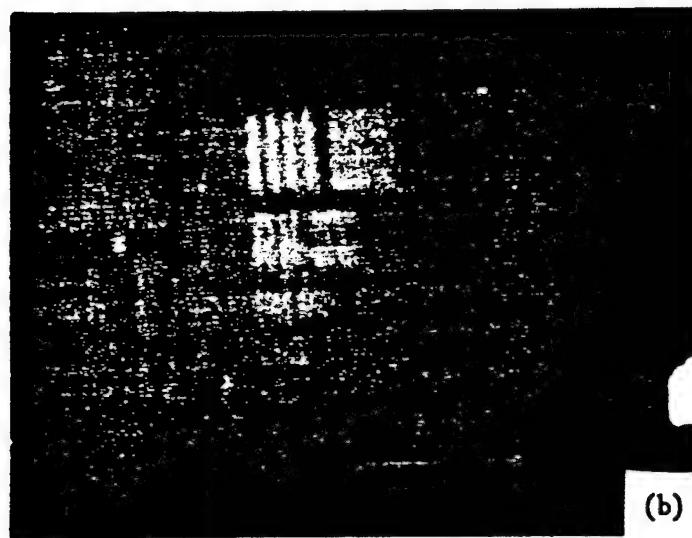
$$\theta_i = 12^\circ, \theta_a = 5.9^\circ, \theta_f = 16.35^\circ$$



Infrared resolution target for imaging system.



(a)



(b)

Figure 5. Infrared target image (a) without AOTF, and (b) with noncollinear AOTF.

## AOTF-1 Parameters

$\Theta_I = 12$  degrees

$\Delta\theta_I = 6.5$  degrees (ext)

$\Theta_a = 5.9$  degrees

$L_1 = 0.33$  cm

$L_2 = 0.66$  cm

$L_3 = 1.32$  cm

$L_4 = 2.32$  cm

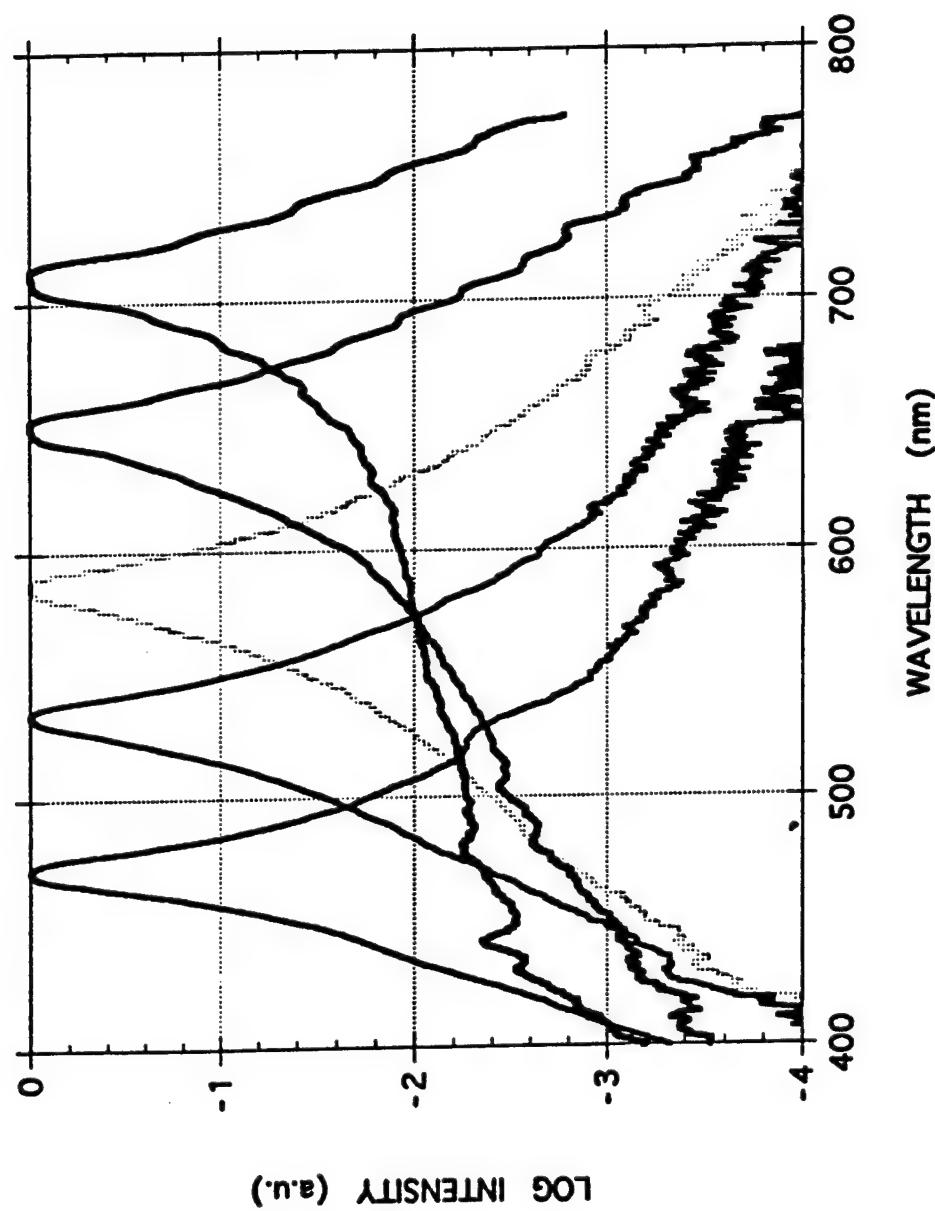
$\Delta\lambda/\lambda = .01$  (for  $L = 2.32$  cm)



CARNEGIE  
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Advanced Devices and Material

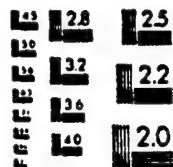




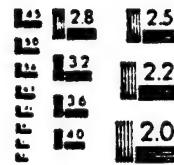
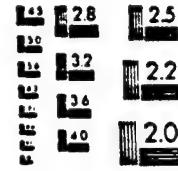
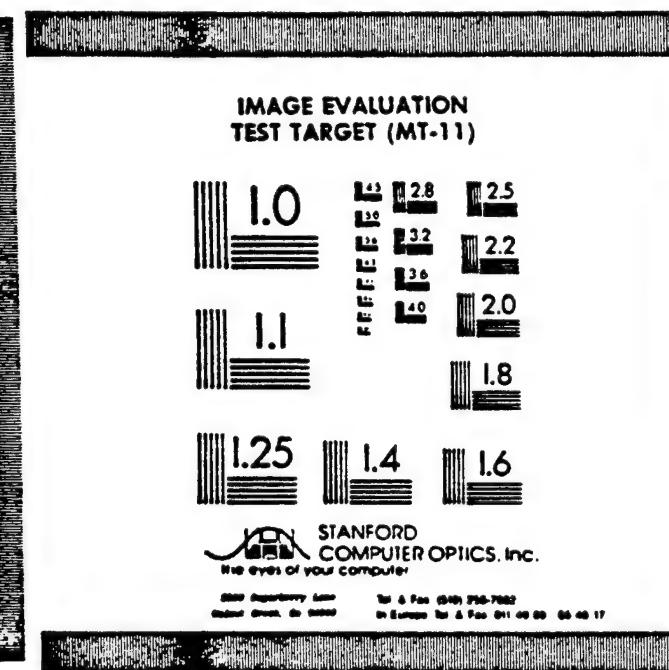
CARNEGIE  
MELLON  
RESEARCH  
INSTITUTE

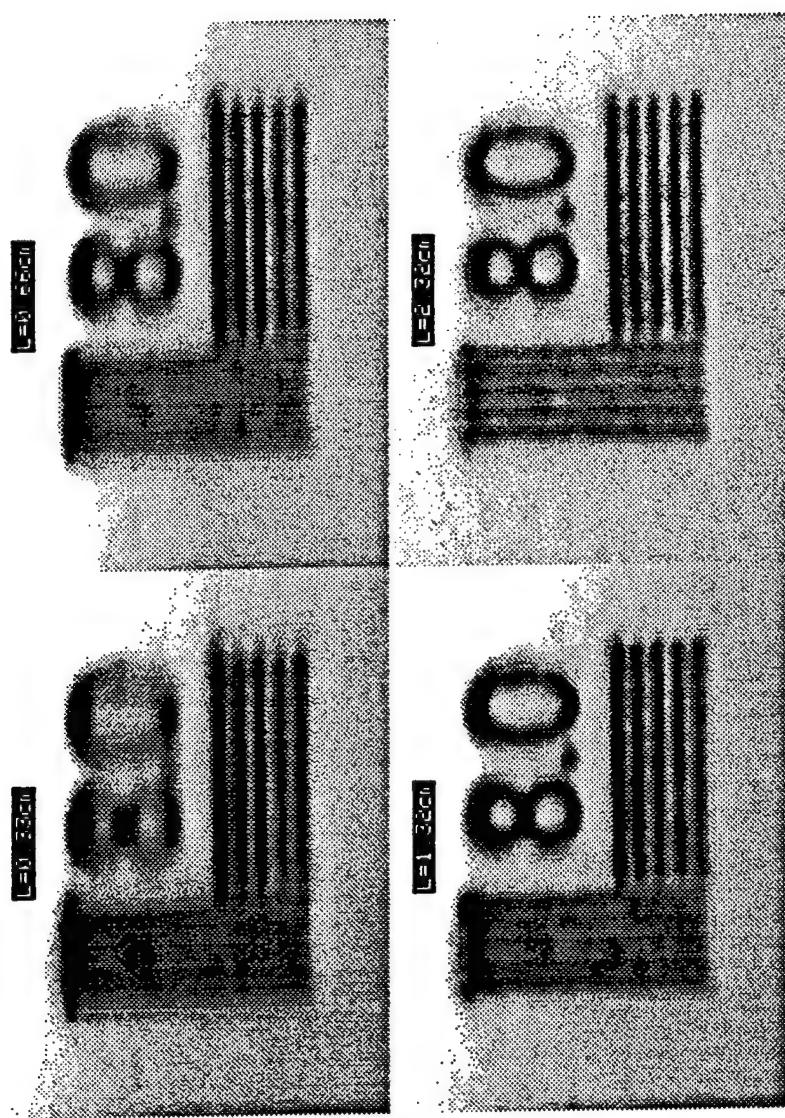
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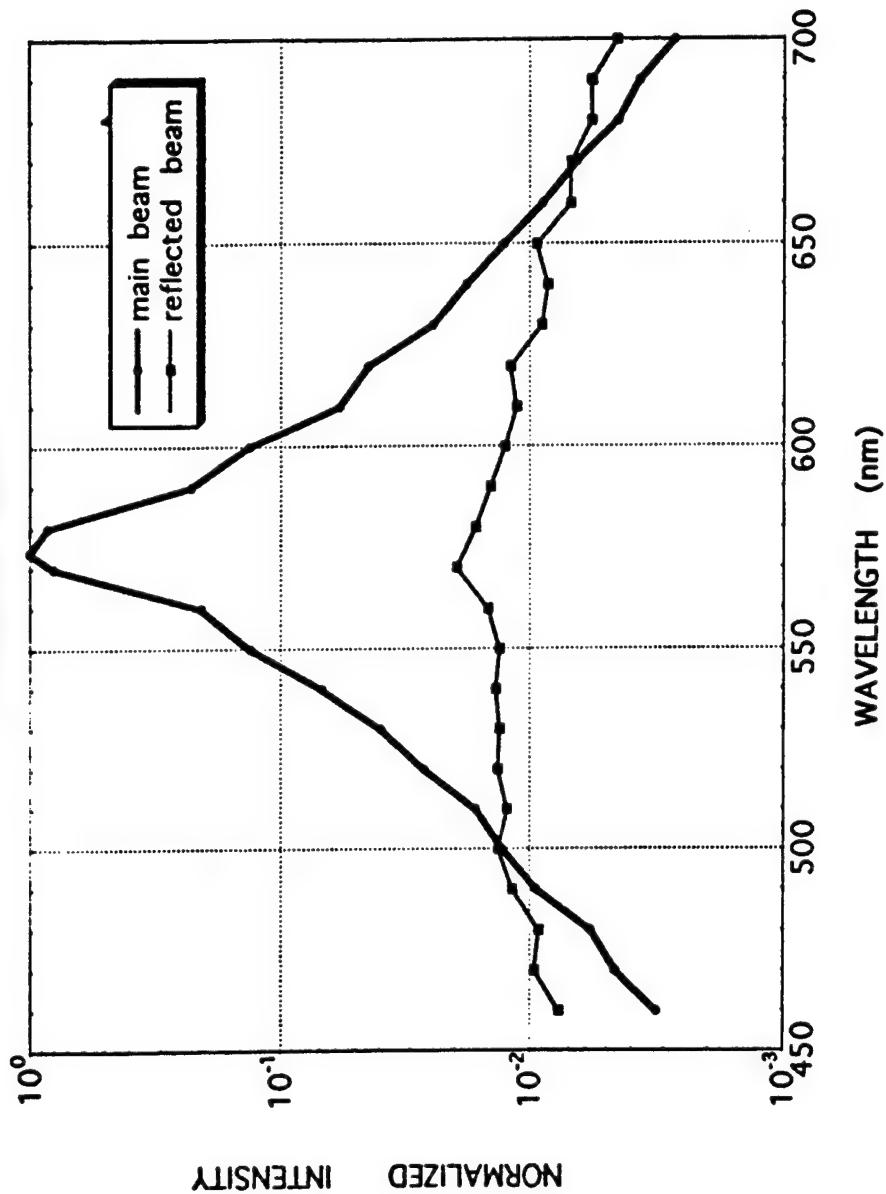


2.5 lp/mm





CONTRIBUTIONS TO AOTF BACKGROUND:  
PRIMARY ACOUSTIC BEAM VS REFLECTED  
ACOUSTIC BEAM



## Scattering

The ratio of scattered light intensity to diffracted image signal is approximately

$$I_{\text{scat}} / I_{\text{image}} = S \cos^2 \phi (\Delta\lambda/\delta\lambda) + (p \eta)$$

where:

$S$  = scattering coefficient

$\phi$  = scattering angle

$\delta\lambda$  = AOTF resolution

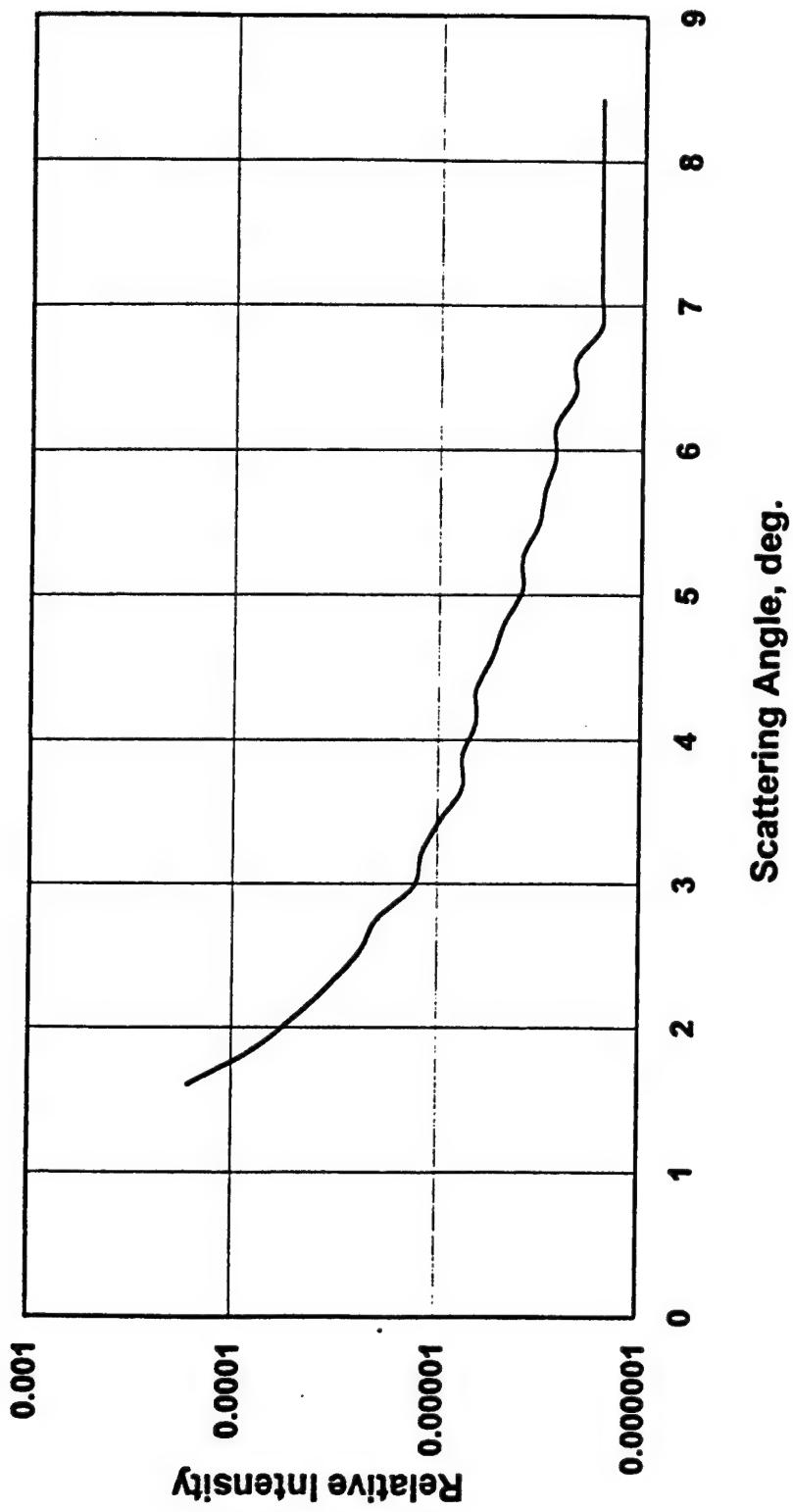
$\Delta\lambda$  = spectral range of light source and detector

$p$  = polarization loss, at least 50%

$\eta$  = AOTF efficiency

For a typical AOTF design,  $S \sim 10^{-3}$ ,  $(\Delta\lambda/\delta\lambda) = 100$ ,  $p = 0.5$ , and  $\eta = 0.5$ , and  $\cos^2 \phi \sim 1$ , so that the estimated scattered light intensity is about 24 dB below the image signal.

## LASER LIGHT SCATTERING FROM TYPICAL AOTF



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## Multi-spectral Imaging

MURI DNR  
Program

Where are we going?



# Multi-spectral Imaging



## Targets

- Wavelength tunability:

1 -> 2 Octaves

- Field of view

2-12°

- Spectral resolution:

10-20 nm,  $\Delta\lambda/\lambda = (0.1 - 1)\%$

- Spatial resolution:

< 1 μradian

- Background:

Limited by camera noise

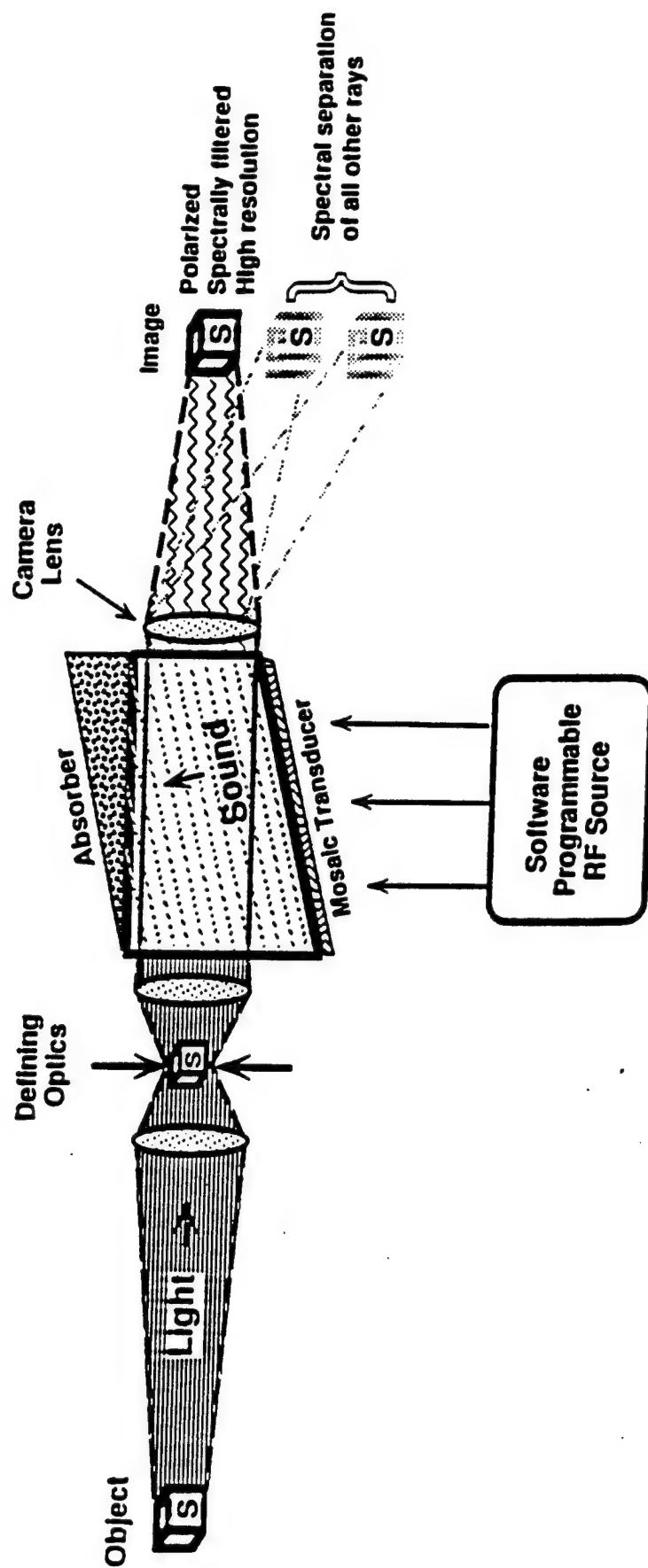
# Multi-spectral Imaging

## Critical Parameters

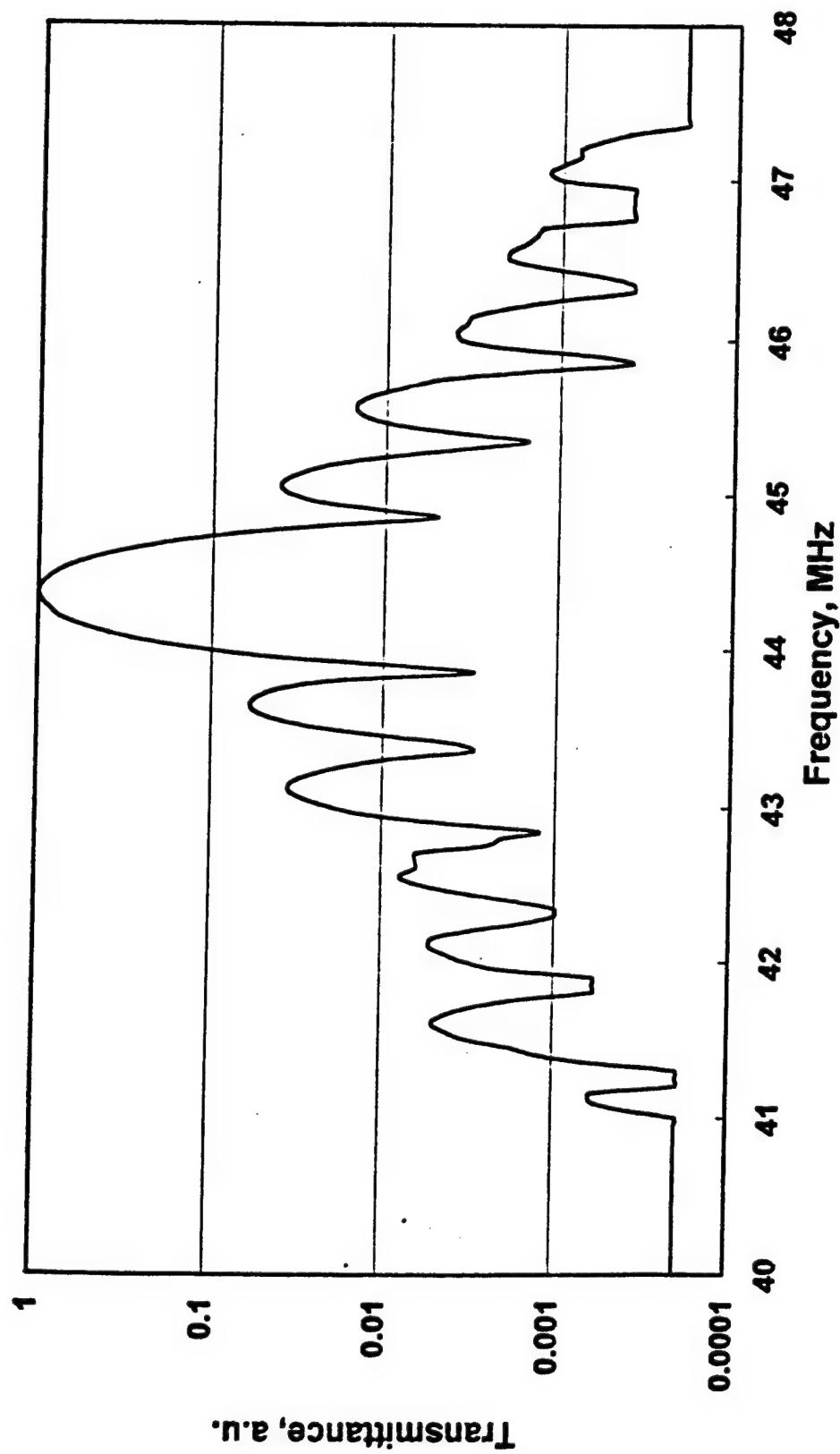
- Optical system configuration
  - AOTF (design & fabrication)
- Suppression of:
  - Blur
  - Ghost images
  - Broadband background
- Transducer design
- Transducer fabrication
- AR coatings

# Imaging A-O Spectrometer

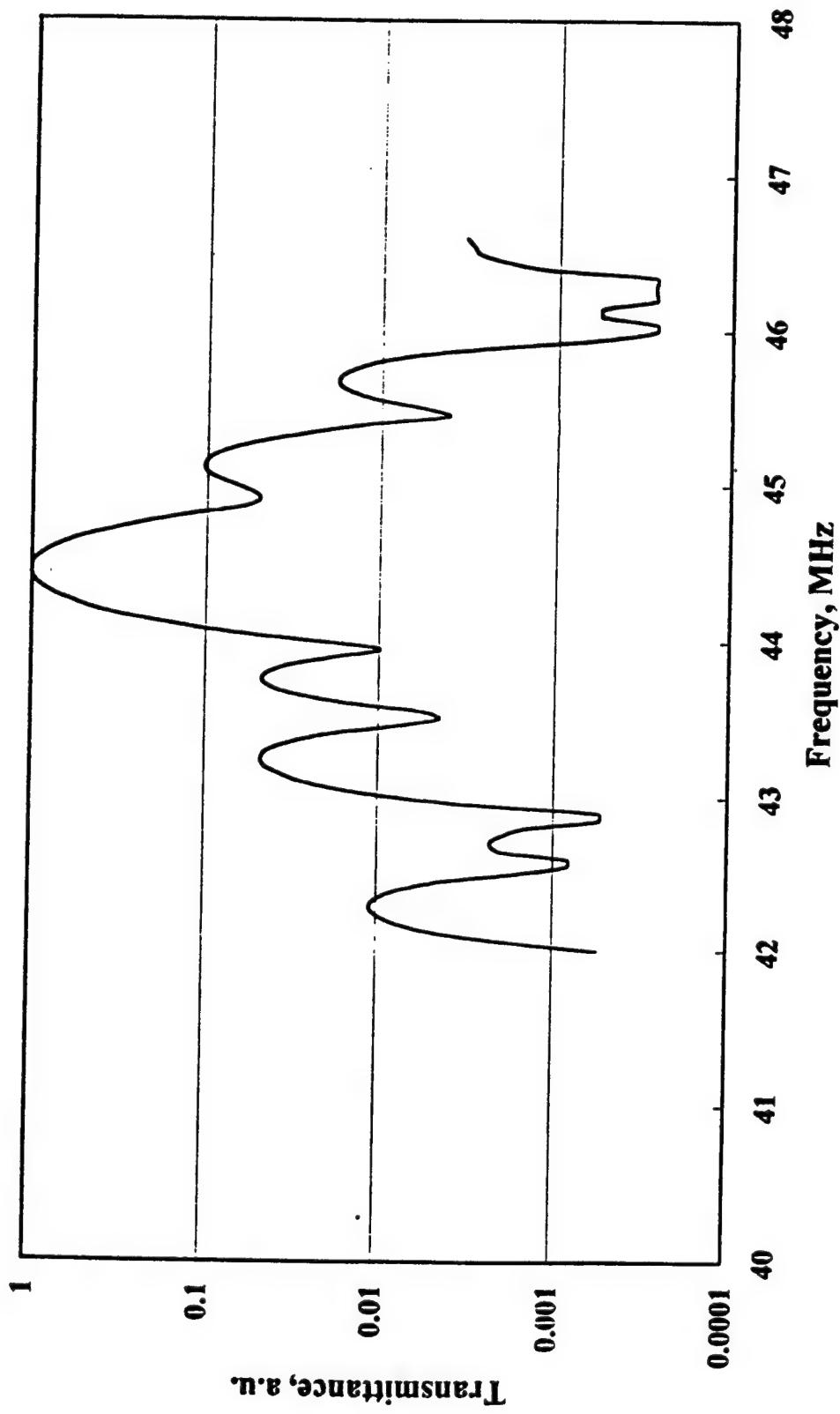
A-O Filter



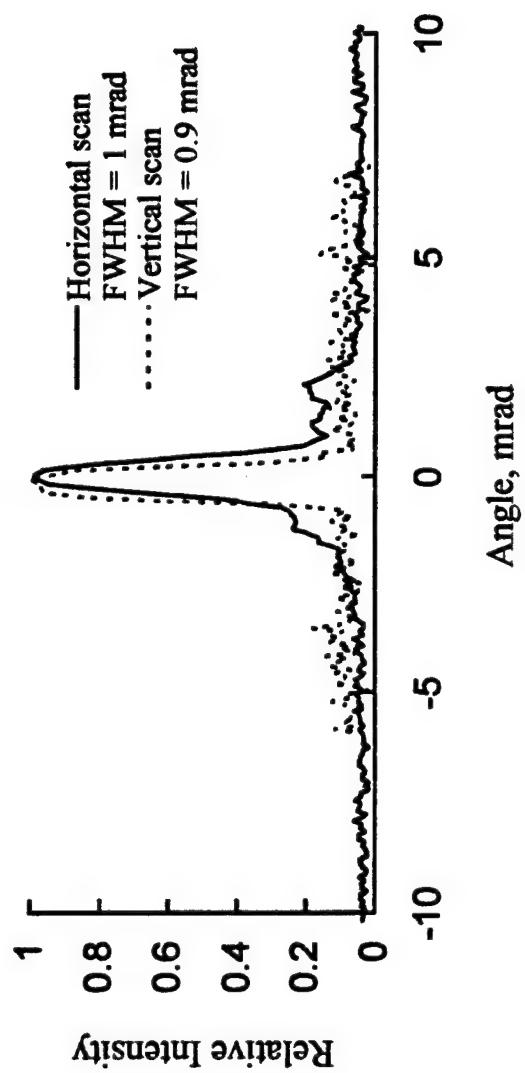
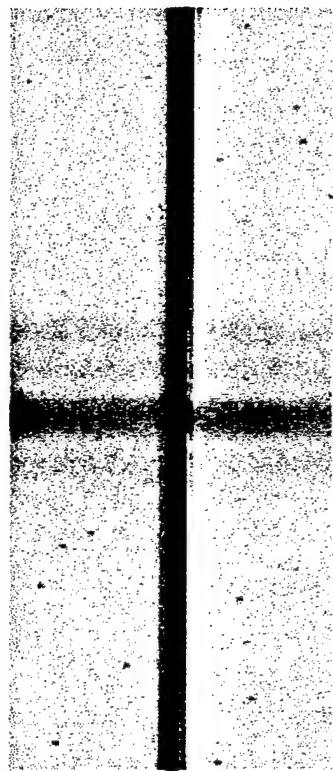
SPECTRAL RESOLUTION OF NEOS 4-3-P-1 AOTF



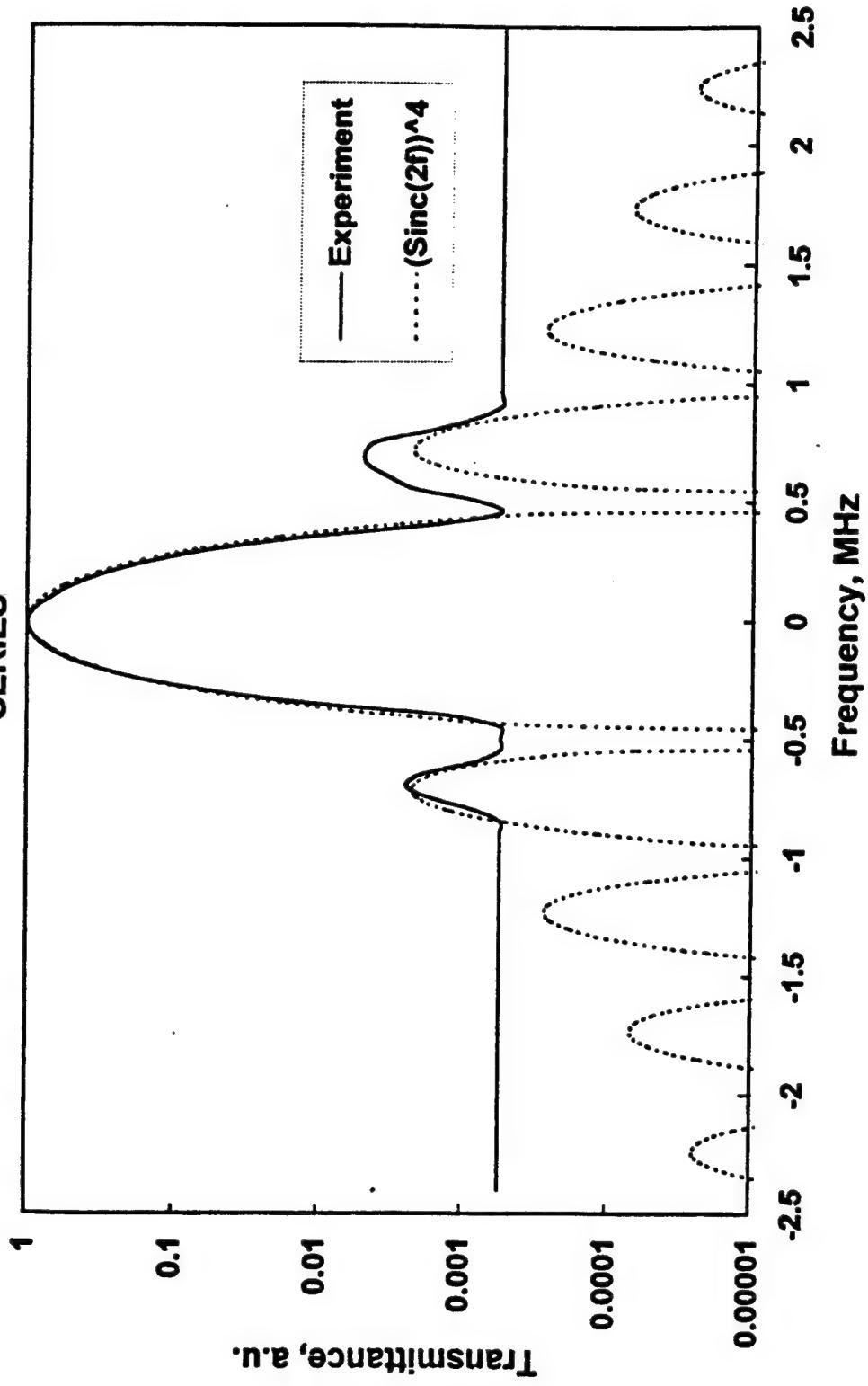
SPECTRAL RESOLUTION OF NEOS 4-3-S-1 AOTF



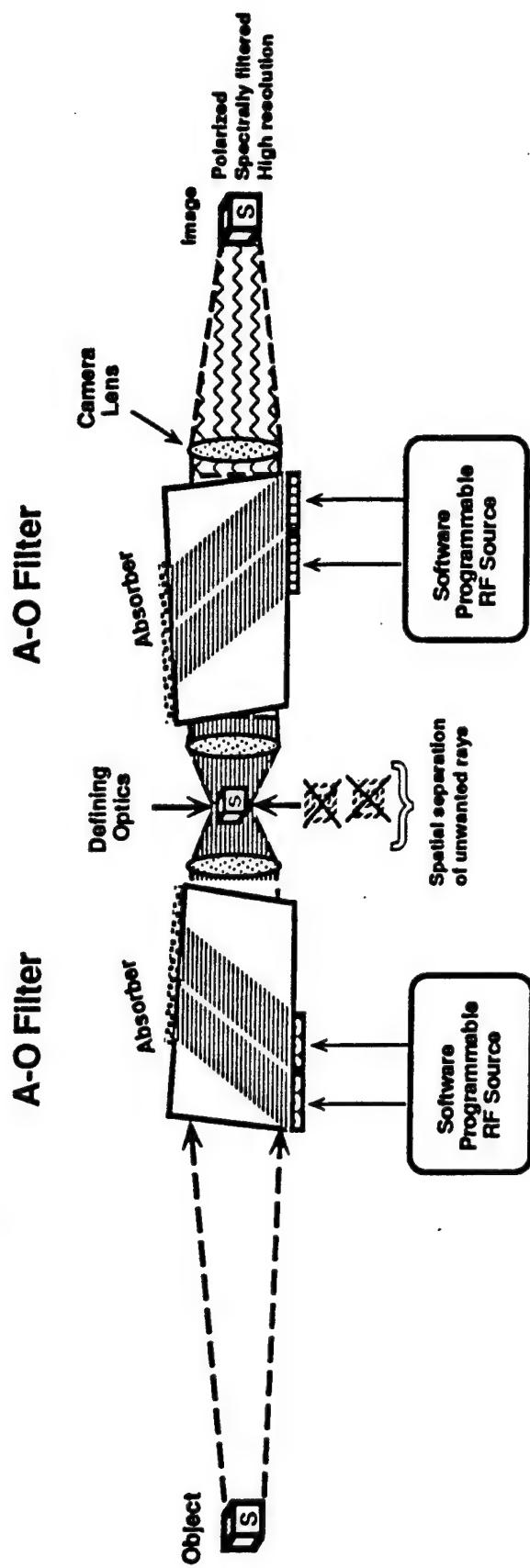
# Multi-Spectral Imaging



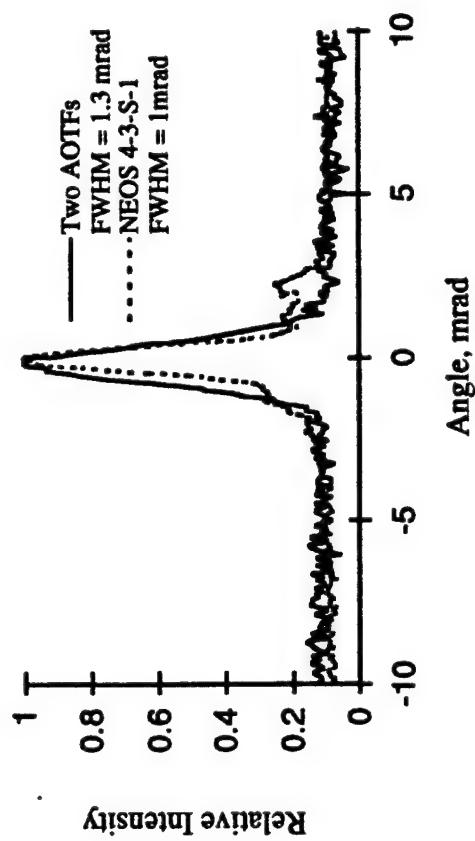
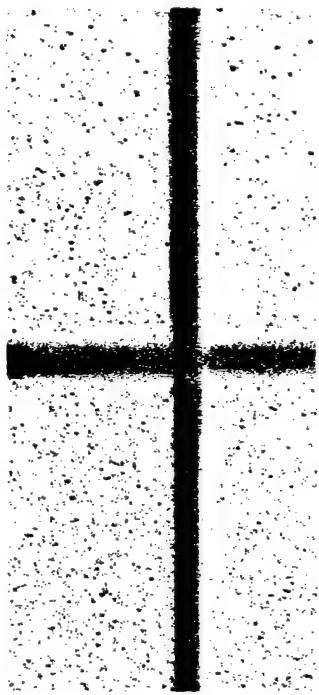
SPECTRAL RESOLUTION OF NEOS 4-3-S-1 AND 4-3-P-1 AOTF IN  
SERIES



## Second Generation Imaging A-O Spectrometer



# Multi-spectral Imaging





# Multi-spectral Imaging

MURI  
DOE  
Program

## Conclusions

- Image quality is limited by blur, side lobes and broadband scattering
- Our two AOTF configuration offers a solution to the above problems
- Present work was performed in the VIS & NIR,  
Future work is planned to include the Mid & Far IR

## **An AOTF Camera for Multispectral Imaging**

**S. Simizu, R. T. Obermyer, C. J. Thong, M. J. Uschak, and S. G. Sankar**  
**Advanced Materials Corporation**  
**700 Technology Drive, Pittsburgh, PA 15230**

**and**

**L. J. Denes, D. A. Purta, and M. Gottlieb**  
**Carnegie Mellon Research Institute**  
**Pittsburgh, PA 15230**

\* Supported by the US Army under Contract No. DAAB07-95-C-M042

# **Overview**

## **1. Camera System**

**Defining Optics**  
**AOTF Design**  
**Camera/Imaging Hardware**  
**RF Drive**

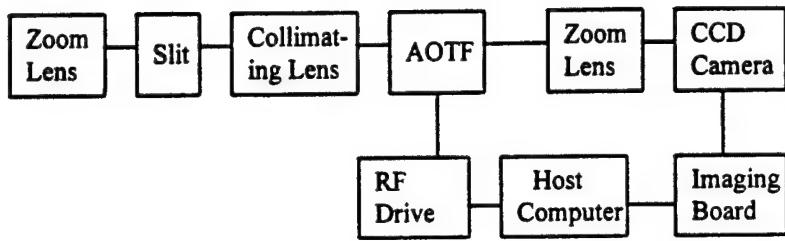
## **2. System Performance**

**Filter Characteristics**  
**Blur/Background**

## **3. Target Identification**

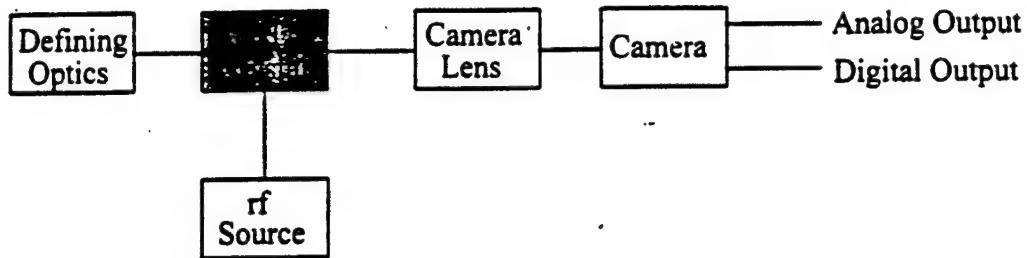
**Image Pre-processing by AOTF**  
**Processing Speed**

**Advanced Material Corp.**



**A block diagram of the AOTF camera system**

**Advanced Material Corp.**

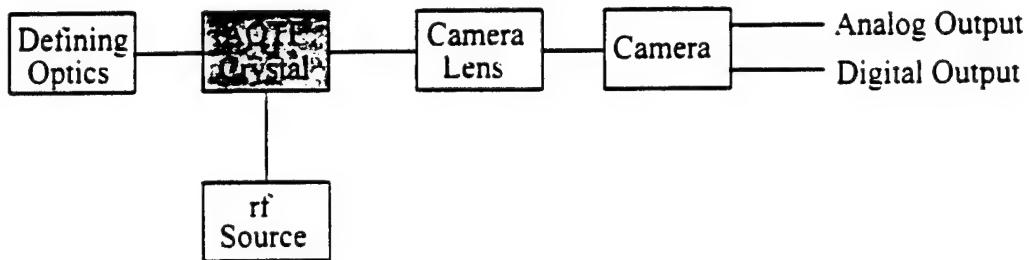


## Defining Optics

- 8 -- 80 mm motorized (focus, zoom, iris) zoom lens
- Rectangular stop to match  $2.5^\circ$  separation angle of the AOTF
- 50 mm collimation lens
- FOV  $1.6^\circ$  --  $15.6^\circ$

## AOTF Crystal

- AMC/CMRI design
- Three parallel transducers
- Vertical diffraction (CCD less sensitive to vertical blur)

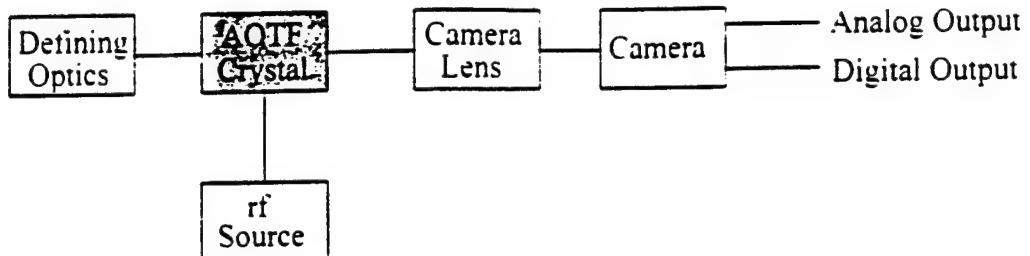


## R.F. Source

- Tektronix AWG2040 arbitrary waveform generator
- 1.024 GS/s
- 1 Meg of waveform memory
- 8 bits output
- 2 V maximum amplitude

## Camera Lens

- 60 -- 300 mm zoom lens
- 135 mm present position



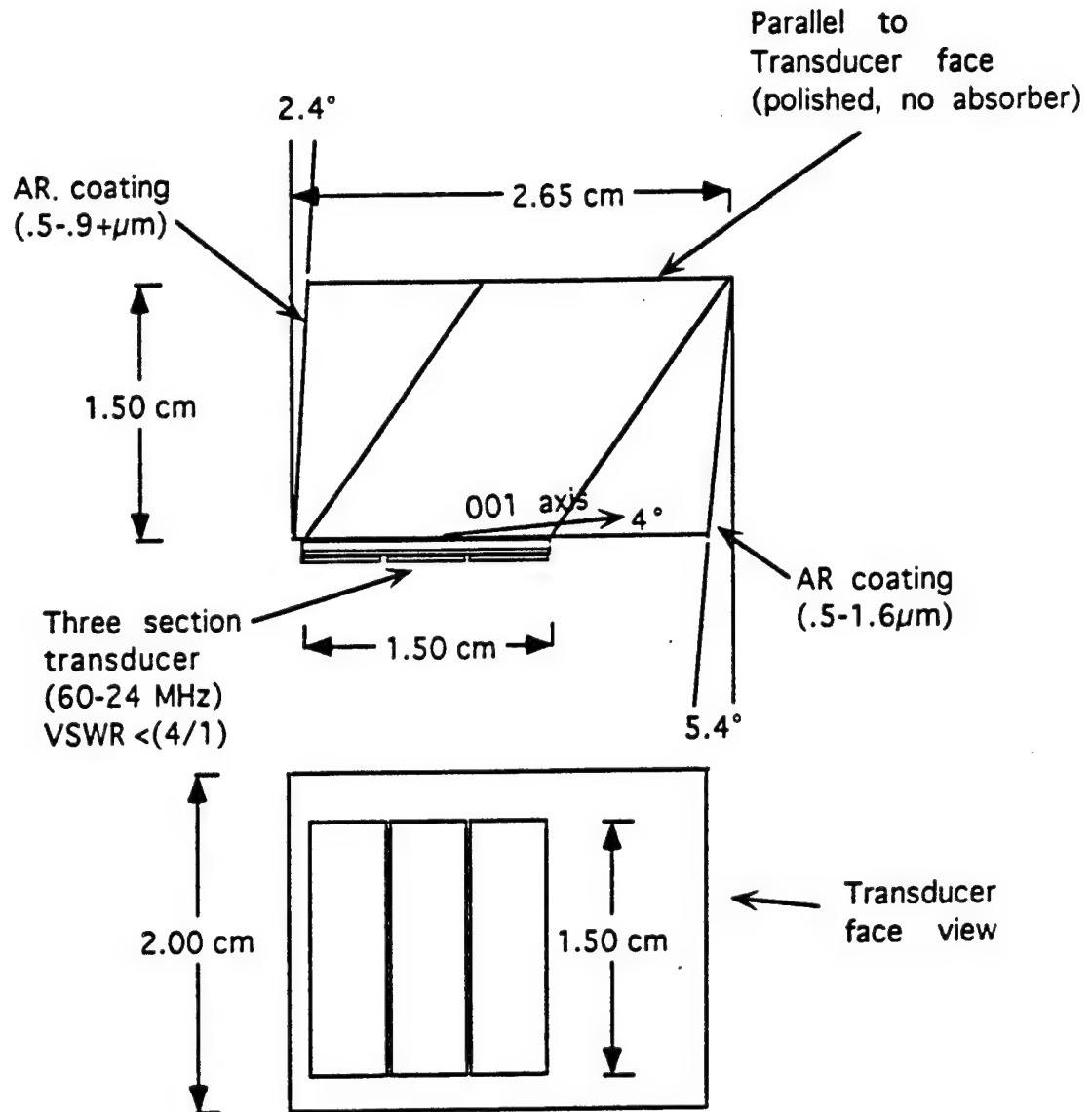
## Camera

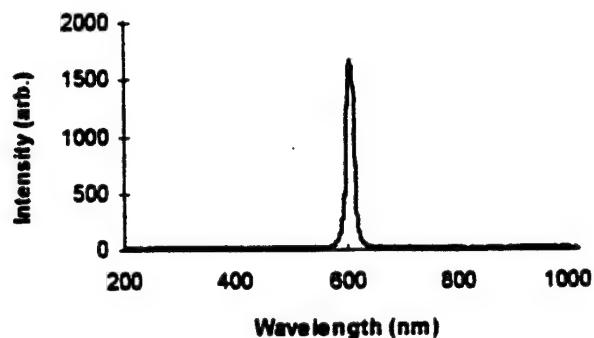
- DVC Model DVC-10
- SNR of 62 db at 0.5 lux
- Spectral range of 0.45 -- 1.0  $\mu$
- 755 x 484 pixels
- Simultaneous 10 bit parallel and analog video
- Real time capability of 30 frames per second
- On camera digitization

Nominal Specifications for  
TeO<sub>2</sub> Acousto-optical tunable filter

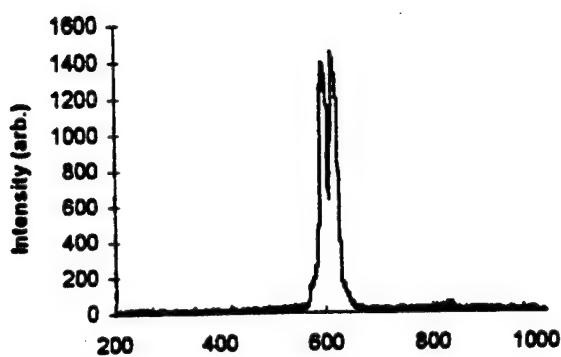
Designed by:

Louis J. Denes and Milt Gottlieb  
Carnegie Mellon Research Institute

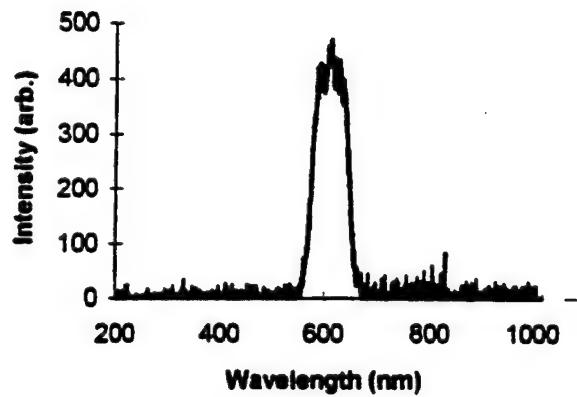




(a)



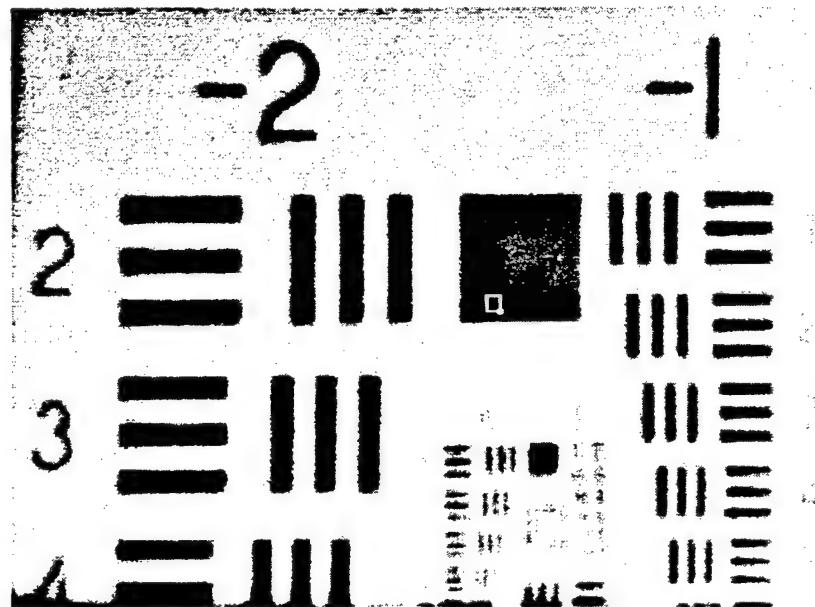
(b)



(c)

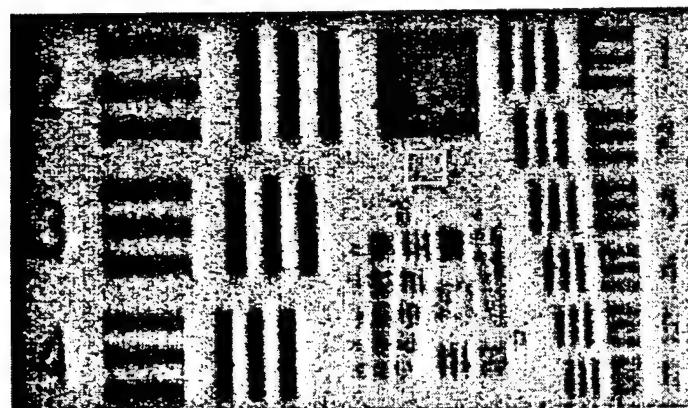
**Fig. 6. Different characteristics of AOTF for three RF driving waveforms:**

- (a) Driven by a single sinusoidal wave at 63.57 MHz;
- (b) Driven by a combination of two sinusoidal waves at 60.85 MHz and 63.57 MHz;
- (c) Driven by a spread RF spectrum in the range of 59.03 MHz to 67.21 MHz.

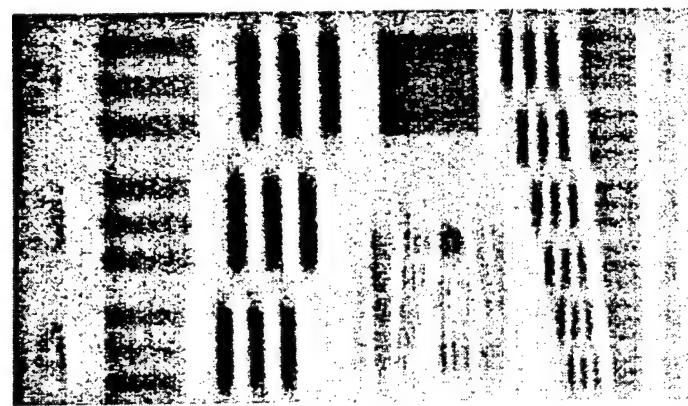


**Unfiltered Image**

**Advanced Material Corp.**

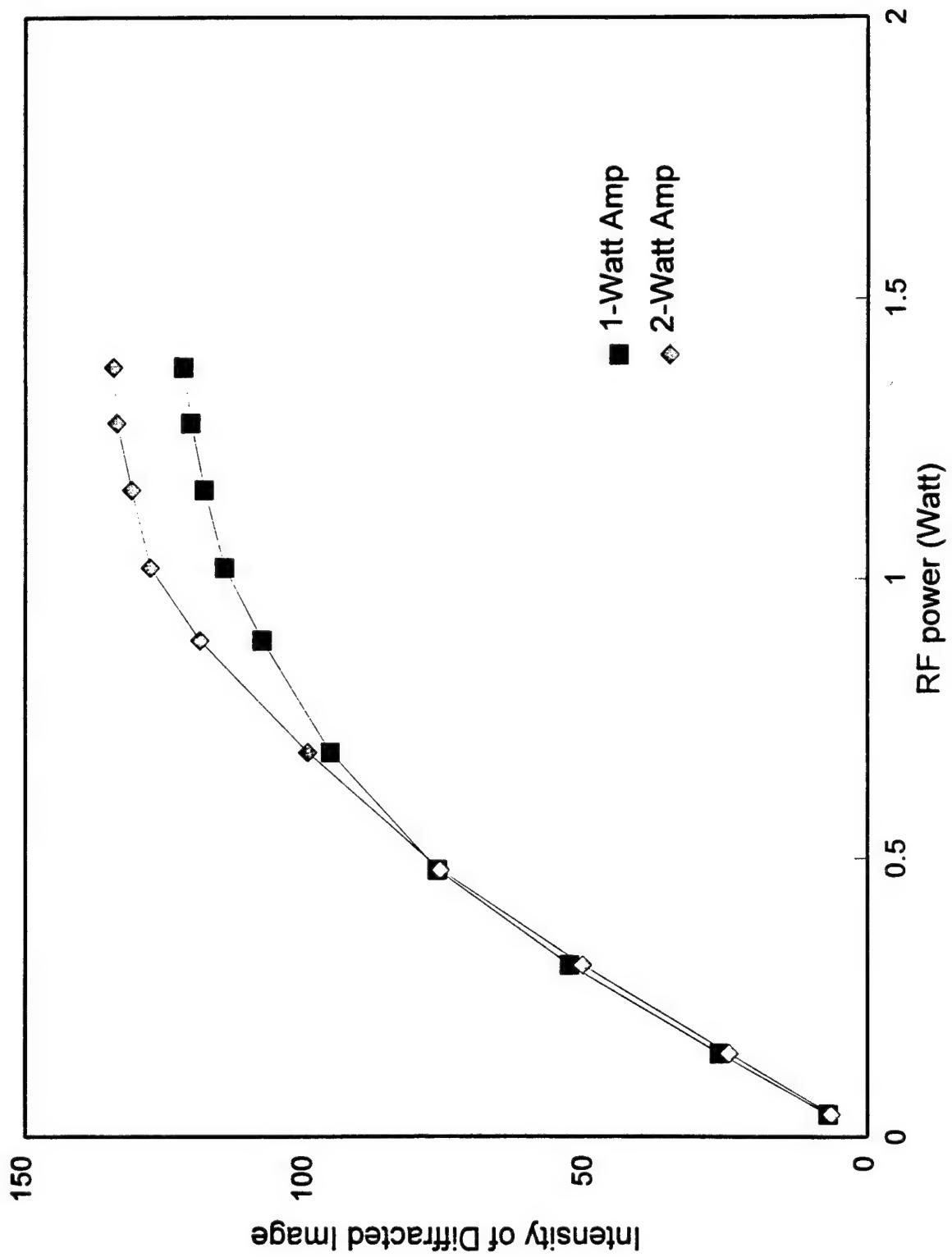


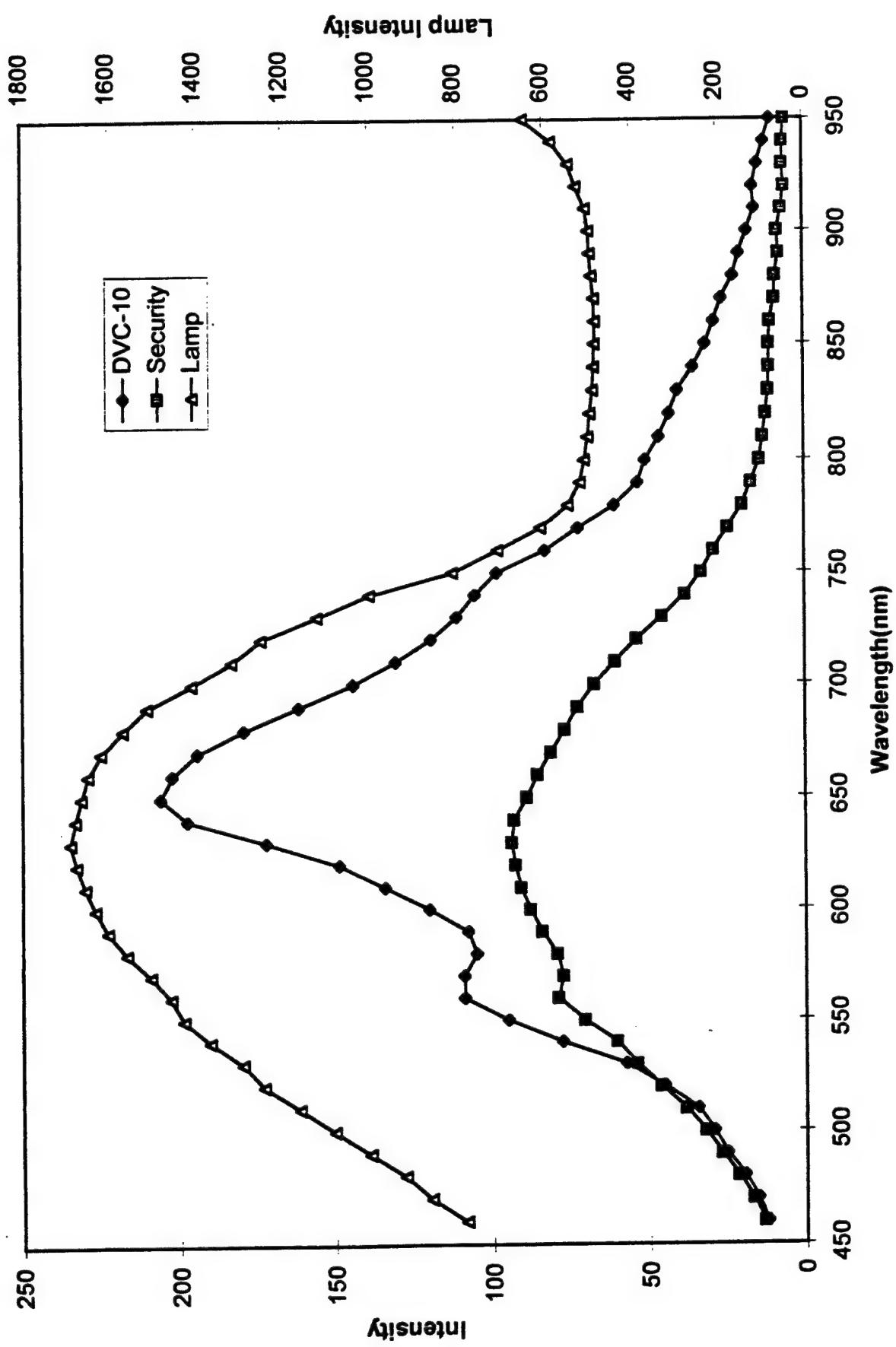
**0.19 Watts**

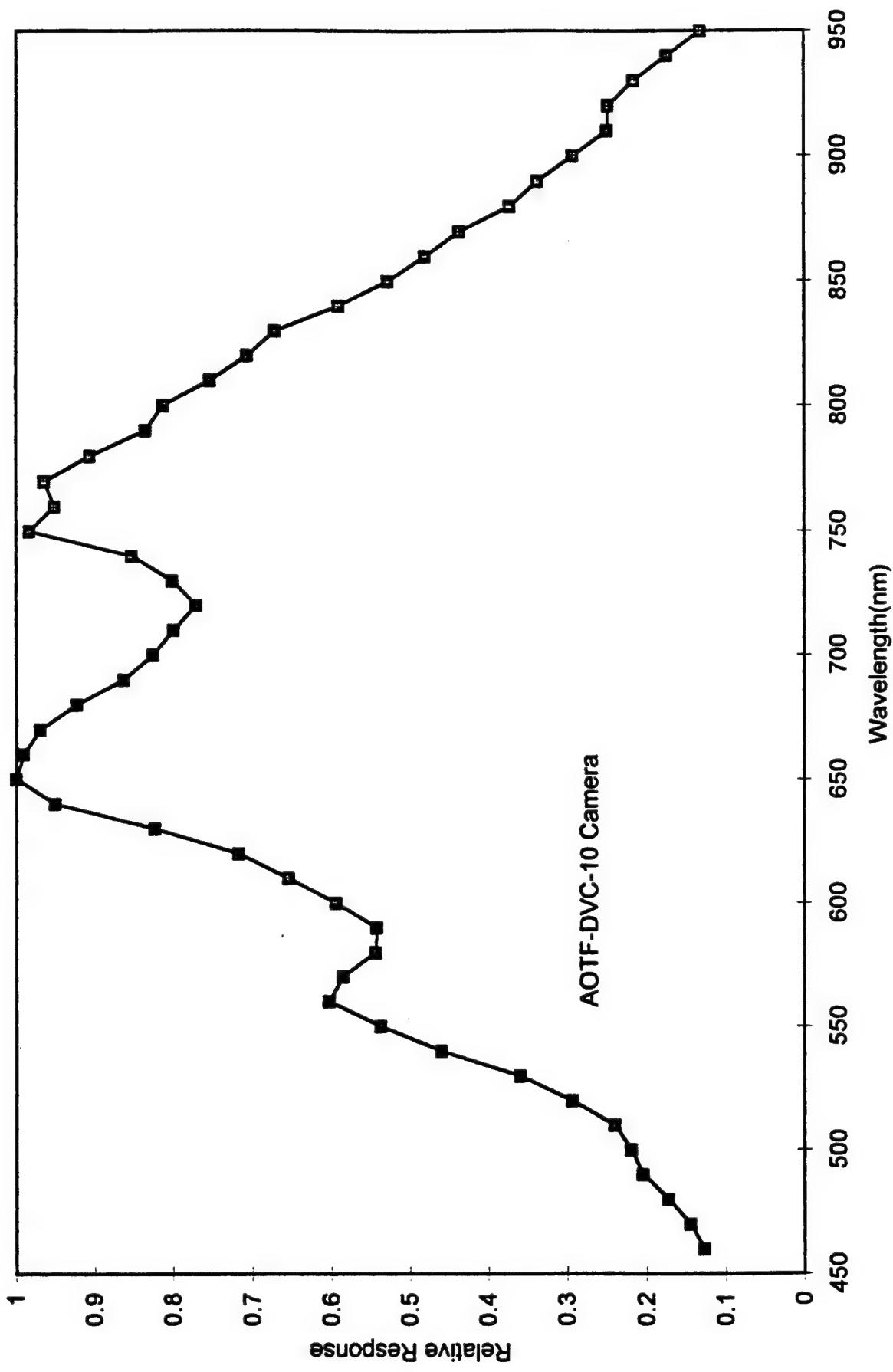


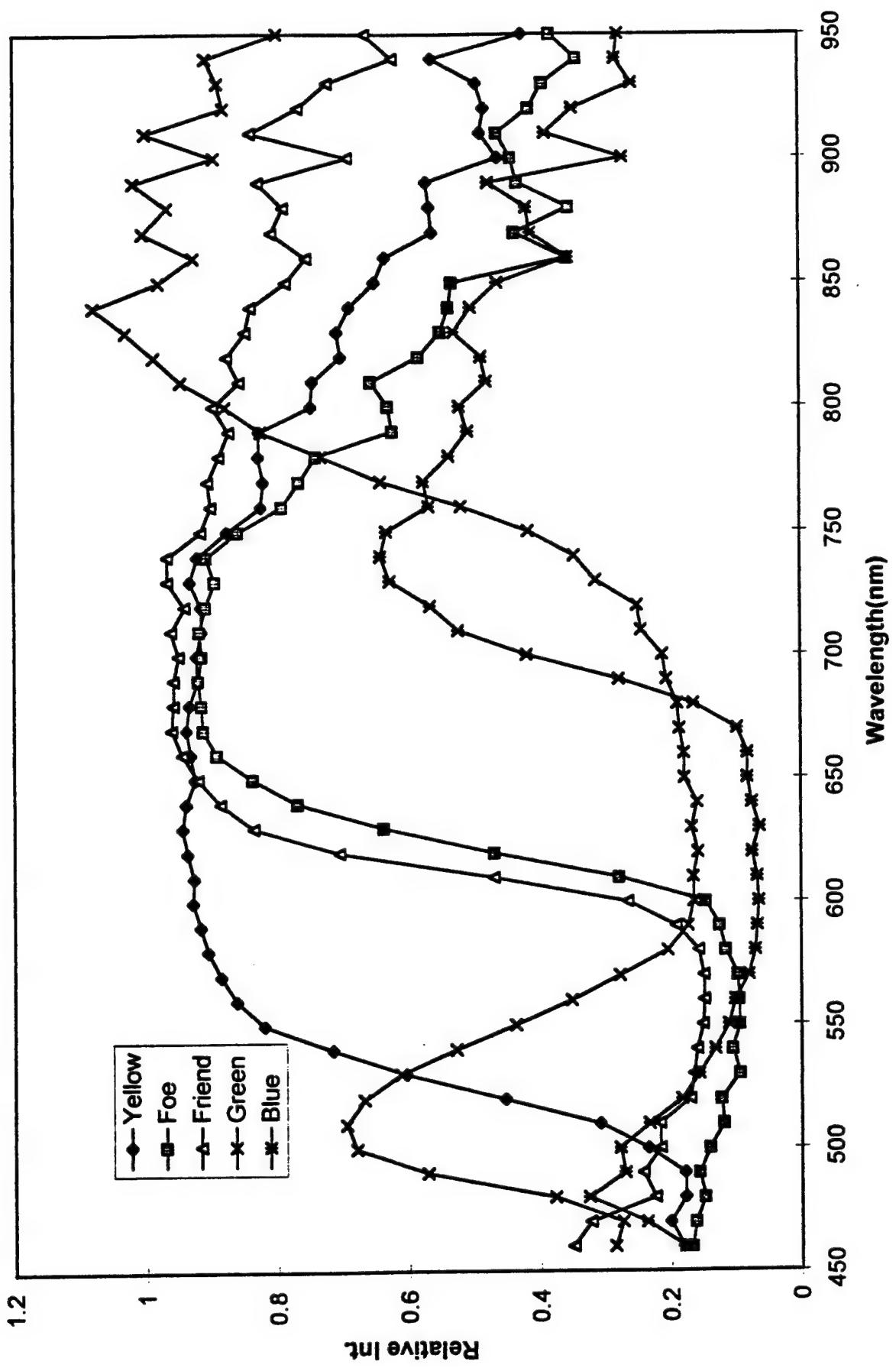
**0.65 Watts**

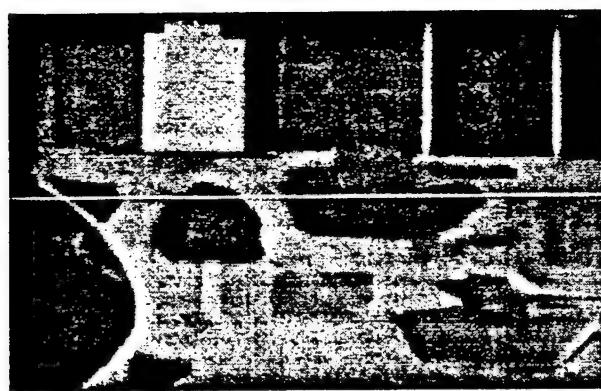
**Advanced Material Corp.**





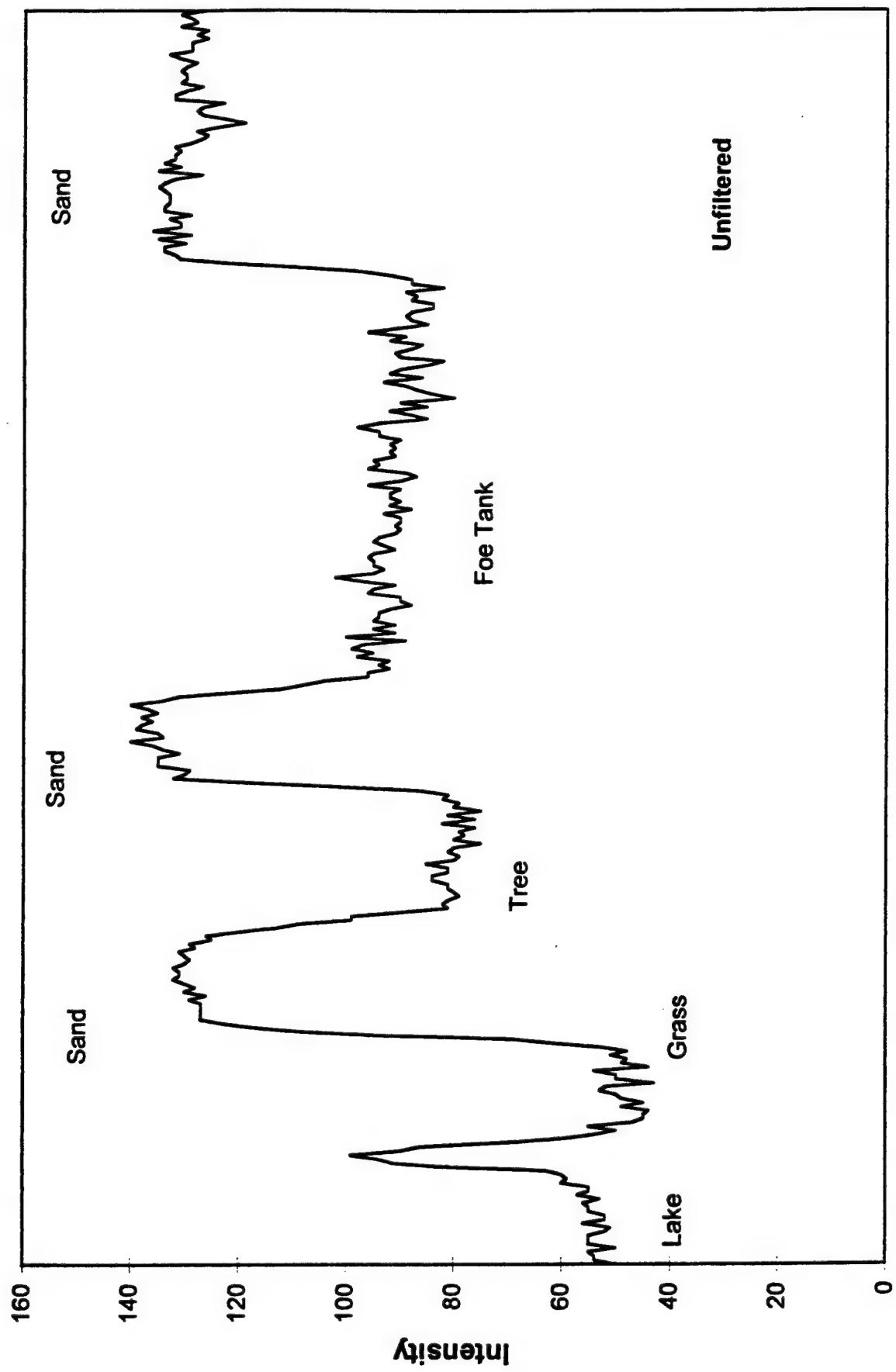


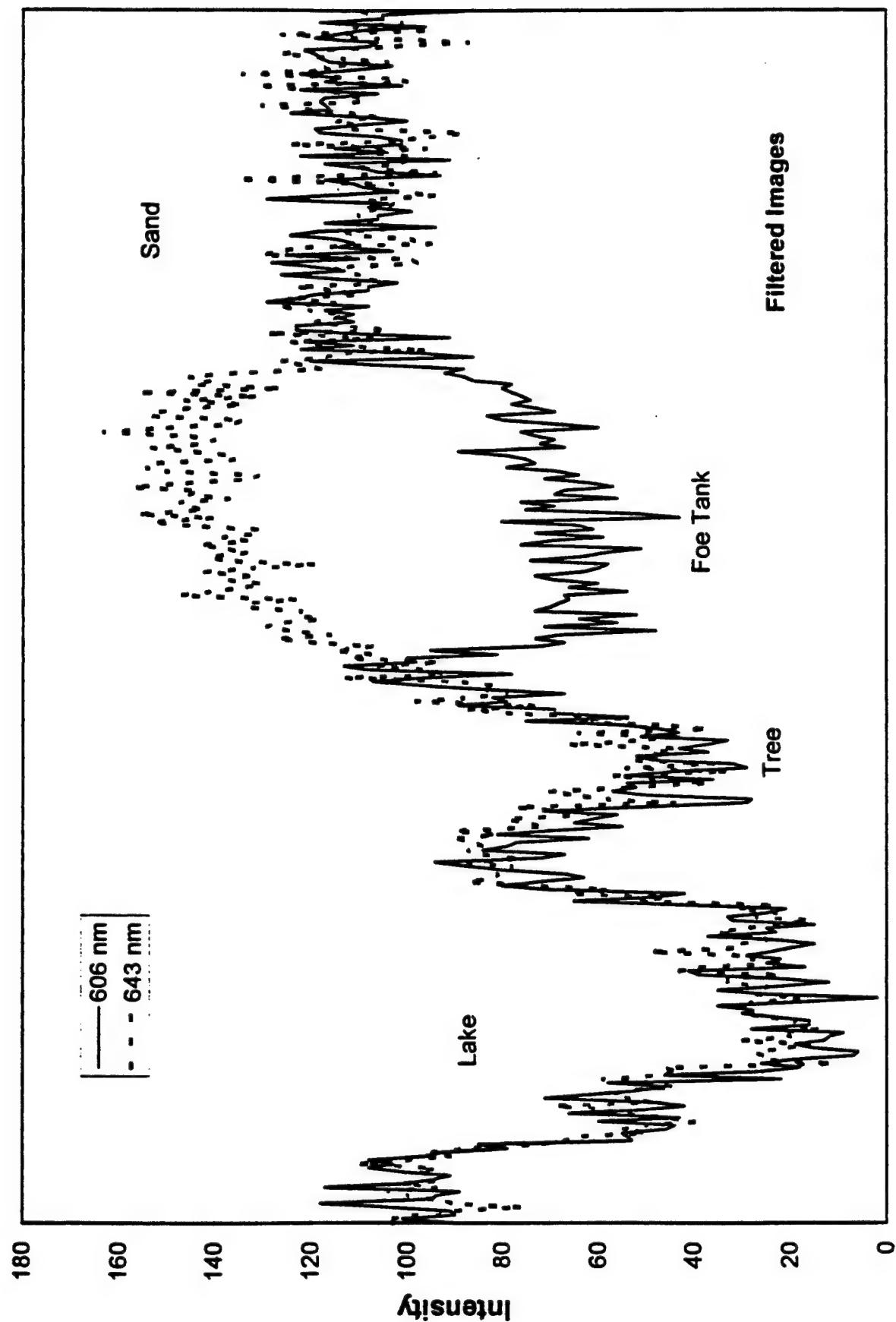


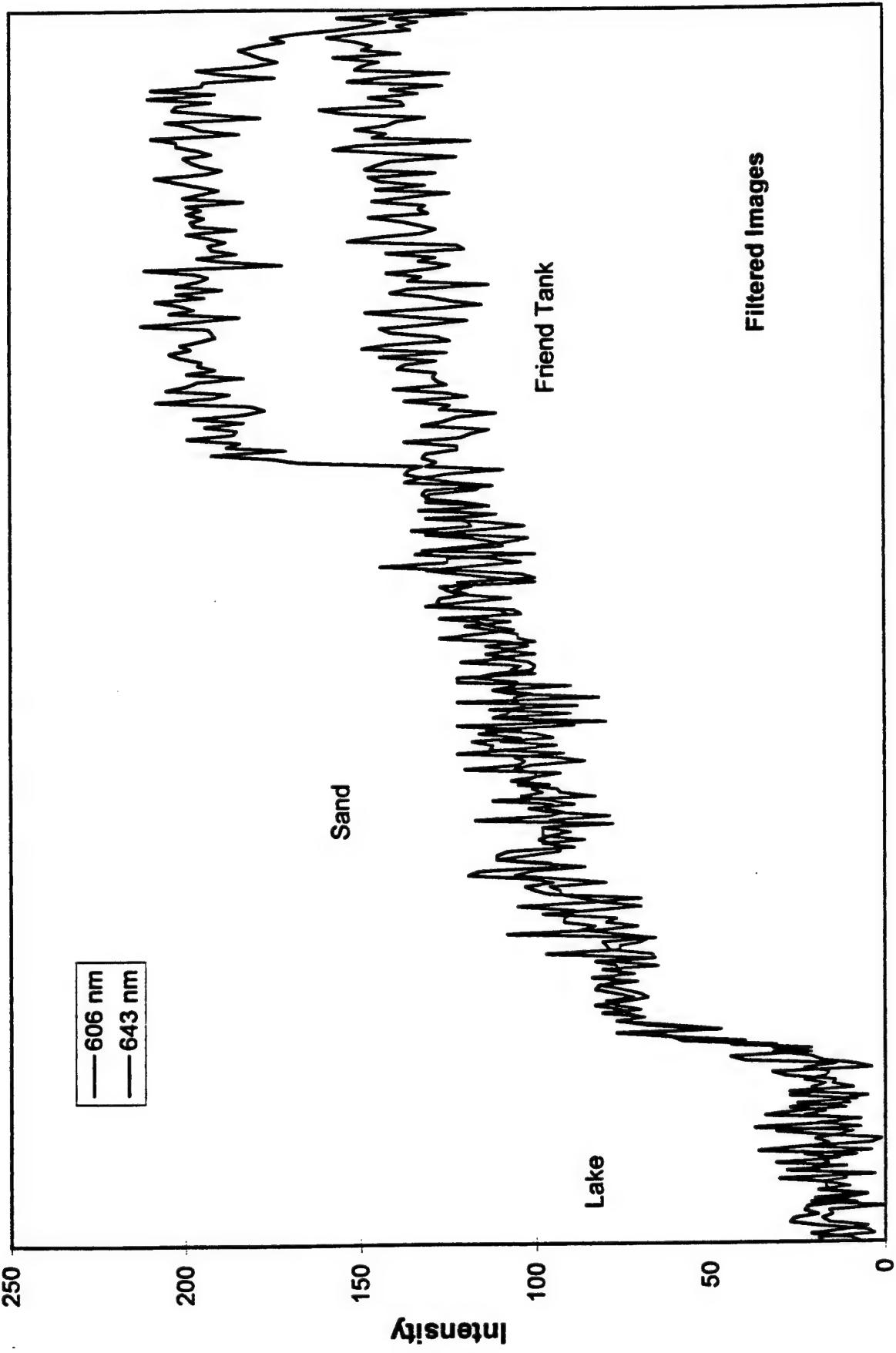


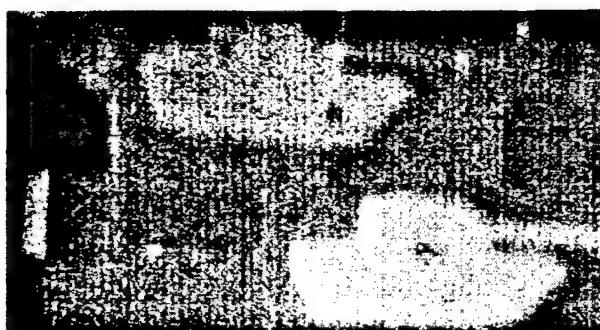
**Unfiltered Image**

**Advanced Material Corp.**









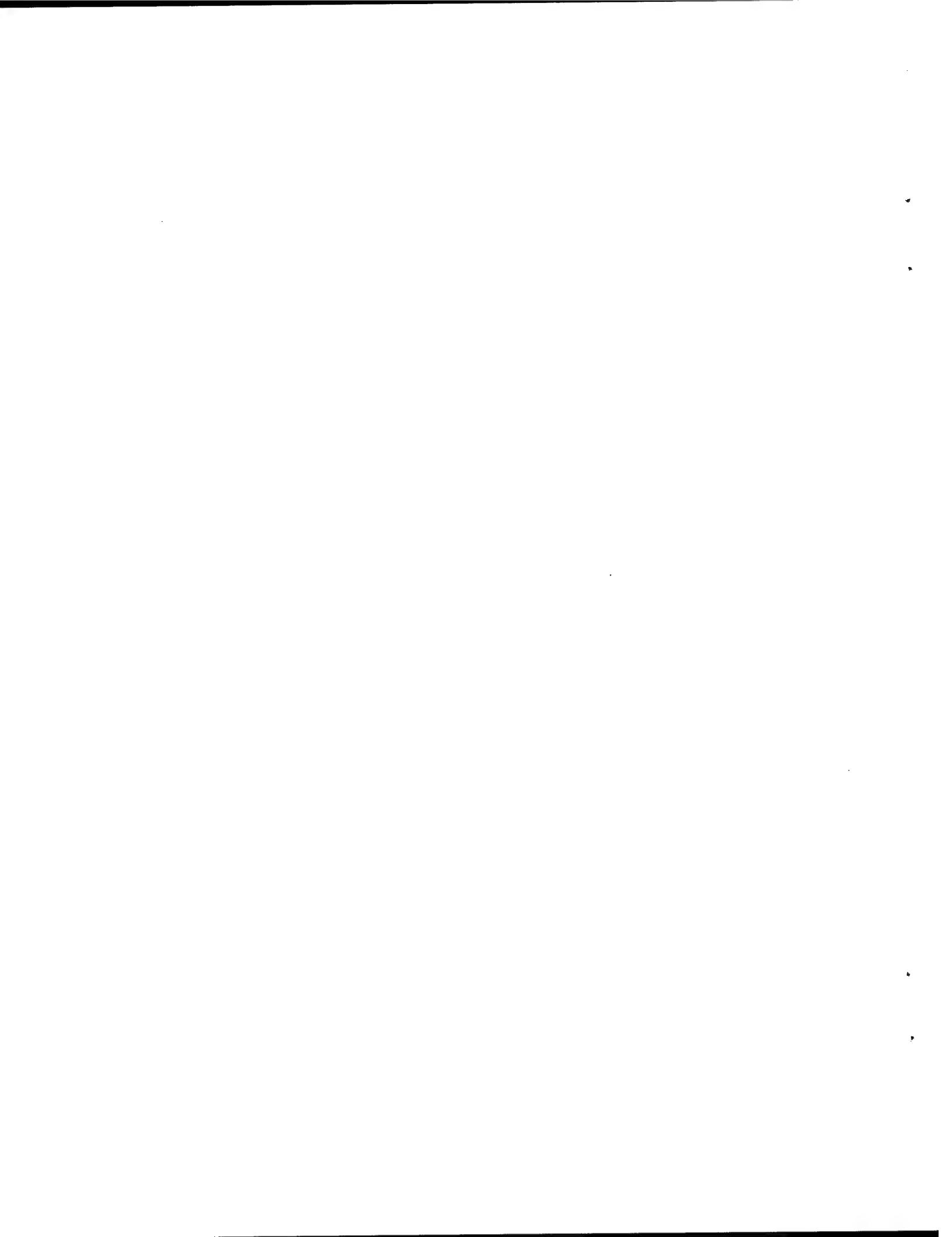
(a) Filtered at 643 nm



(b) Filtered at 603 nm



(c) Processed Image





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## Simultaneous Multispectral Imaging

**Simultaneous Multispectral Imaging  
with 12 Parallel Channel Tunable Camera**

**J. A. Carter III, D. R. Pape,  
Photonic Systems Inc., Melbourne, Florida**  
URL <http://photon-sys.com/>

**M. L. Shah,  
MVM Electronics, Inc., Melbourne Florida**

# Simultaneous Multispectral Imaging

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## Introduction

- Background and Chronology
- Simultaneous Multispectral Imaging System (**SMIS**) Description
- SMIS Design Methodology
- Compensation Error Residuals for Increasing Design Freedom
- Acoustic Transducer Design
- Prototype Performance
- Conclusion
- Credits



## Simultaneous Multispectral Imaging

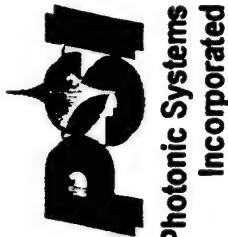
### Background and Chronology

- July of 1992, PSI and MVM jointly proposed "A Simultaneous electronically variable Multi-spectral Imaging System" to NASA JPL as a Phase I SBIR effort that was funded as Contract NAS7-1222.
- August of 1993, the Phase II proposal describing the development of the Simultaneous Multispectral Imaging System (SMIS) was submitted
- April of 1994, NASA JPL funded the contract as NAS7-1311.
- April of 1996, Prototype AOTF and compensation optics set were presented at the SPIE AeroSense Technical Exhibit to provide a preliminary demonstration of these technologies.
- PSI and MVM are now completing that system.

## Simultaneous Multispectral Imaging

### Simultaneous Multispectral Imaging System

- Fully compensated AOTF based imager.
- Simultaneous imaging of multiple spectral bands on separate image sensors.
- Extensible design to allow additional band channels as well as broadband imaging.
- Image data for polarimetric scenes or non-polarimetric scenes with double the number of bands.
- Astronomical imaging for NASA prototype system.
  - 2 polarization channels
  - 6 image band channels
  - 2 AOTF nodes
  - 3 image channels separated by dichroic filters
  - 512 x 512 image pixels per channels
  - high precision, long integration, cooled CCD sensors



## Simultaneous Multispectral Imaging

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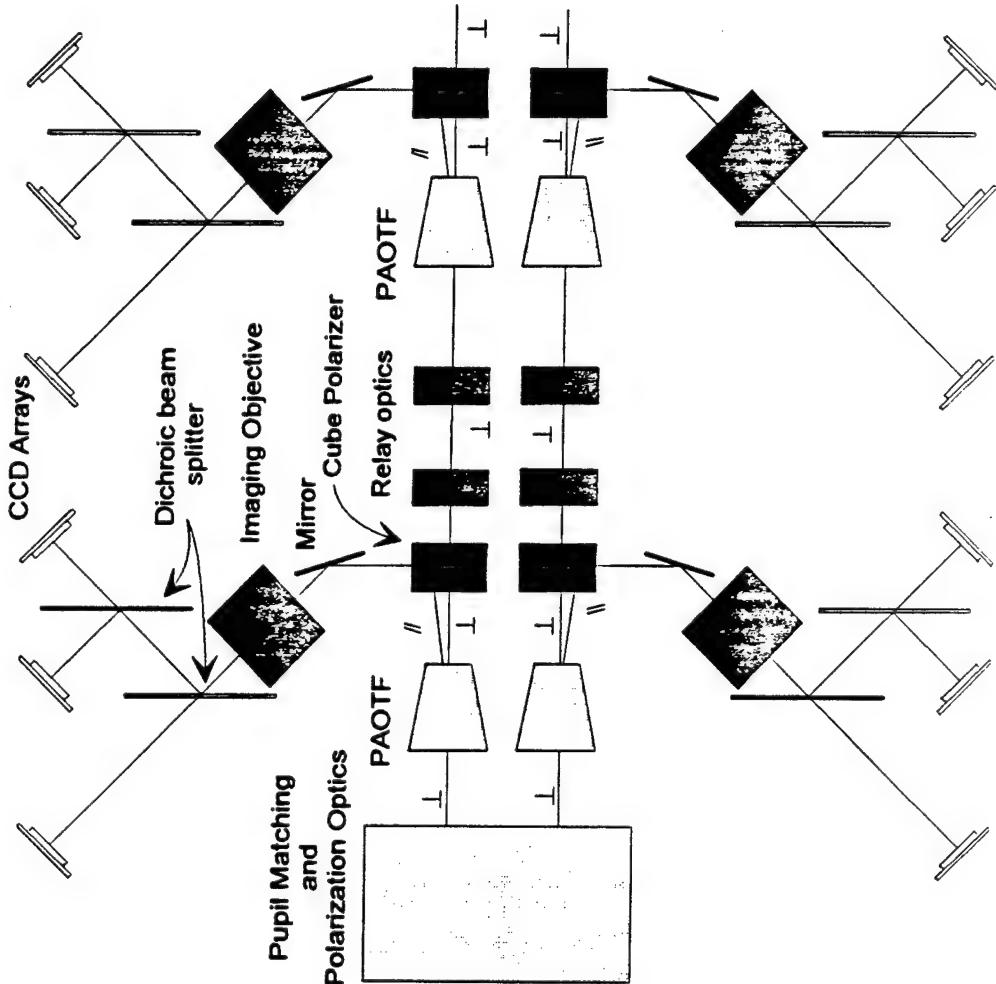
### Simultaneous Multispectral Imaging System

|                              |                         |   |
|------------------------------|-------------------------|---|
| <b>Wavelength Range</b>      | 420 nm to 700 nm        | 6 or 12 selections,<br>continuous range                       |
| <b>Spectral Resolution</b>   | 3 nm, 9 nm, or 15 nm    | Programmable, user defined *                                  |
| <b>Spatial Resolution</b>    | 500 resolvable elements | Rayleigh criteria *   |
| <b>Throughput Efficiency</b> | greater than 80%        | peak at center wavelength for each<br>of two polarized fields |

\* spectrally dependent

## Simultaneous Multispectral Imaging

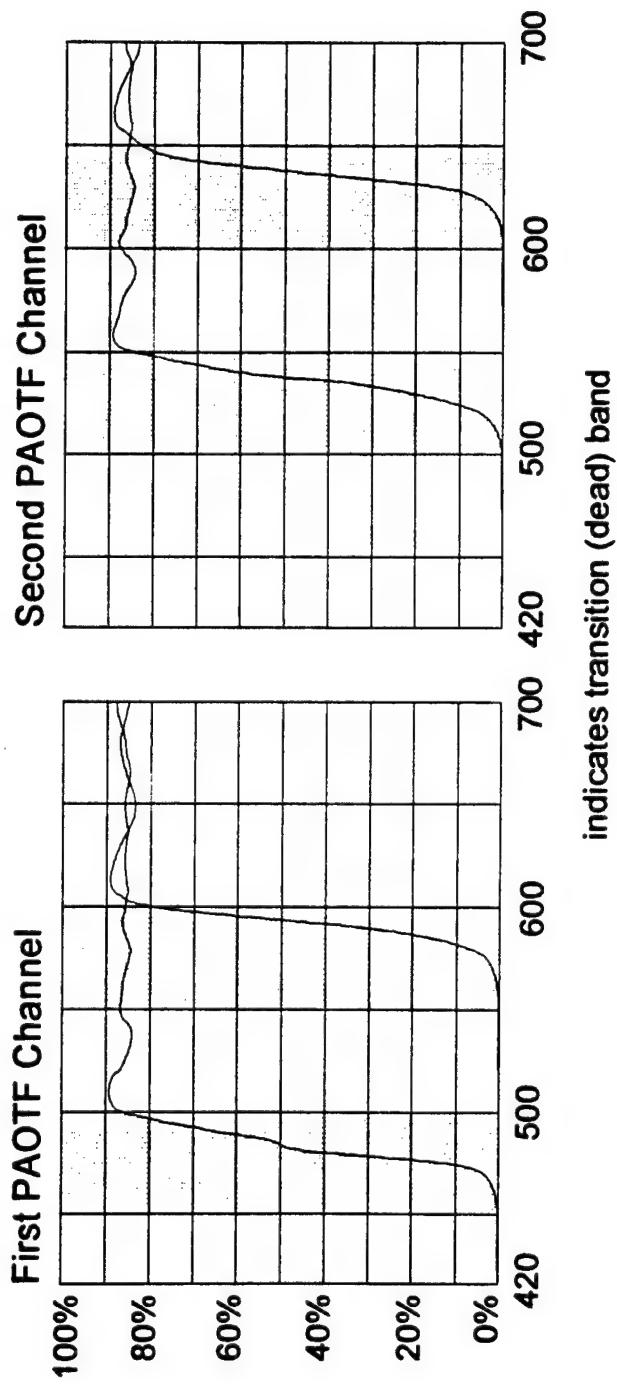
### System Schematic



## Simultaneous Multispectral Imaging

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### Spectral Passband Map



indicates transition (dead) band



## Simultaneous Multispectral Imaging

### Design Methodology

- **FORTRAN software to model an arbitrary AOTF within CodeV from Optical Research Associates**
  - User Define Surface interface for CodeV
  - Pseudo-normal allows CodeV to "refract" ray into proper direction
  - Only runs on VAX (DEC) or Sparc (Sun) platforms
  - Too slow for system optimization
- **Stand-alone, custom software written in C to optimize an arbitrary AOTF using dispersive compensation optics**
  - Physical optics ray tracing in AOTF crystal
  - Traces rays through a variety of compensation optics types
  - Damped Least Squares optimization of compensation optics
- **Candidate compensation designs returned to CodeV for critical system performance assessment**



**Simultaneous Multispectral Imaging**

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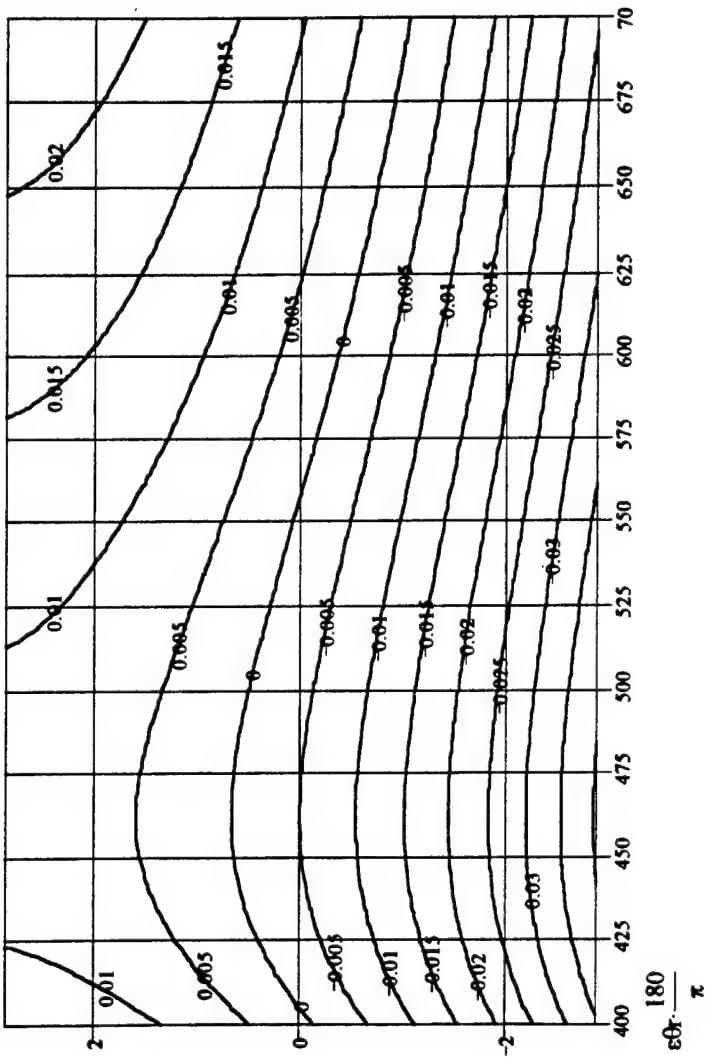
Residual errors for increasing degrees of design freedom

Photonic Systems  
Incorporated

- Wedged AOTF to compensate dispersive aberrations
- Compensation residuals for 2 degrees of freedom
- Compensation residuals for 3 degrees of freedom

## Simultaneous Multispectral Imaging

### Wedged AOTF compensation residuals



± 3.0° FOV, 512 pixels  
0.0117° per pixel

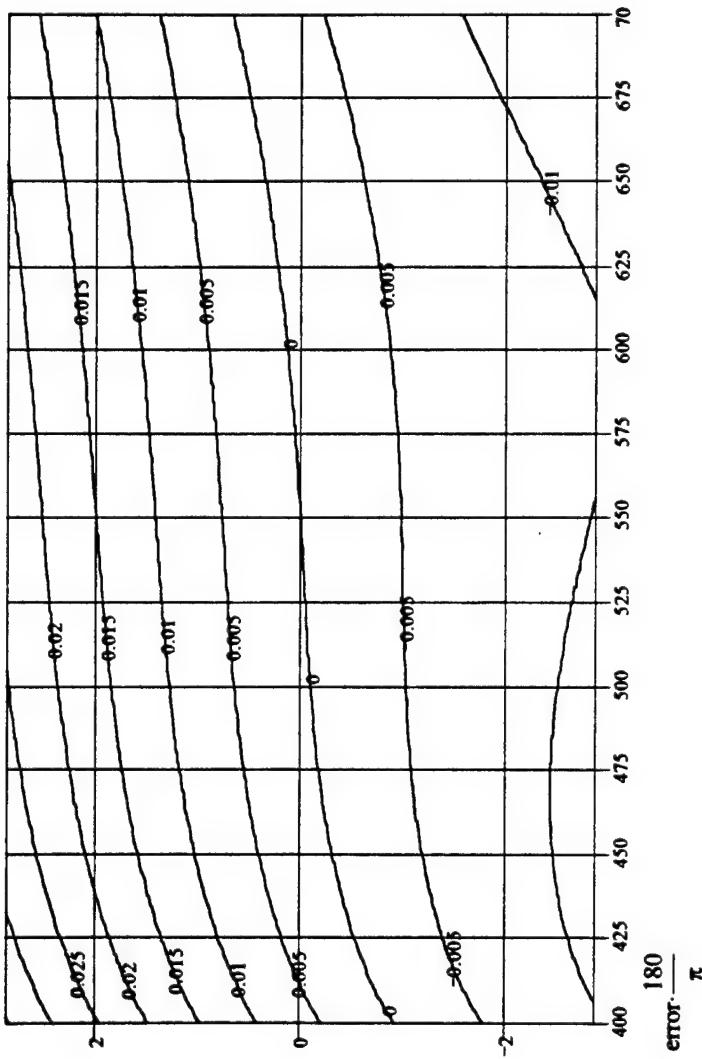
**PSI**  
Photonic Systems  
Incorporated

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# Simultaneous Multispectral Imaging

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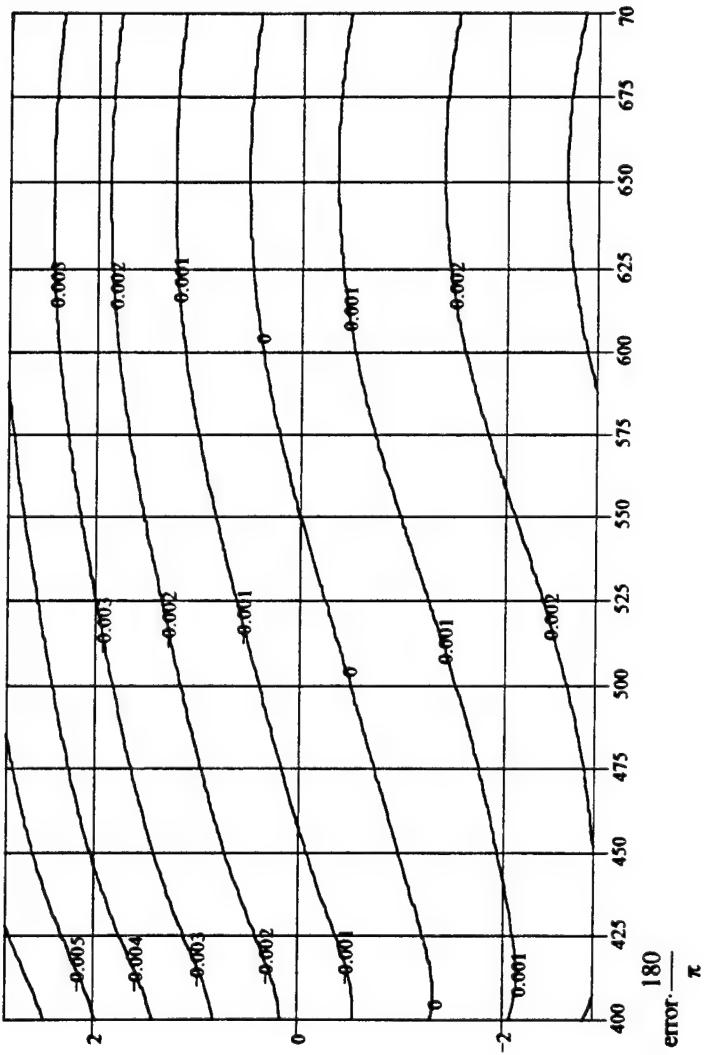
## Compensation residuals for 2 degrees of freedom



± 3.0° FOV, 512 pixels  
0.0117° per pixel

## Simultaneous Multispectral Imaging

Compensation residuals for 3 degrees of freedom

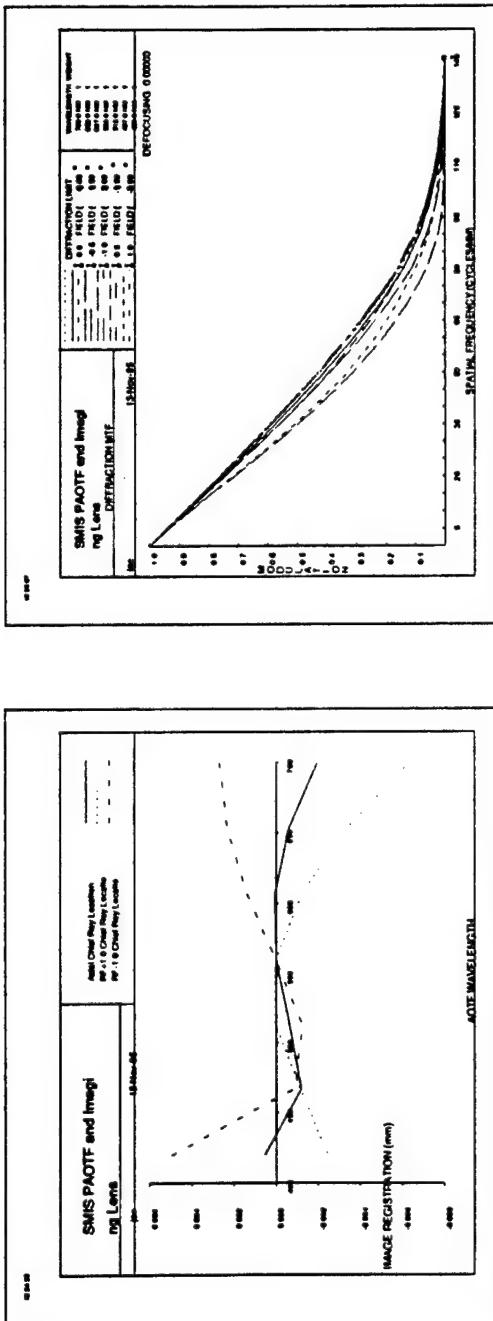


$\pm 3.0^\circ$  FOV, 512 pixels  
0.0117° per pixel



# Simultaneous Multispectral Imaging

## SMIS optical design performance for AOTF, compensation and custom image objective



- Pixel subtends 19 by 19 microns for the SMIS system.
  - The registration for the center of the image is well within 4 microns
  - The edges of the image register within no more than 9 microns.

# Simultaneous Multispectral Imaging

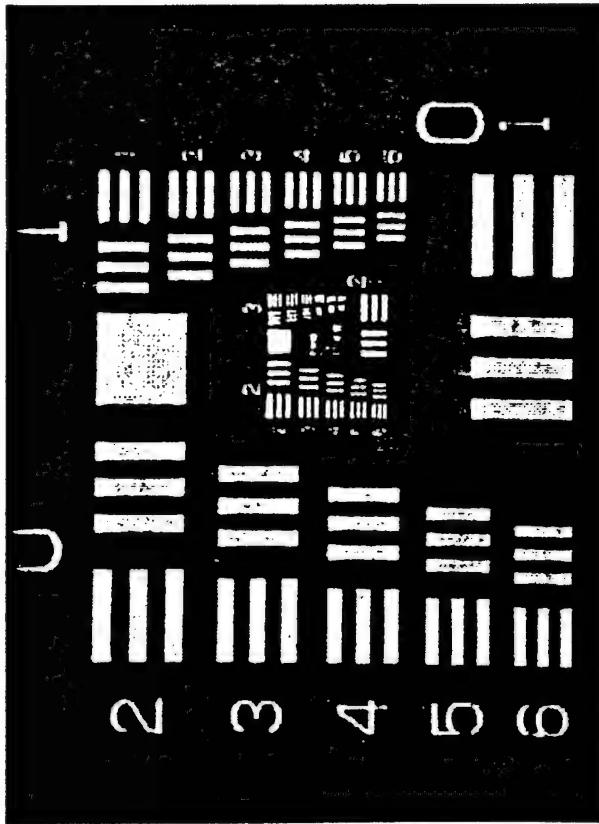
## Acoustic Transducer Design

PSI  
Photonic Systems  
Incorporated

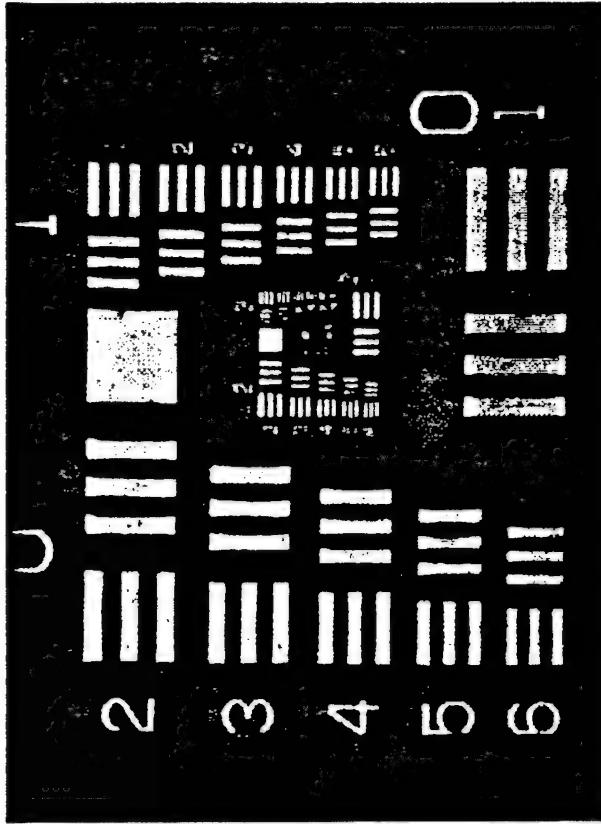
- Acoustic beam side-lobes give spatially shifted "ghost" images
- Transducer design issues:
  - Absolute minimum energy in acoustic beam sidelobes - transducer shape and apodization
  - Segmented transducer scheme to adjust raw transducer impedance for RF matching.
- Manhar Shar of MVM Electronics developed novel transducer scheme that addresses these issues and provides excellent performance for the SMIS and future image sensor developments.  
(Patent forthcoming)

# Simultaneous Multispectral Imaging

Acoustic Transducer Performance  
Photonic Systems Incorporated



Early AOTF Prototype



Final AOTF Design



Photonic Systems  
Incorporated

## Simultaneous Multispectral Imaging

### Prototype Performance

- SMIS is currently in the fabrication and integration process.
- Preliminary results are limited to the lab bench breadboard optical system.
- Video tape very quickly made;  
please accept my apologies.

## Simultaneous Multispectral Imaging

### Conclusion

- **PSI and MVM Electronics have developed a completely compensated tunable camera system**
  - provides for simultaneous multispectral imaging
  - gives polarimetric data when appropriate
  - allow system to be extended with additional channels
  - provides a broad band image port
- **Compensation provides fraction of a pixel image registration for all points in the image over the entire spectral band.**
- **Compensation optics are designed externally to the AOTF**
  - represent a reduced cost compared to high precision wedges in the AOTF crystal fabrication.
  - provides adjustment for AOTF fabrication variance at the time of system integration and thus improves the yield of acceptable AOTF devices



## Simultaneous Multispectral Imaging

Photonic Systems  
Incorporated

Credits

**PSI and MVM would like to thank Dr. Robert Nelson, of the NASA Jet Propulsion Laboratories, for his encouragement, guidance, and support. Without the funding from the NASA Small Business Innovative Research grant sponsored by Dr. Nelson, this important technology would not be available to the research and commercial communities.**

## Polarimetric Hyperspectral Imaging Systems and Applications

Li-Jen Cheng, Colin Mahoney, George Reyes, and Clayton La Baw  
Center for Space Microelectronics Technology  
Jet Propulsion Laboratory  
California Institute of Technology  
Pasadena, CA 91109

and

G.P. Li  
Department of Electrical and Computer Engineering  
University of California  
Irvine, CA 92717

\* Sponsored by NASA, ASTRO, MCSC, and SSDC

**JPL**

## AOTF IS:

A REAL-TIME PROGRAMMABLE,  
HIGH-RESOLUTION SPECTRAL BANDPASS FILTER  
WITH POLARIZATION BEAM SPLITTING CAPABILITY

*incorporated with focal plane detector array(s), optics,  
& electronic subsystems*



## Polarimetric Hyperspectral Imaging Instrument



## Image Data Set

**As Function Of Wavelength And Polarization**  
with spectral resolution adequate for material characterization

## Advantages of AOTF-PHI System

**Real-time collection of image data**

- Spectral
- Polarization
- Time variation.

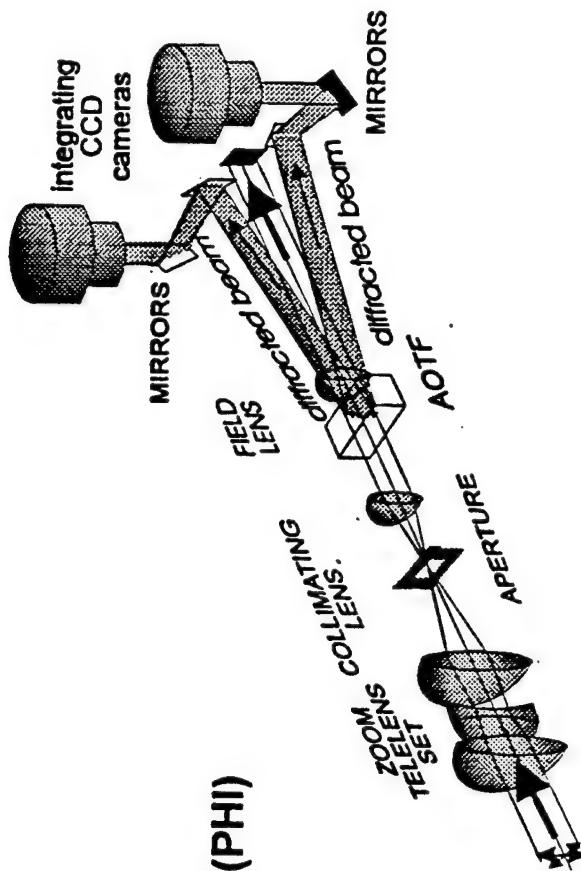
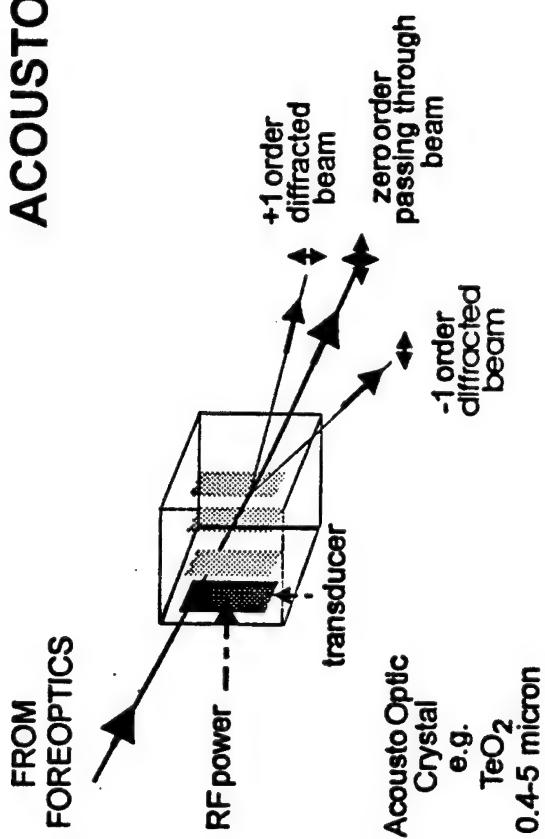
**Operational flexibility, fast programmable**

Take only needed data at desired wavelengths.

**Compact, light-weight, reliable, and low cost**

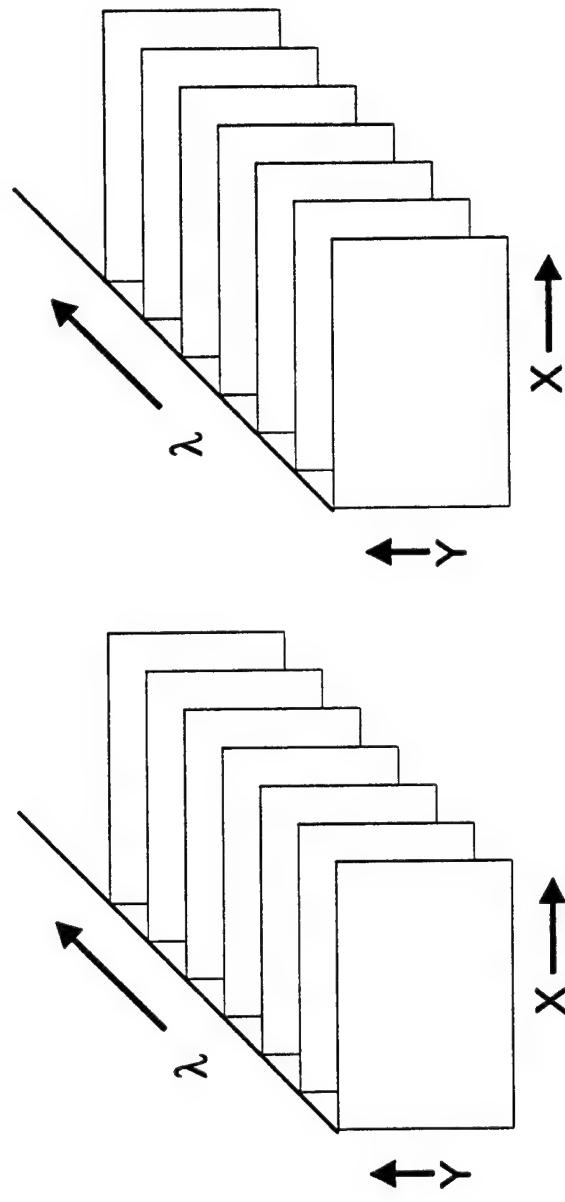
**Use on space and airborne platforms, ground vehicles,  
and hand-held.**

## ACOUSTO-OPTIC TUNABLE FILTER (AOTF)



JPL

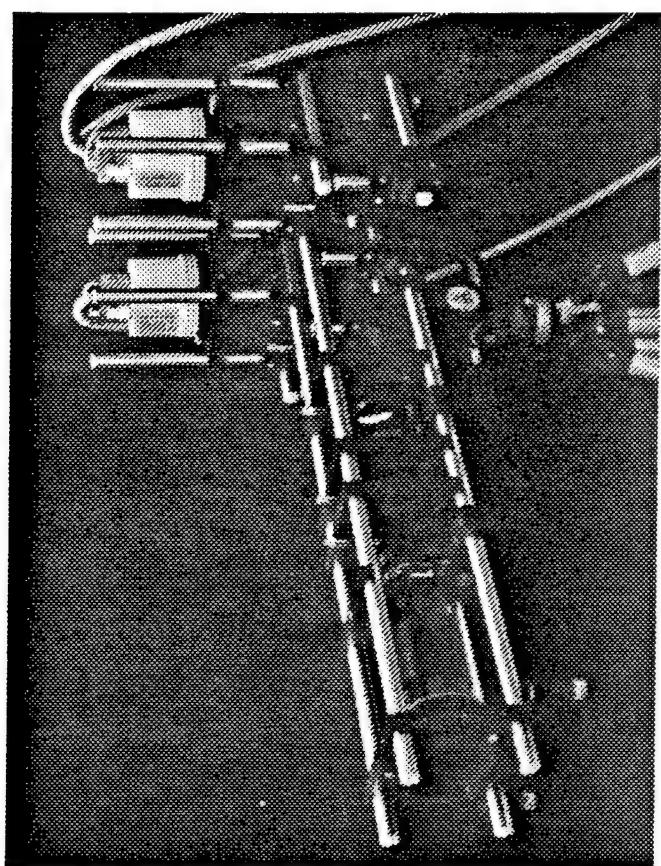
## AOTF PHI IMAGE CUBES



with polarization electric vectors orthogonal to each other

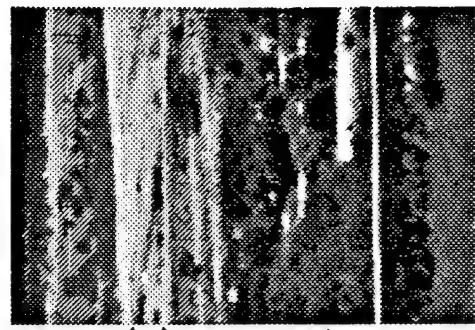
Signal at each pixel in the cube is light intensity  
that can be converted into other physical parameters  
such as:

**spectral derivative images**  
**polarization difference images**

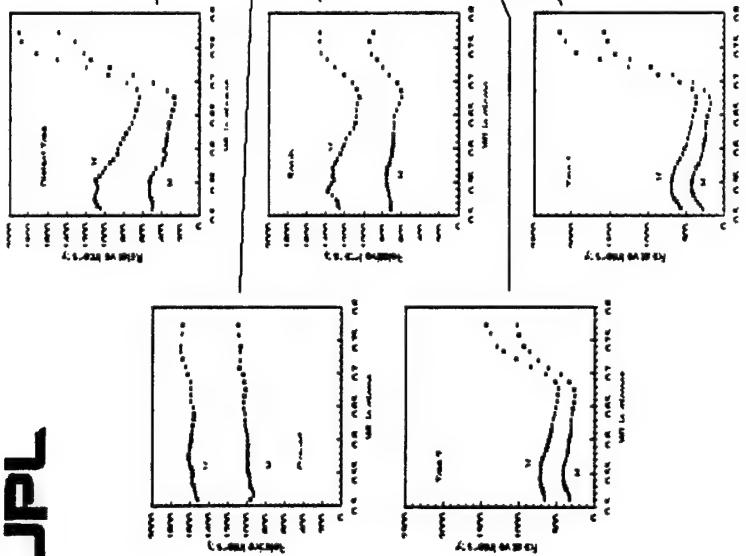


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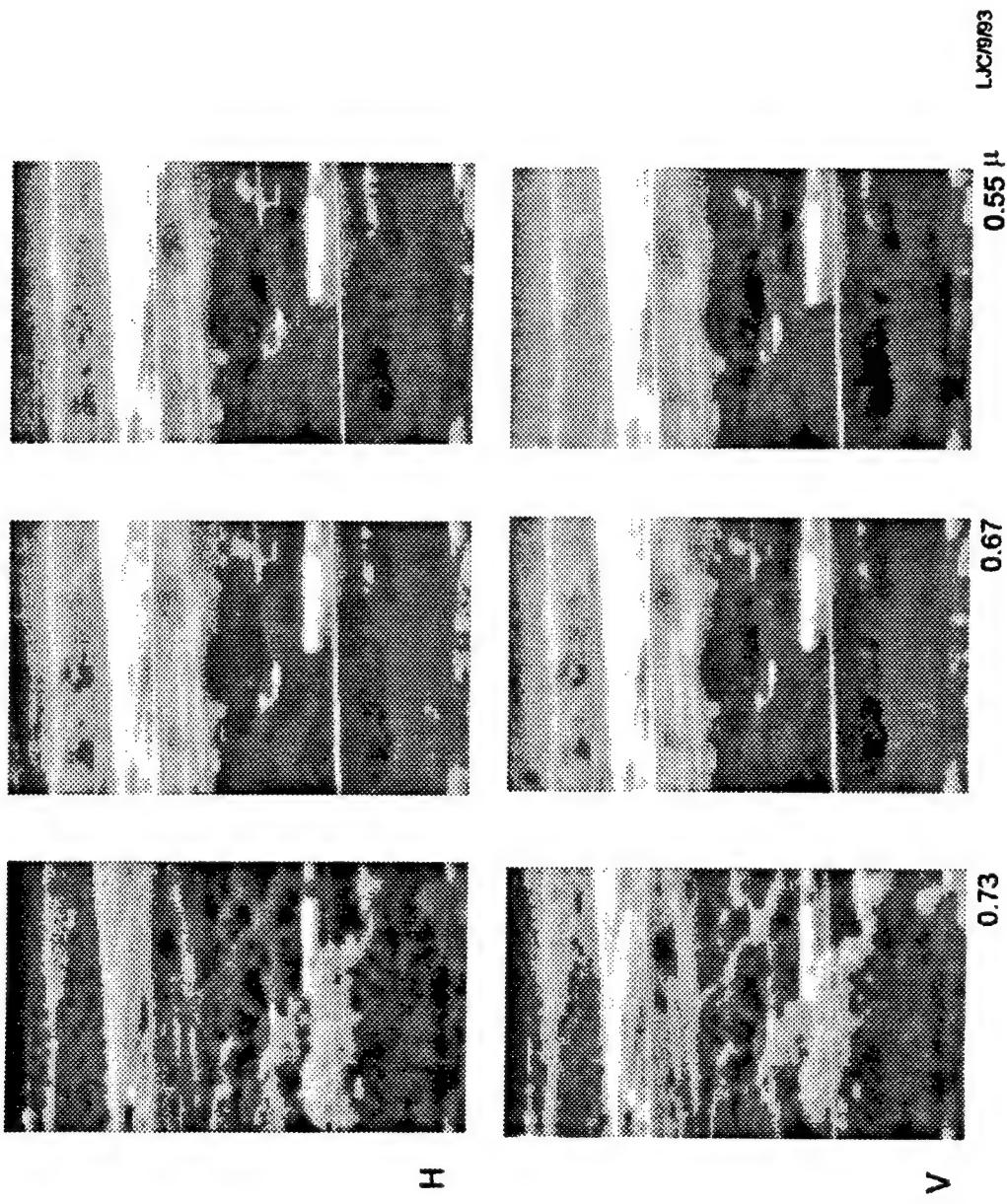
AOTF SPECTRA OF  
SELECTED OBJECTS

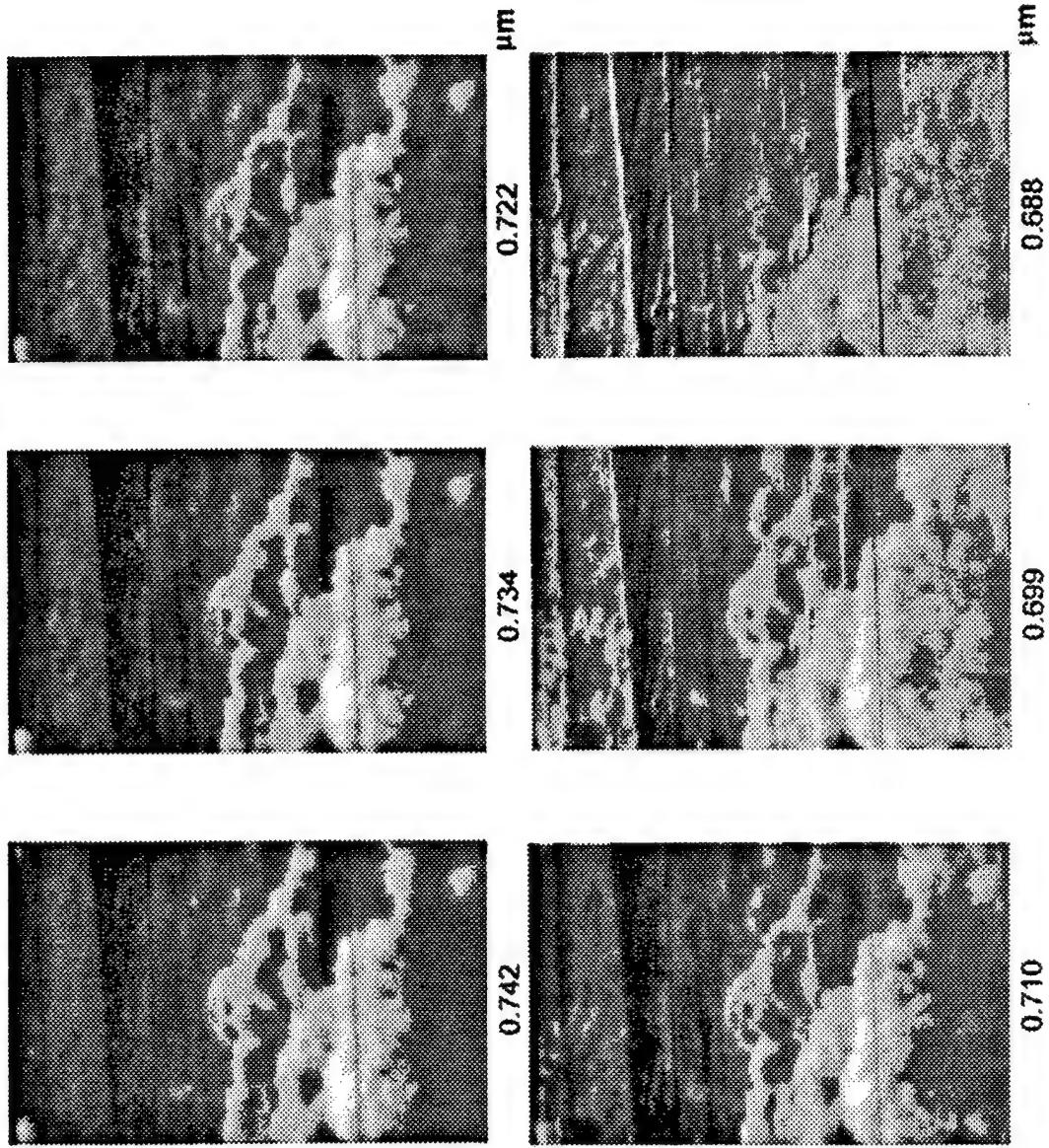


FT. HUACHUCA, AZ  
UCN993  
Vegetation Classification  
Polarization Effect



AOTF SPECTRAL IMAGES

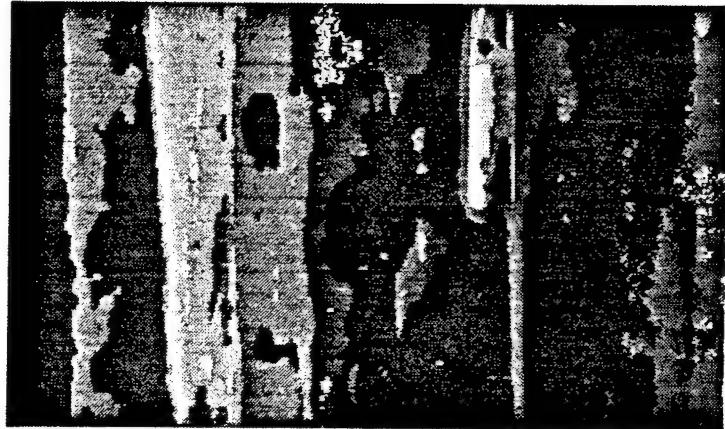


**SPECTRAL DERIVATIVE IMAGES  
AT CHLOROPHYLL RED EDGE**

LJC/1893

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## A DETECTION CONCEPT ILLUSTRATION

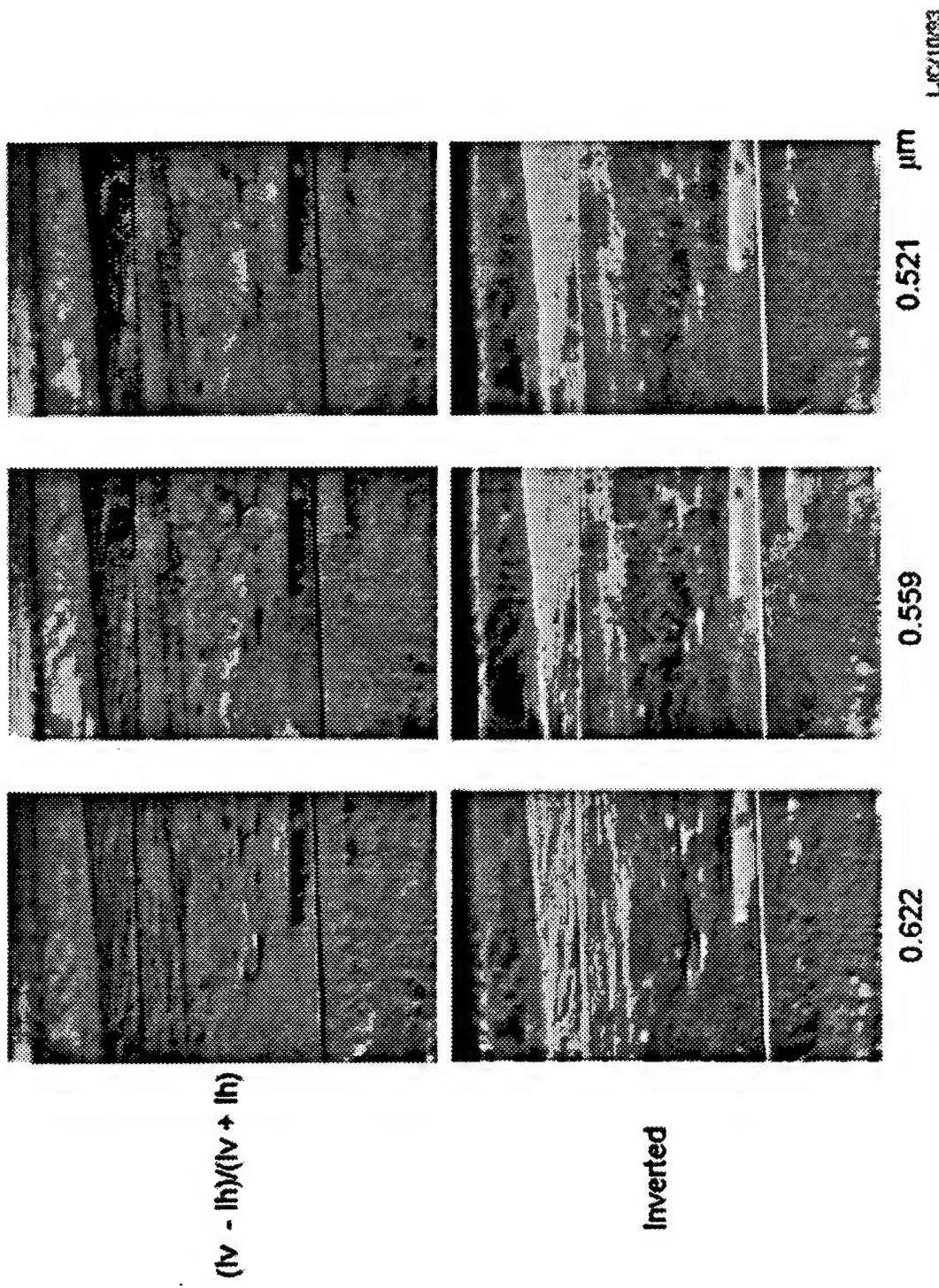


Via Detecting Mask  
Generated  
with Expected Characteristics

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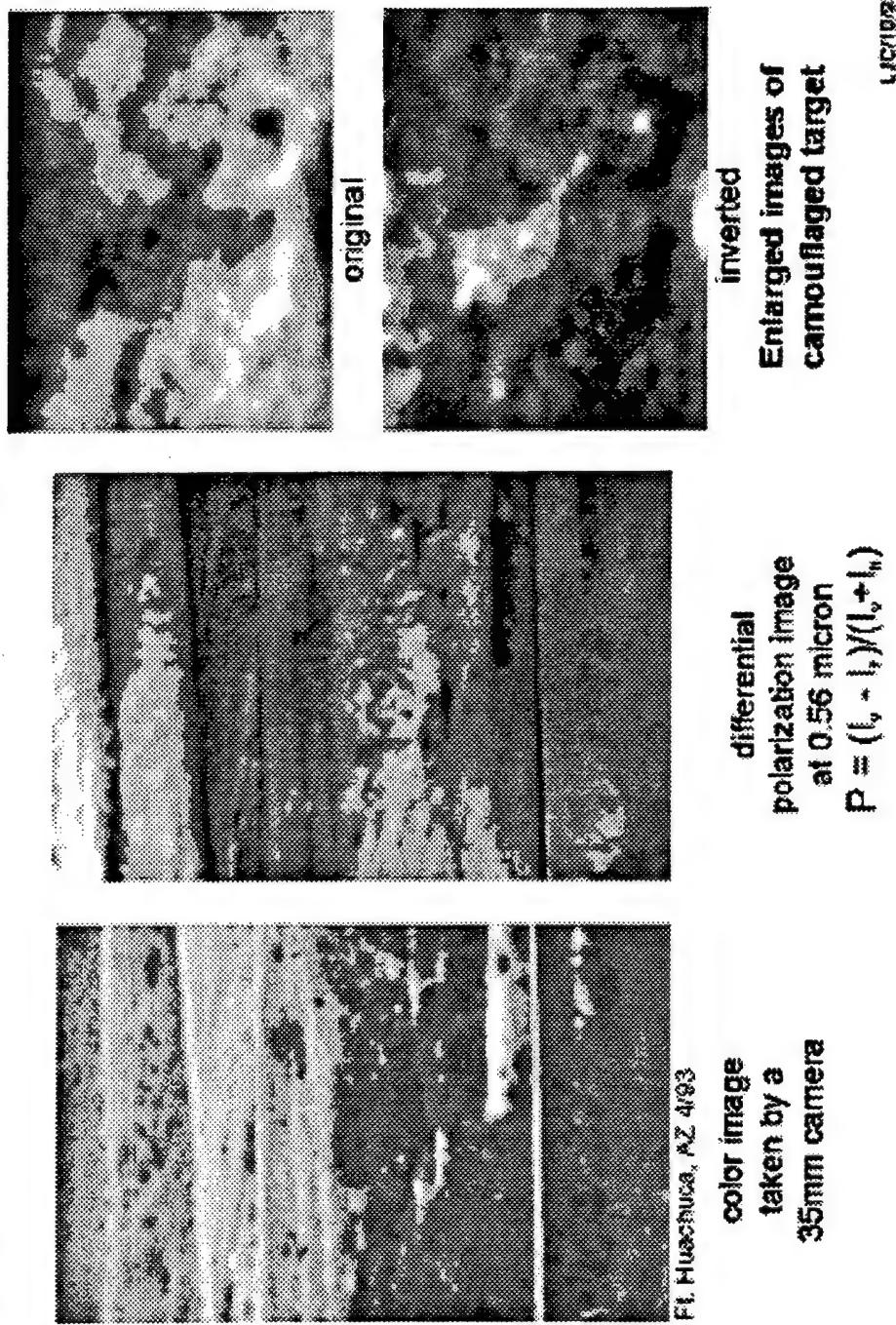
POLARIZATION IMAGES

$$(I_V - I_H)/(I_V + I_H)$$



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## A Camouflaged Target

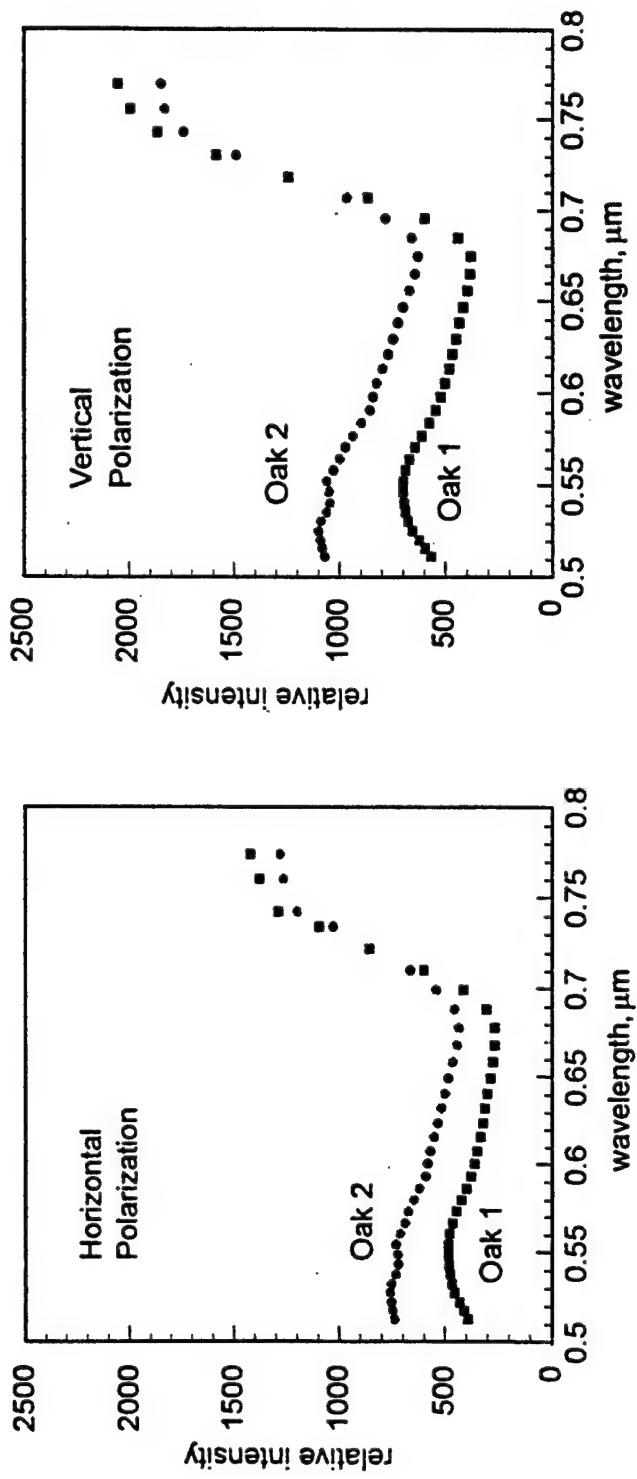


Enlarged images of  
camouflaged target

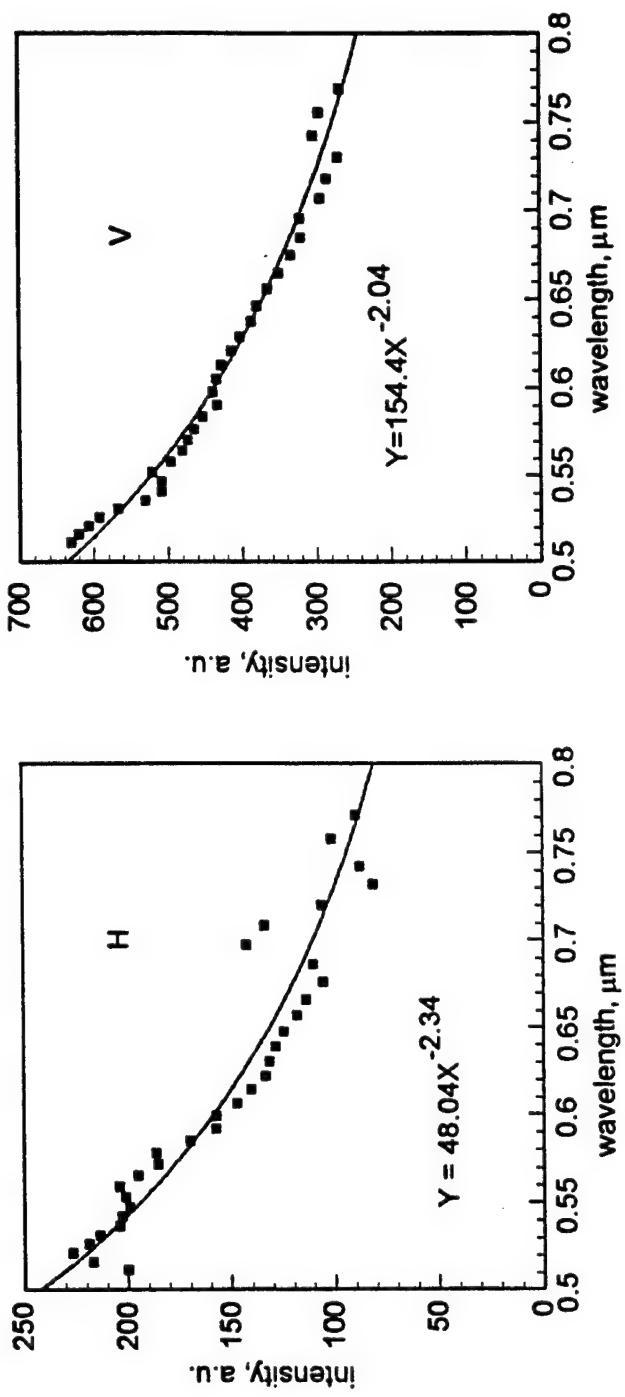
LICR023

AEROSOL SCATTERING  
IN ATMOSPHERE

## Reflectance Spectra of Two Oaks at Different Distances



## Wavelength Dependence of Scattered Light Due to Aerosol in the Atmosphere

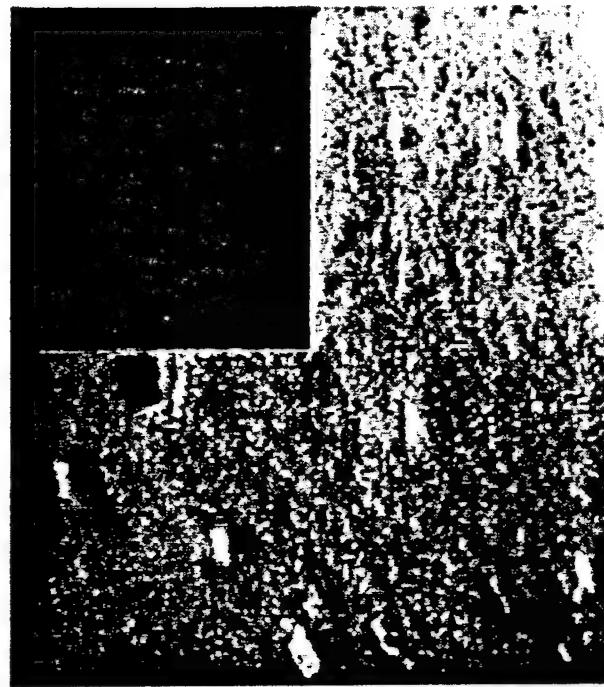


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**TARGET DETECTION  
AND  
CLUTTER REMOVAL**



**35 MM COLOR IMAGE  
USING AN ORDINARY CAMERA**

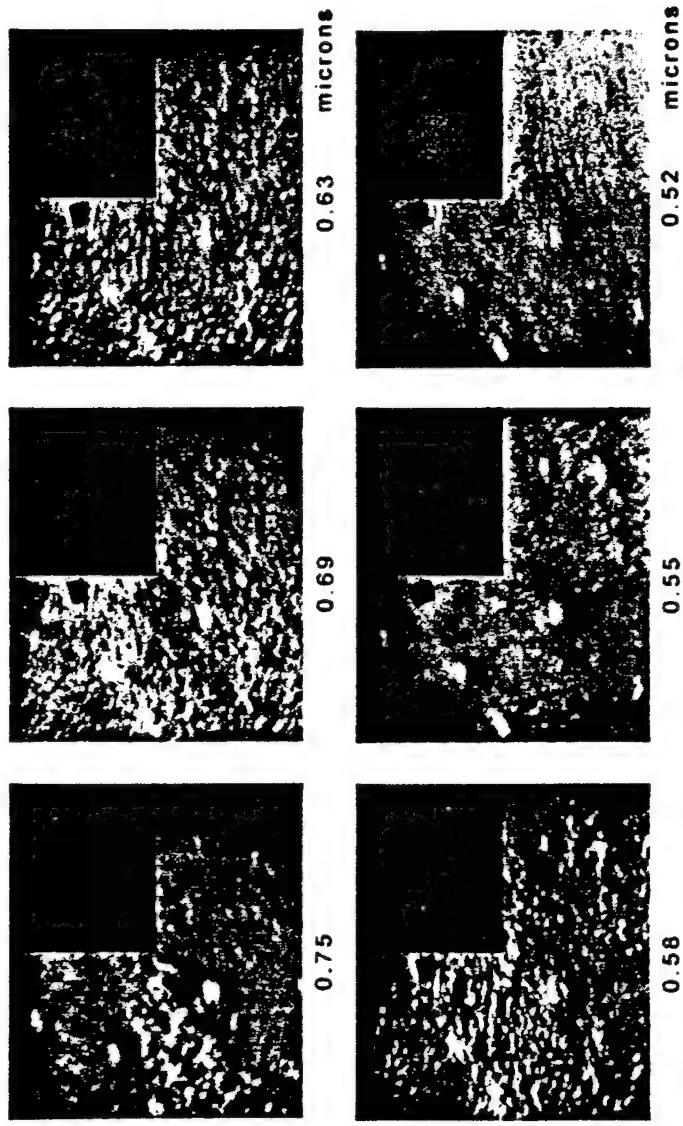


**DIFFERENTIAL POLARIZATION IMAGE  
AT 0.52 MICRONS**

**MINES IN ICEPLANT FIELD**

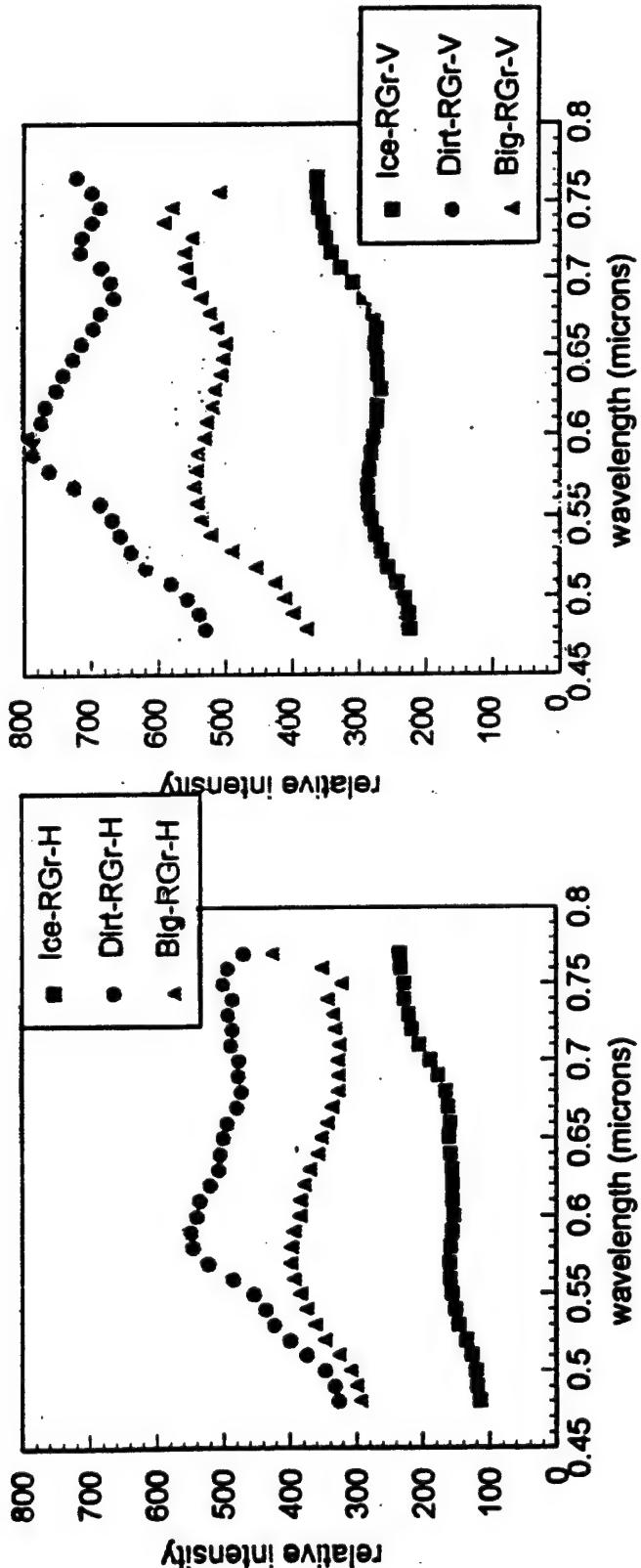
LJC/4/94

JPL MINES IN ICEPLANT FIELD  
POLARIZATION SPECTRAL IMAGES



# SPECTRAL MIXING

due to scattered light from neighboring objects

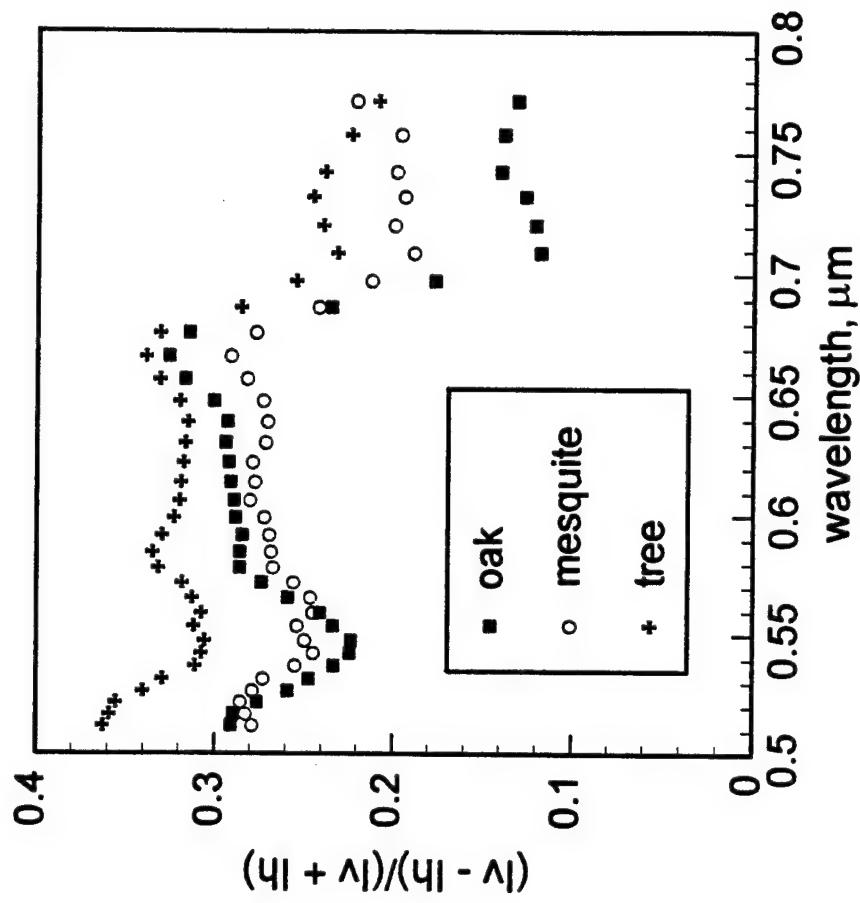


Ice: iceplant field (~400 m)  
 Dirt: bare ground with a white trailer nearby (~400 m)  
 Big: close distance (~40 m)

dark green round metallic mine

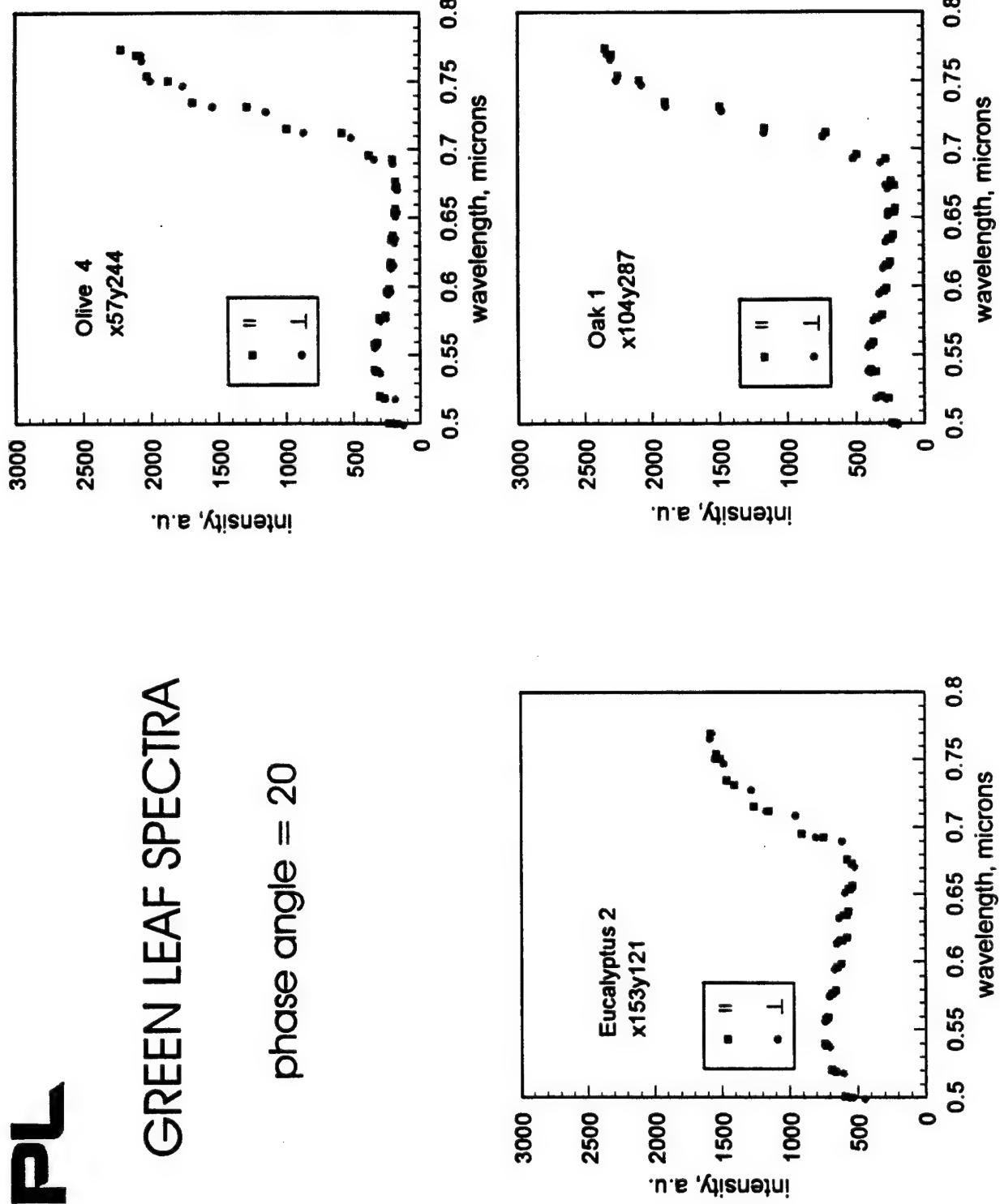
VEGETATION  
AND  
POLARIZATION EFFECTS

# Measured Polarization Spectra of Three Different Trees

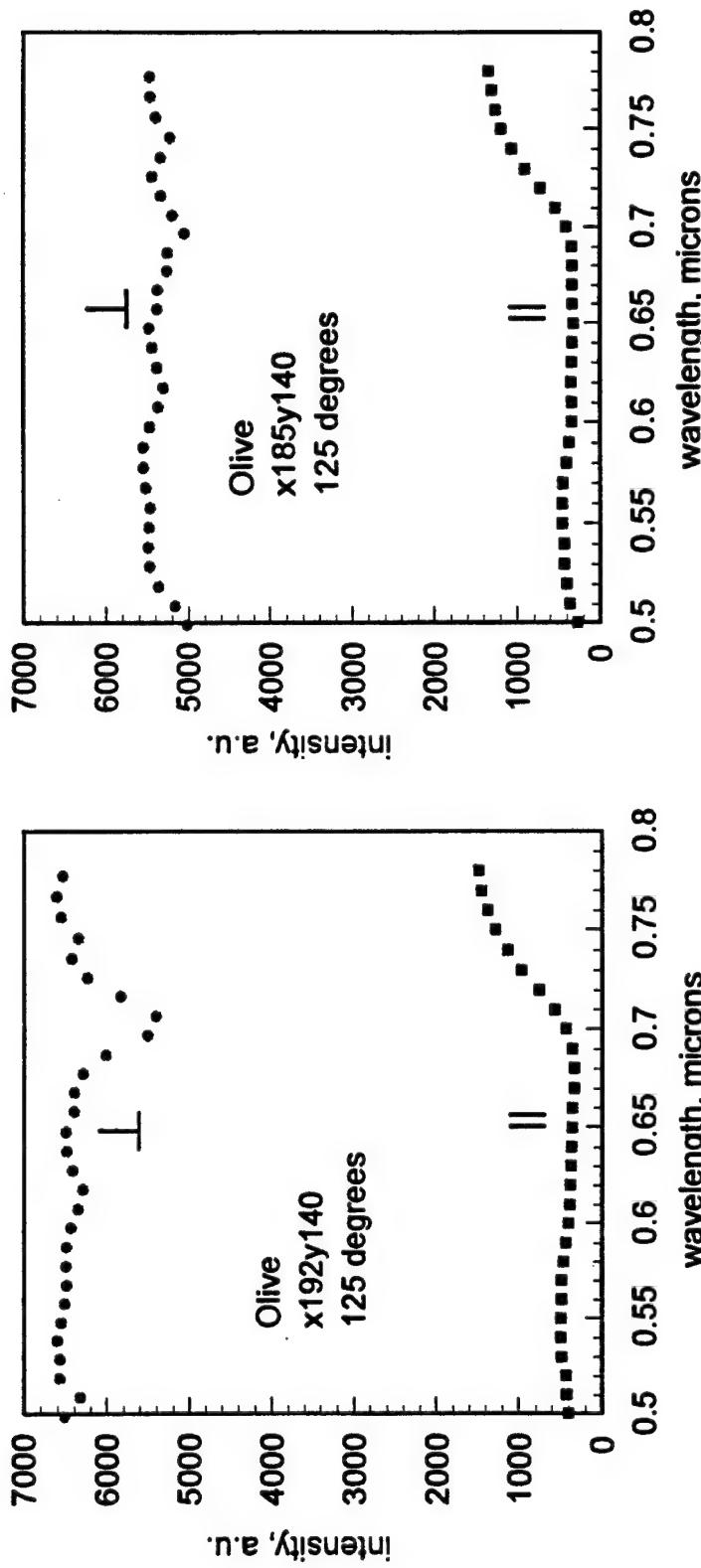


## GREEN LEAF SPECTRA

phase angle = 20

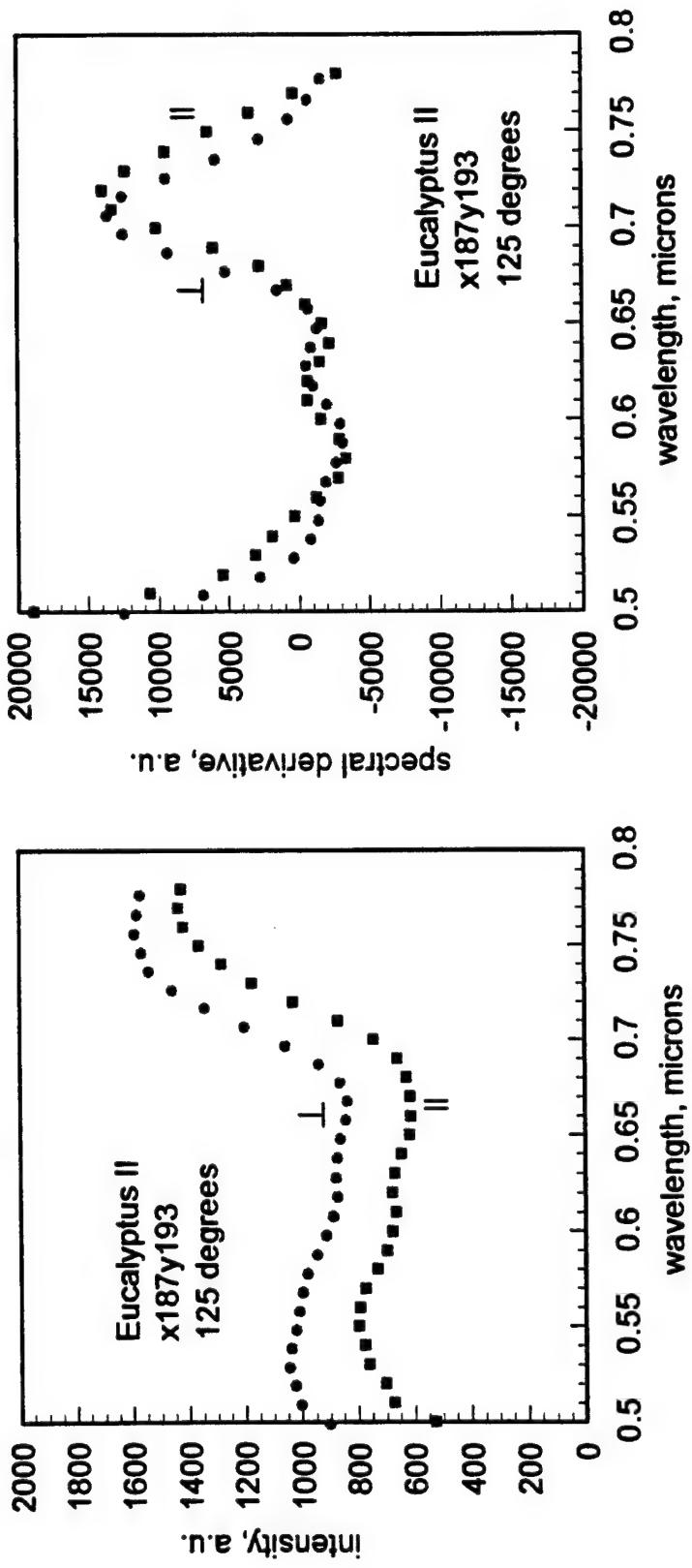


## REFLECTIVE SPECTRA OF OLIVE LEAF AT SPECULAR ANGLE

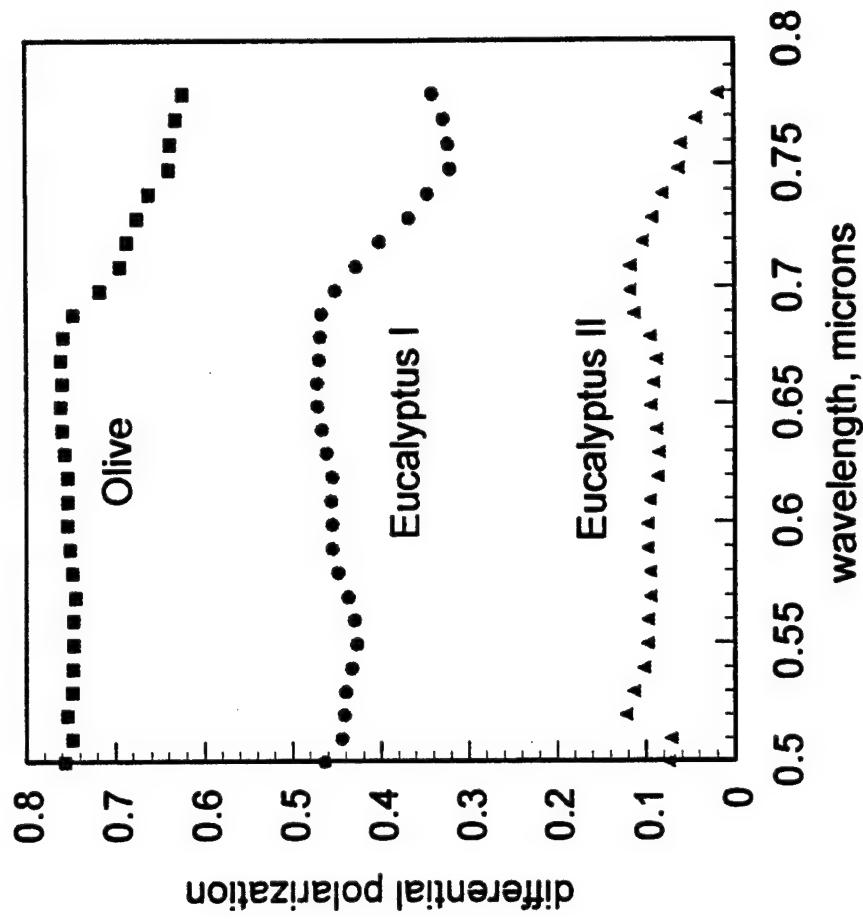


With polarization parallel (||) and perpendicular (⊥)  
to incident plane

REFLECTIVE AND DERIVATIVE SPECTRA  
OF EUCALYPTUS LEAF  
AT SPECULAR ANGLE



## POLARIZATION SPECTRA OF GREEN LEAVES



differential polarization =  $(I_{\perp} - I_{\parallel}) / (I_{\perp} + I_{\parallel})$

phase angle = 125 degrees

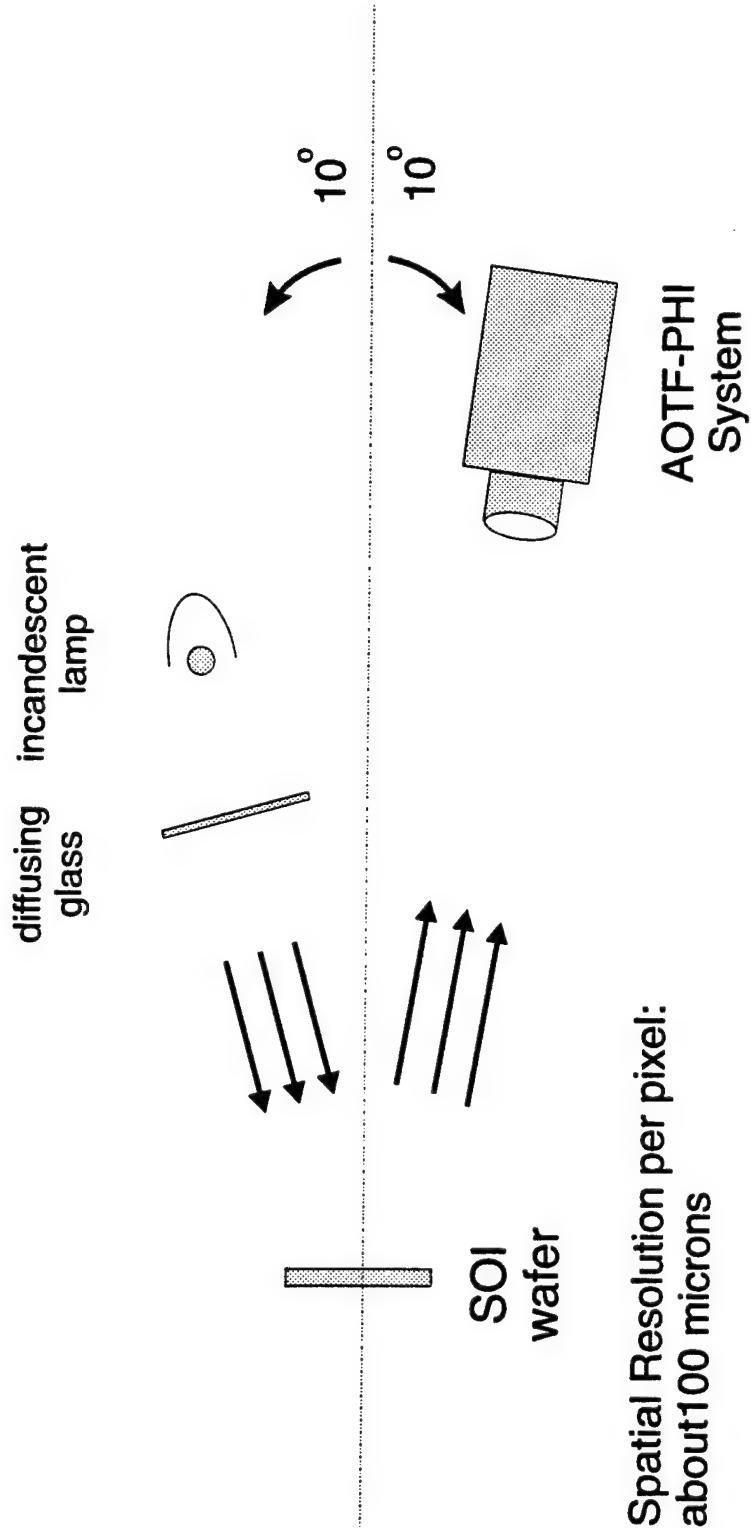
## **CHARACTERIZATION OF SILICON-ON-SILICON USING AOTF-PHI**

Silicon-On-Silicon (SOS)

is

A most promising material  
of silicon-on-insulators,  
important for future advanced VLSI.

## EXPERIMENTAL SETUP



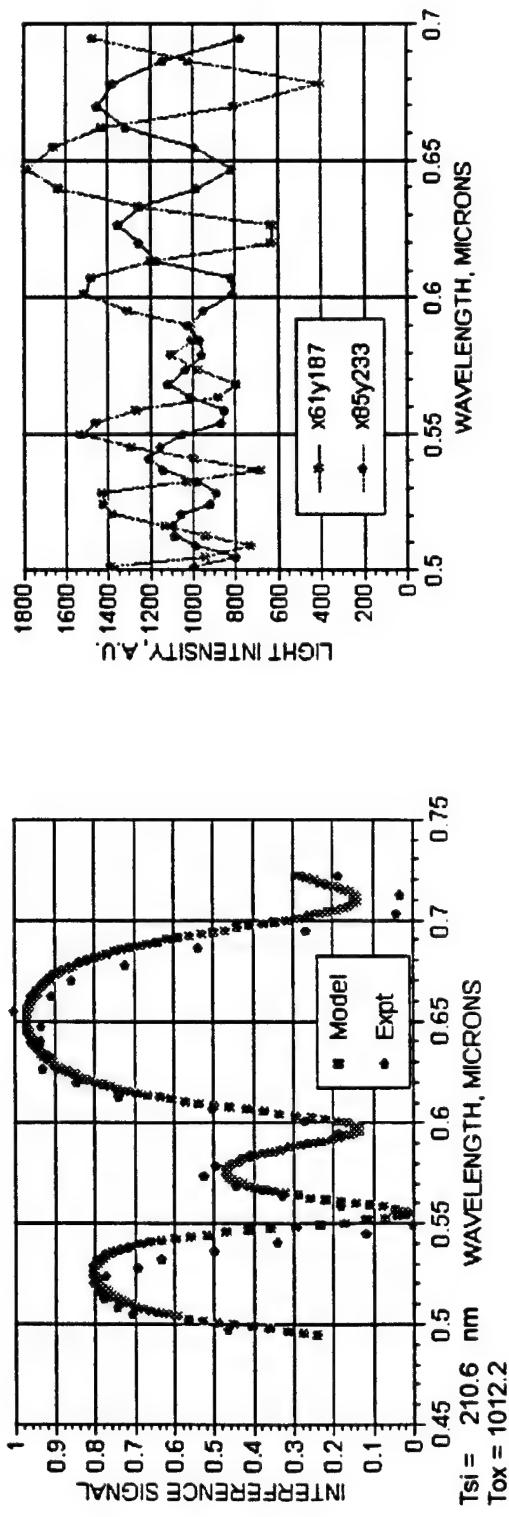
Spatial Resolution per pixel:  
about 100 microns

WHITE-LIGHT INTERFERENCE PATTERN  
as a function of wavelength  
at two orthogonal polarizations

## WHITE-LIGHT INTERFERENCE IMAGE CUBE

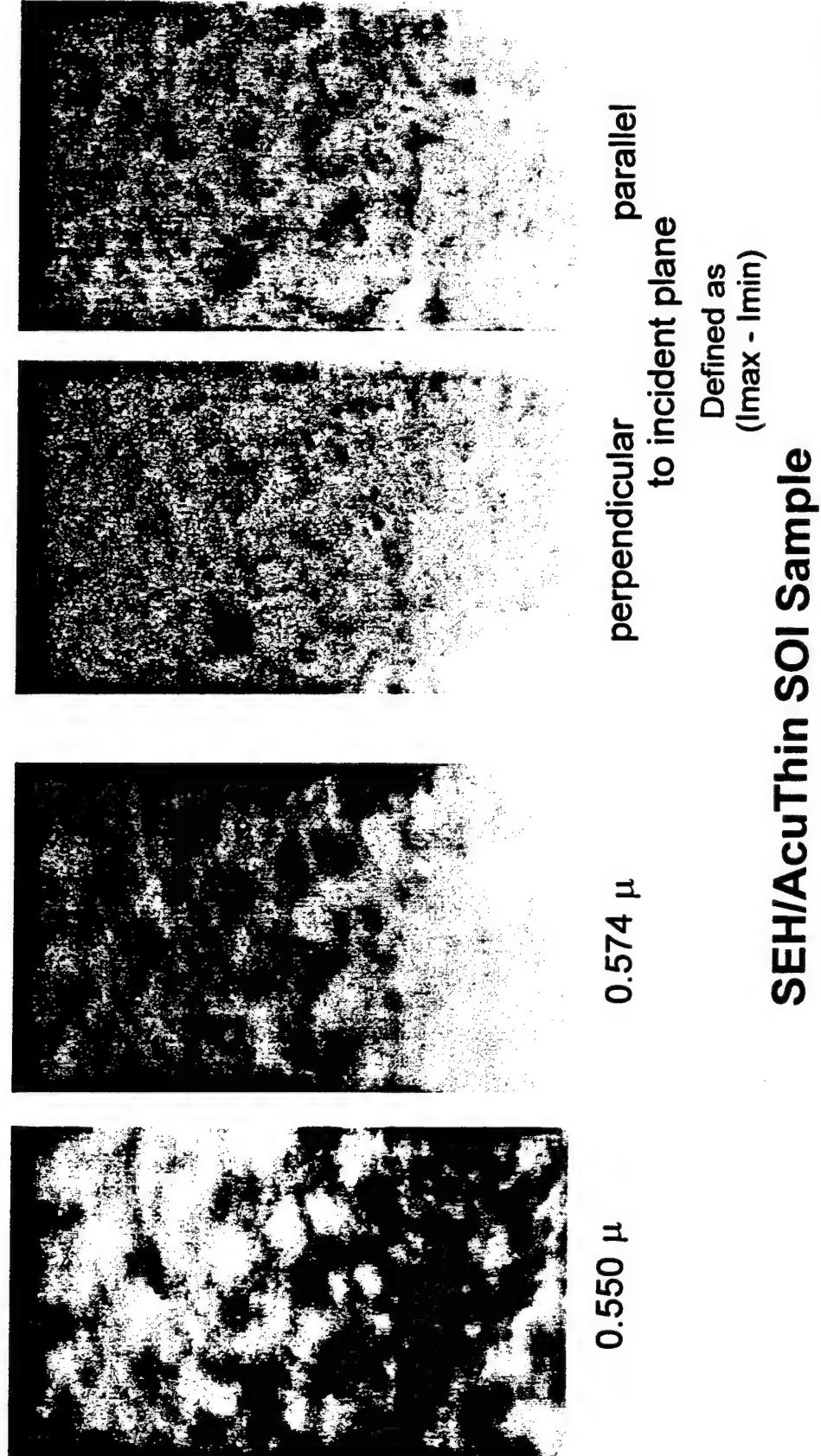


- interference spectrum → layer thickness maps deviations from model
- interference amplitude reduction and DC component → surface/interface roughness
- polarization images → surface/interface topographies abnormal interface structures



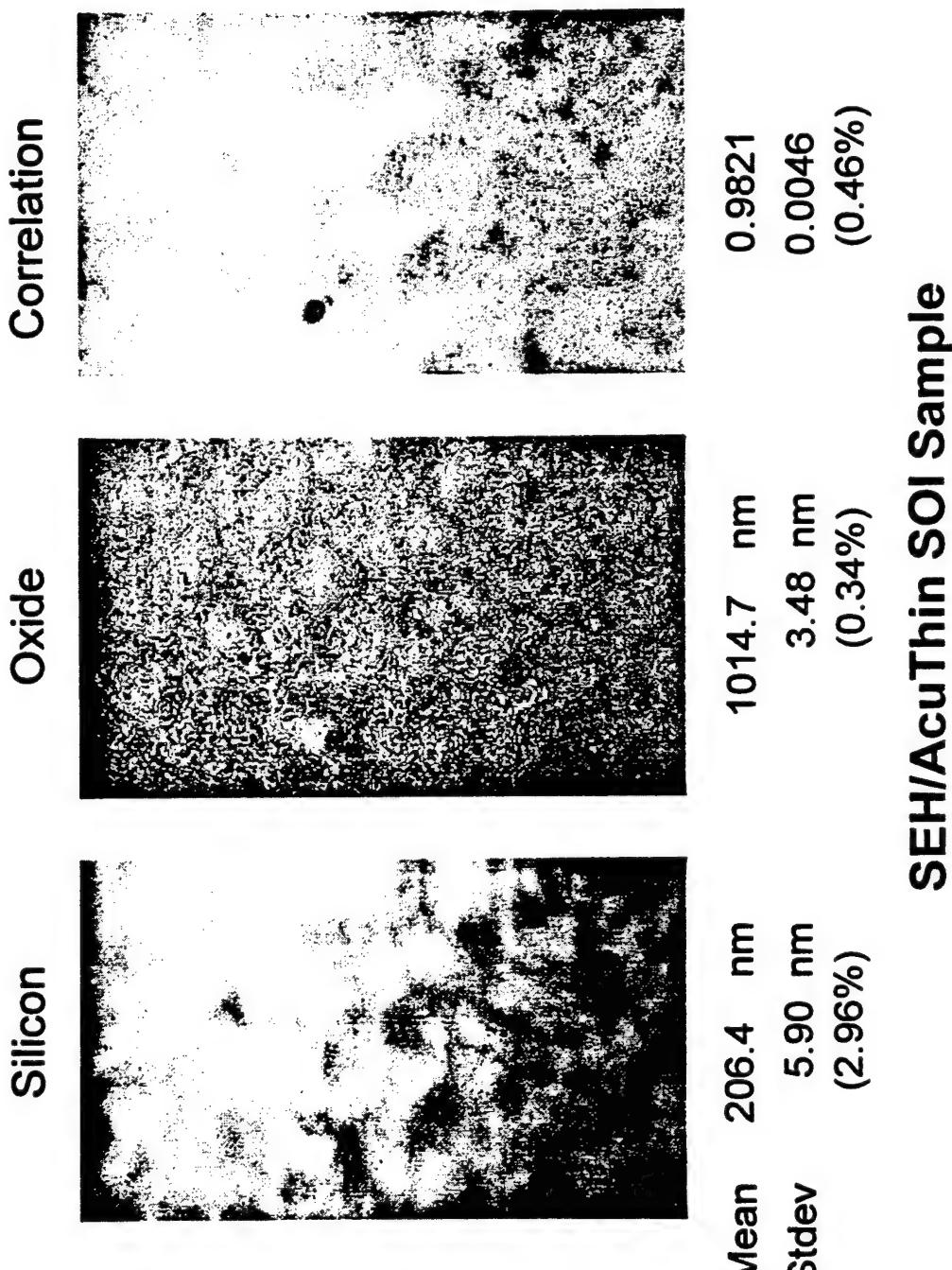
Interference  
Images

Interference  
Amplitude Map



LJC/9/94

**MAPS OF SILICON AND OXIDE LAYER THICKNESS  
WITH CORRELATION FACTOR  
BETWEEN MODEL AND MEASURED SPECTRA**



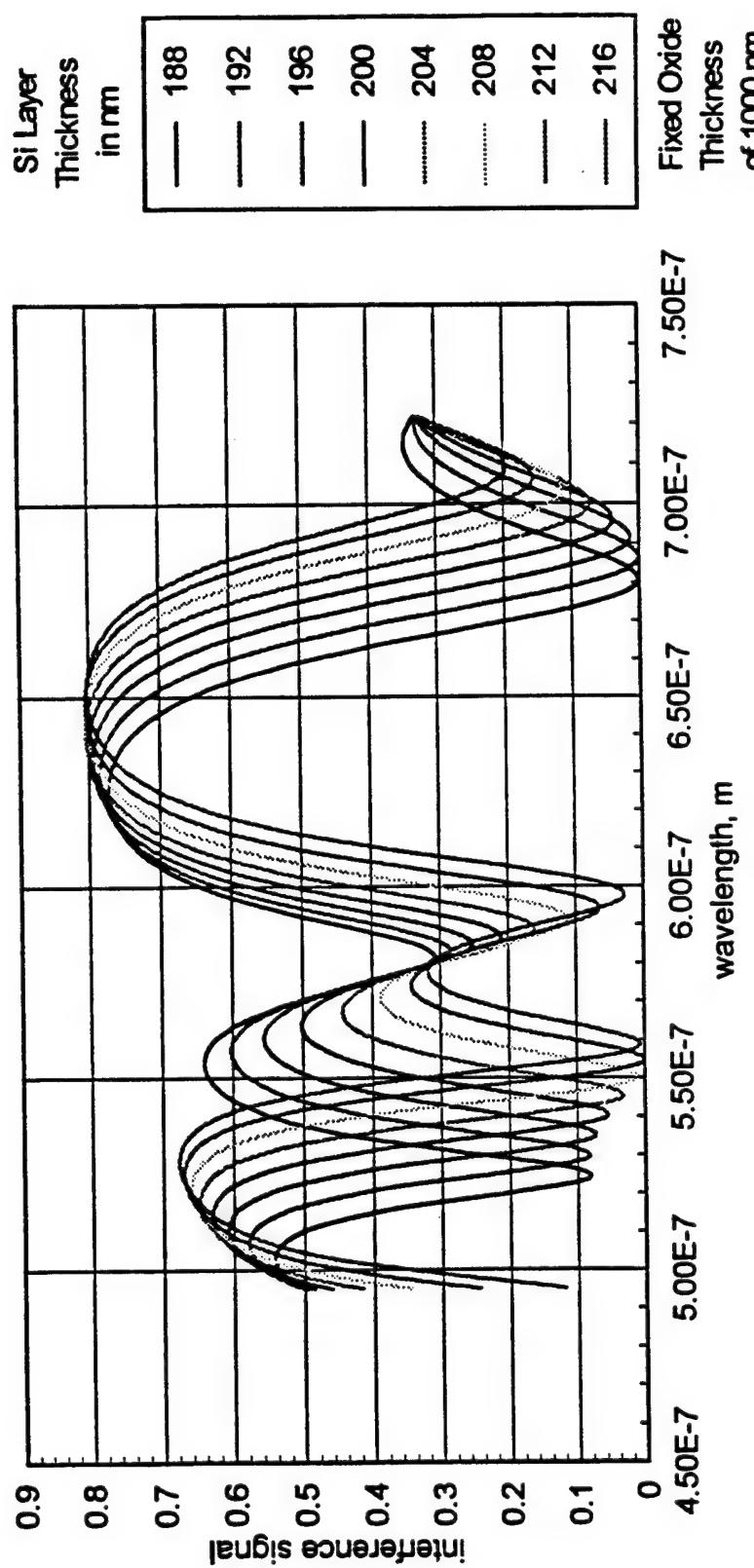
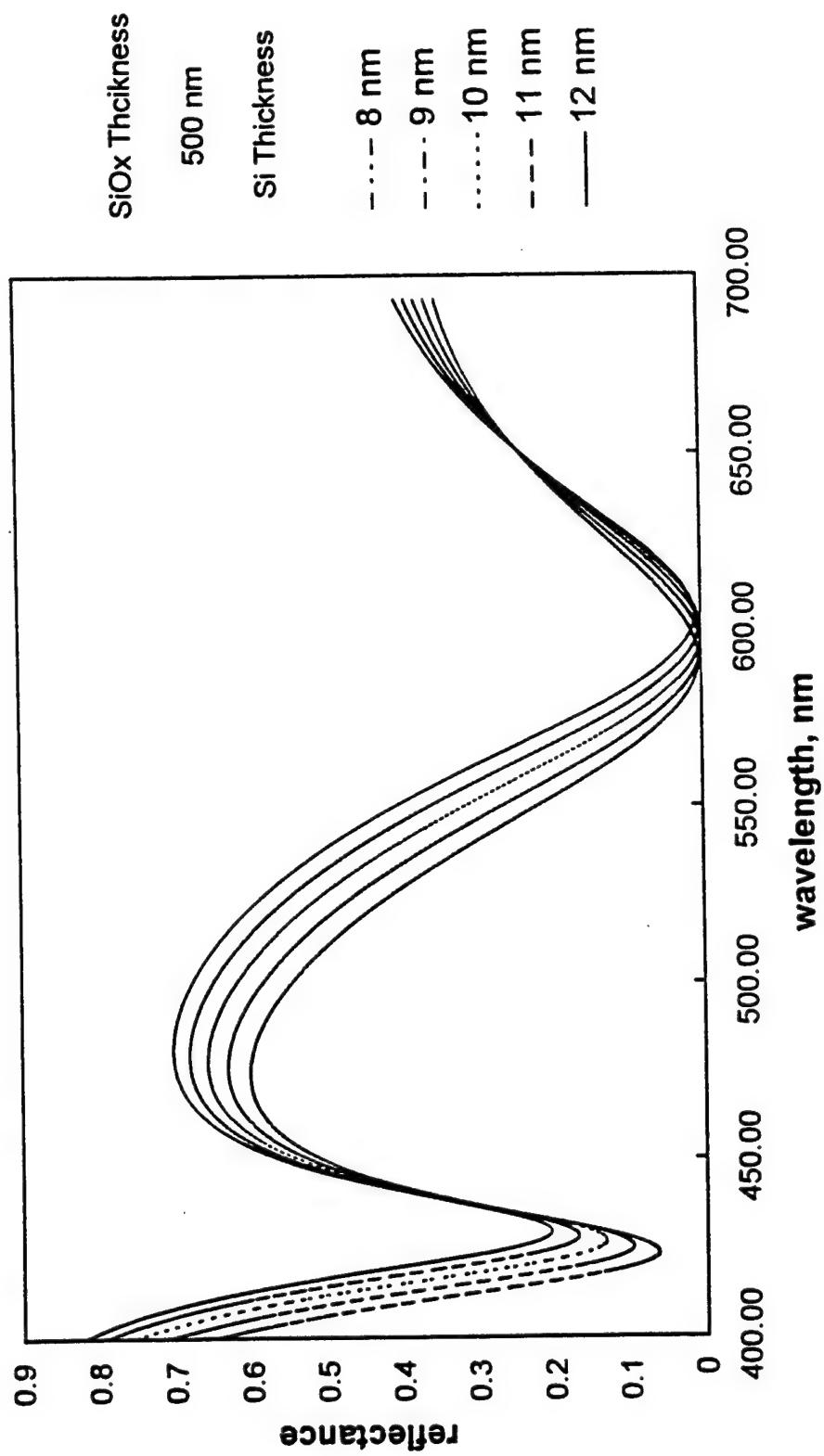
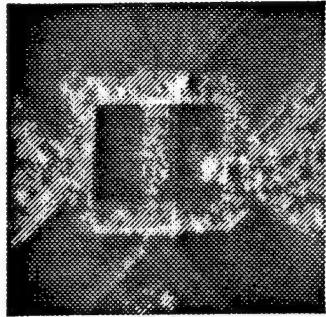


Chart 1

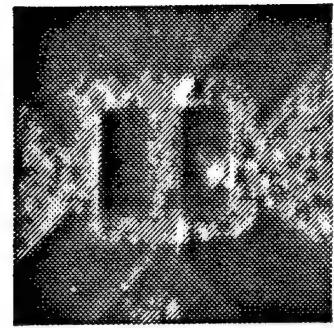


**JPL**

**MICROSCOPIC AOTF IMAGES OF  
AN INDIVIDUAL FET TEST DEVICE  
ON A VLSI WAFER**



vertical  
polarization



horizontal  
polarization

**AT 0.685 MICRONS**

**lc**

## **1.2-2.4 Micron Infrared Airborne Prototype System (Under Development)**

- Compact folded optical configuration.
- Simultaneous two-polarization imaging side-by-side on one Rockwell cooled focal plane array of HgCdTe. (a low-cost approach).
- Through-the-system video tracking.
- Real-time instrument capable of collecting an image cube data in Seconds.
- TeO<sub>2</sub> AOTF designed and manufactured by Aurora.

## Important Spectral Features in 1.2-2.4 micron.

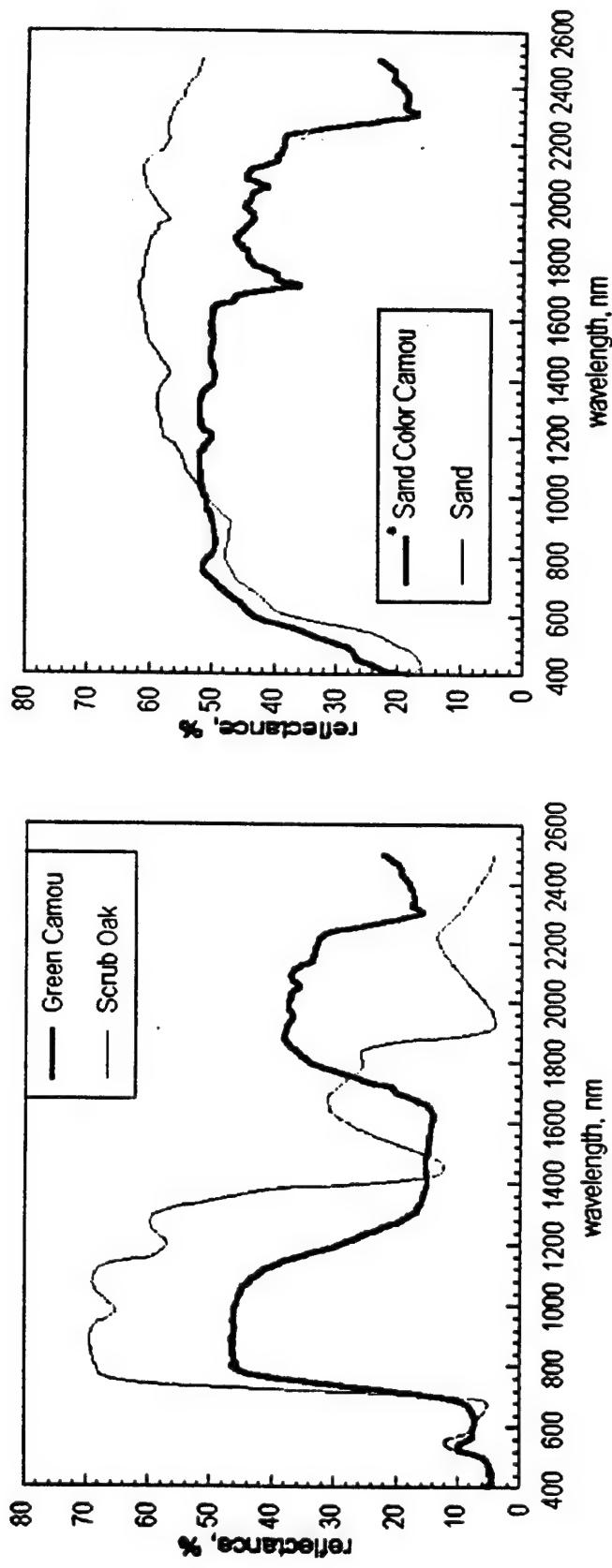
- Two major absorption bands due to H<sub>2</sub>O and CO<sub>2</sub> in the atmosphere.

No useful solar are available at the wavelength of these two band.

- Characteristic Spectral Signature of Man-Made Materials

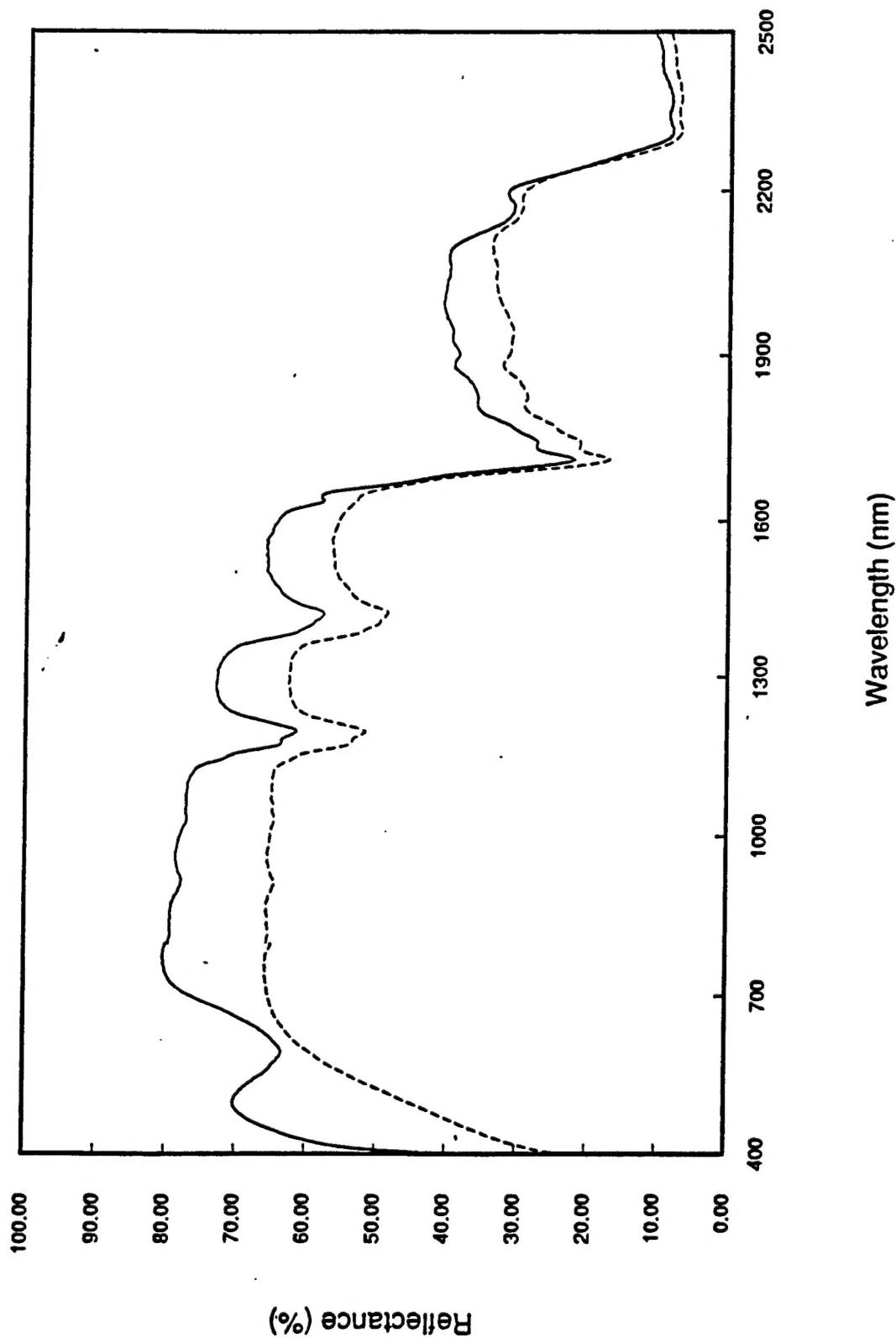
Textures and paints are often made of synthetic materials, originally from petroleum products. These products often have characteristic absorption bands at 1.7 and 2.3 microns. This also applies to camouflaged cloths and painted surface. Consequently, the capability to detect these bands will provide an effective classification process for military applications

## Comparison of Camouflaged Net and Natural Materials in Reflectance Spectrum



NEW AND WEATHERED WHITE PLASTIC GARDEN TUBES

solid line: new  
dashed line: weathered



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